

Quarterly Progress Report cum PO’s Report Template

Quarter – (Tick) [✓] 1/ 2/ 3/ 4 – 2018

Date: 24th April 18

Projects: GEF – Market Transformation and Removal of Barriers for Effective Implementation of the State-Level Climate Change Action Plans

1. Project Progress

Expected Project Outputs and indicators including annual targets	Progress - indicator (if any)	PLANNED ACTIVITIES	Quarter				Progress on Activity Result in this Q – Comments by PS & PO	Targeted Expenditure (Quarter 2) (USD)	Actual Expenditure (Quarter 2) (USD)	Reasons-under-expenditure (if any)
			1	2	3	4				
1.1: Regularly updated GHG abatement cost curves at state level	Number of abatement cost curves prepared by Year 1 Targets: 4	i. Setting up of technical cell at national and state level (Manipur and Jharkhand)	√				PS: Technical cell created at both Manipur and Jharkhand with Mr. Goutam Banik as project manager at Manipur and Mr. Deepak Rai as project manager at Jharkhand. PO:		-	
		ii. Create data templates for periodically updating abatement cost curves and institutionalization	√				PS: Technical Consultancy for Market Transformation and Removal of Barriers for Effective Implementation of the State-Level Climate Change Action Plans awarded to M/s ICF consulting @ INR 32, 73,200. New technologies related to rooftop solar in institutional sector and solar powered cold room included and MACC updated. PO:			

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		iii. Periodically update abatement cost curves and institutionalization	√				PS: Same as above. Activity completed. PO:		-	
1.2 Prioritize RE and EE actions from SAPCC for implementation	Number of prioritized RE and EE mitigation actions selected for implementation in the states by end of year 1 Targets: 9	i. Re-validate the prioritized Renewable Energy and Energy Efficiency actions through stakeholder consultations.	√				PS: Rooftop solar and solar cold room added and revalidation done. Activity completed. PO:			
		ii. Create a roadmap, engagement strategy, map funding opportunities for implementation of the prioritised actions. Identify roles and responsibilities of stakeholders.	√				PS: Mapping of funding opportunities for climate change and mitigation projects done. PO:			
1.3 Designed and implement common monitoring, reporting, and verification (MRV)	Number of monitoring, reporting, and verification (MRV) systems designed and implemented in the states by year 1 Targets: 1	i. Set-up methodologies for monitoring and identify performance indicators as part of MRV	√				PS: Activity completed and report submitted. PO:			
		ii. Define Roles and responsibilities for MRV implementation and regular update of performance indicators.	√				PS: Same as above. Activity completed. PO:			
		iii. Set-up and test, a data collection and data reporting system for the designed MRV system.	√				PS: Same as above. Activity completed. PO:			

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		iv. Establish an institutional framework for implementation of the MRV framework	√				PS: Same as above. Activity initiated and will be executed along with project execution during 2018. PO:			
2.1: Evaluation of existing available loan mechanisms	Number of loan mechanisms evaluated by Year 1	i. Review available regulatory and financial tools (like performance based contracts, capital subsidies, soft loans, etc.) for implementation.	√				PS: RFP advertised on 17 th June 2016. Activity awarded to Meghraj Capital Advisors Pvt. Ltd. On 19 th July 2016. Activity completed in Dec' 16 and report has been submitted to state nodal agencies. PO:			
		ii. Stakeholder consultation workshops on tools / financing mechanisms for catalyzing investments for implementation of selected RE and EE mitigation action.	√				PS: Same as above. PO:			
2.2 Implement non-grant financing instruments like flexible debt finance	Number of non-grant based financial instruments developed by	i. Design the selected tools/financial mechanisms (non-grant) on procedures, protocols, institutional mechanism for implementing the RE and EE actions	√				PS: Same as above. PO:			

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	Year 1 Targets: 1	ii. Undertake training workshops of the energy department for implementation of the designed tools (both loan mechanisms and non-grant instruments)	√				PS: Same as above. PO:			
2.3: Mobilize public and private sector funding	Amount of total funding mobilized for implementation (US\$) by Year 2 Target: USD 3,000,000	i. Identify funding sources at national / state / multi/bi-lateral including private sector funding	√				PS: USD 156,250 in Manipur for rooftop solar installations. USD 317, 053 to be mobilized by May 2018 for rooftop solar in institutional sector. USD 211, 369 is likely to be mobilized for rooftop solar installation in institutional sector in the second half of 2018. USD 600,000 will be mobilized for solar cold room installation in Jharkhand in 2018. Total fund mobilized will be calculated at the year end. PO:			

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2.4: Established PPP and scaling up of RE and EE actions	No. of PPP business models developed by Year 1 Targets: 3	i. Review, assess and shortlist PPP based business models for implementation	√				PS: Proposals/ DPRs, 9 Nos., developed and was to be executed during 2017-18. However, project activities were kept on hold till Nov 2017 due to stay from Department of Economic Affairs (DEA), Govt. of India. Implementation will be done 2-4 quarter of 2018. PO:			
		ii. Estimate the likely funds flow, source of fund and funding commitment for the selected actions	√				PS: Same as above PO:			
		iii. Meetings with select public and private sector funders to mobilize the funding	√				PS: Same as above. PO:			
2.5: Implementation of 9 (nine) pilot RE and EE investment projects	No. of demonstration investment projects based on innovative financial models developed by	i. Review the suitability and interest towards funding of 9 (nine) investment project. Hold meetings, workshops to confirm interest.	√				PS: Solar cold room and rooftop solar in institutional sector included. Review on suitability of existing projects will be done in second quarter of 2018. PO:			

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	end of year 1 Targets: 9	ii. Prepare DPR / implementation plans for the finalized 9 investment projects.				√	PS: Implementation of investment projects which started in first quarter of 2018 are listed below: I. Rooftop solar installation on public schools (600 KWp) II. Solar Cold room III. 14 KWp solar grid for rural enterprise development. IV. Rooftop solar on community health centres. Activities planned for 2-4 quarters are: I. Energy efficiency in government buildings II. Agriculture solar pumps/ AgDSM III. Integrated RE & EE activities in cold storages in Jharkhand. PO:			
		iii. Identify state coordinators and implementing agencies (state government) for the finalized RE and EE projects.	√				PS: Same as above PO:			

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		iv. Prepare a framework for baseline , monitoring and verification of the activities	√				Will be developed and updated by state PMU during implementation of selected pilot activities. PS: PO:			
		v. Prepare scale up plans for state level implementation of RE and EE mitigation activities.	√				Implementation plan of demonstrated activities will be developed in Q-3 and Q-4. PS: PO:			
2.6: Preparation of Implementation manual & workshops for supporting the implementation of selected PPP models for RE and EE actions	Number of implementation manuals developed by Year 1 (one manual for each state) and conducted workshops in each state Targets: 2 Manuals and 2 Workshops	i. Prepare an implementation manual on finalized PPP models and regulatory and financial tools so as to replicate successful projects.	√				Preparation of implementation manual on finalized PPP models and regulatory and financial tools for successful replication of projects will be done in Q-3 & Q-4. . PS: PO:			
		ii. Conduct workshop with state stakeholders on final business models and tools to be implemented	√				Workshop on developed business models will be conducted in Q-3 & Q-4 PS: PO:			
3.1 Align state sectoral budgets for climate change actions	Allotment of budget for climate change actions in departmental budgets by	i. Review the current state budgets for scope for climate change actions	√				PS: Activity awarded to Meghraj Capital Advisors Pvt. Ltd. On 27 th Sep 2016. In process. Activity completed, and report submitted. PO:			

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	year 1 Targets: 1	ii. Meetings with state officials seeking feedback on areas of alignment of the budget	√				To be done PS: PO:			
		iii. Drafting proposals for alignment of state budgets incorporating climate change actions	√				Proposal shared with state nodal agency. PS: PO:			
3.2: Training and capacity building for the State officials	No. of handbooks and guidelines prepared and training conducted Targets: 3	i. Preparation of handbook and guidelines for implementation	√				Preparation of handbook and guidelines for implementation to be done during the course of execution of investment projects. PS: PO:			
		ii. Develop training curricula	√				Training material on MACC and MRV tools developed. PS: PO:			
		iii. Trainings for state level project implementers, reviewers, auditors, state officials	√				To be done in Q-2 for DISCOM officers PS: PO:			
		iv. Post-training evaluations to assess the effectiveness and necessary revisions	√				To be done. PS: PO:			

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3.3 : Institutional mechanism for inter-state exchange of IT dissemination	No. of joint Climate Change Mitigation actions discussed and planned for implementation between states by End of Project. Targets: 2-4 meetings with other states counterparts.	i. Review existing institutional mechanisms for exchange of information and document				√	To be done PS: PO:			
		ii. Strengthening the existing institutional mechanisms for inter-state exchange of information on SAPCC and RE and EE mitigation activities.				√	To be done PS: PO:			
3.4: Conduct inter-state study trips and stakeholder interaction workshops	Visits successfully completed	i. Workshops on successful case examples on RE and EE mitigation actions and organize study trips to facilitate the interactions with other states				√	To be done in Q3-Q4. Workshop planned for rooftop solar on private sectors and solar cold room for agriculture sector. PS: PO:			
		ii. Design and undertake at least two study trips and at least two stakeholder interaction workshops on RE and EE mitigation actions in the state				√	Please update PS: PO:			

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3.5: Established and operational information dissemination system on lessons learnt from investment projects	Indicators: No. of brochures/ reports made available on web portal & No of users of the system Target: No. of brochures/ reports- 4	i. i. System of information dissemination through web portal on RE and EE mitigation investment projects in the state				√	Activity awarded to PWC 2 nd Nov 2016. Activity completed and Technology facilitation desk website is up and running. PS: PO:			
		ii. Publication of reports of case studies on the implemented RE and EE investment projects in the states				√	To be updated PS: PO:			
		iii. Formulate an information dissemination strategy through print & electronics media				√	To be updated. PS: PO:			

2. Other remarks or issues to be raised by Project Staff and/or Implementing Agency (150 words only in case of GEF projects)

NIL

3. Gender and Inclusion - Report on any specific achievements or challenges on gender equality results in relation to this project

NIL

4. Any **innovations/ success stories** (completed or ones to watch for) that Project Staff wishes to highlight (PO to add comments on the same, if any)

Not at this moment

Signature _____

Name of NPC _____ Date _____

PO’s Report (To be filled in by UNDP PO Only)

5. PO’s Score on Progress on Project Targets in Quarter (for ERBM)

Q1	Q2	Q3	Q4
√			

G (Green) – Achieved, Y (Yellow) – On Track, R (Red) – Not Achieved

6. **PO’s Analytical Report - Comments on progress in project, and whether the project is on track** (Narrative – based on QPR, BTOR, other reports, discussions, etc.)

7. **List of project issues/ new risks that the PO wants to ensure are included and addressed in the PSC**

8. **Gender and Inclusion - Comments on box 3 above by PO**

9. **Innovations/ success stories -**

10. **List of issues that the PO wants to escalate to Unit Heads/ Senior Management with tentative timelines**

Signed – _____ Date - _

Name of PO - Saba Kalam

11. Action Taken on Escalated Issues

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