

# FP157 Coastal Resilience to Climate Change in Cuba through Ecosystem Based Adaptation - "MI COSTA"

Annual Performance Report CY2021

## **APR CY2021 Section 1: General Information - v2 2022-05-27 02:51 +09:00**

### [APR CY2021] Section 1: General Information

Please note that this is section 1 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

#### **1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. \***

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all of the disclosable sections to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR with non-disclosable information.
- Step 5: Submit the non-disclosable APR which herein enclose the disclosable APR in PDF format.

#### **1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity**

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

#### **Please Indicate the date of submission to NDA(s)**

2022-03-01

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

#### **Please provide further explanation, if any:**

#### **1.3 Funded Activity Title (Project/Programme Title)**

Coastal Resilience to Climate Change in Cuba through Ecosystem Based Adaptation - MI COSTA

#### **1.4 Funding Proposal Reference Number**

FP157

#### **1.5 Board Meeting Number**

28

**1.6 Accredited Entity contacts for this APR**

<b>Full Name</b>	
Montserrat Xilotl	
<b>E-mail Address</b>	<b>Phone Number</b>
montserrat.xilotl@undp.org	

**1.7 Executing Entity(ies)**

<b>Full Name of Executing Entity</b>
Environmental Agency (AMA) of the Ministry of Science, Technology and Environment (CITMA) of Cuba
<small>This value will be autopopulated from APR 2020.</small>
<b>Please write the name of the country where the Executing Entity is headquartered.</b>
Cuba

**1.8 Project Duration**

<b>From</b>	<b>To</b>
2021-09-13	2029-09-13

**Check if the extension request for the project duration was approved by the Secretariat during the reporting period.**

Please reach out to the GCF portfolio managers if you need an extension

- Yes (it was extended)  
 No

**1.9 Current Year of Implementation**

1
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Indicate the year number, e.g., '2'

**1.10 Annual reporting period covered in this report**

<b>From</b>	<b>To</b>
2021-09-13	2021-12-31

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 1 of the Annual Performance Report (APR).

- The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

## APR CY2021 Section 2: Implementation Progress - v2 2022-05-27 02:51 +09:00

### [APR CY2021] Section 2: Implementation Progress

Please note that this is section 2 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

**2.1 Overall (summary) project progress**

### 2.1.1 Overall Progress Achieved Since Project Start

The project began its initial implementation on September 13, 2021, with the effectiveness of the projects' FAA. Hence, the activities carried out during this reporting period have been focused on preparing the project's official kick off as well as to the project's first year planning process.

This includes the approval of the project's subsidiary agreement (Project Document or ProDoc) which was signed on December 2, 2021, the development of the project's workplan and the validation of its first-year procurement plan which was better defined with the support of the UNDP's Country Office Procurement Unit. This included establishing with greater detail the items technical specifications as well as the procurement process and the strategies to be executed during this first year. The recruitment process of the Operational Coordinator and the Administrative and Finance Assistant to the project was initiated with the drafting of the terms of reference for each position. A National Project Director for the Project has been designated along with various members of the Technical Coordination. In addition, the arrangements for the inception workshop began including establishing dates (Jan 31- Feb4 2022), defining the agenda and participant list.

Several meetings have been held between the Technical Coordination (PMU) and national institutions like INRH, MINAG, FLACSO, provincial representations and others to confirm their involvement in the project and to plan the activities for upcoming year. The Implementing Partner (IP), Environment Agency (AMA in Spanish), has drafted additional legal arrangements to be signed with MINAG, INRH, and local governments, defining their responsibilities within the project.

The project completed its first disbursement request on December 6, 2021, which was in turn received on December 22, 2021. It should be noted that during this reporting period no project expenditures have been made considering the date of delivery of the funds.

### 2.1.2 Progress Achieved in 2021

The project has advanced in laying the groundwork for the project's upcoming inception workshop as well as meeting the legal deadlines established by the FAA. More importantly it has advanced in formalizing the arrangements required for project implementation (as demonstrated above) and has begun in recruiting the project team that will allow the project to begin on the ground implementation in a timely manner.

### 2.1.3 Update on Project Risks

During this period, projects risks have not changed. A new analysis will be held during Project Inception Workshop and will be informed in the Project Inception Report.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

## 2.2 Performance against the GCF investment criteria (summary)

The project document was signed on December 2, 2021, and the first disbursement for the project was only received on December 22, 2021. Hence during the reporting period, the project has yet to initiate any of the technical activities. Taking this into account it is not possible to assess the project's performance against project design.

Nonetheless, initial meetings held with project partners and stakeholders (INRH, MINAG, FLACSO, CITMA, AMA) to better define the project's operation and to conciliate actions for future implementation arrangements. These meetings have laid the groundwork to ensure the project is able to address its impact, paradigm shift, and sustainable development potential prioritizing country ownership and addressing key needs. Technical, legal, and administrative activities have also been carried out to better define the organizational structure of the Project Management Unit (PMU) including the naming of Technical Coordinators and the project's National Director. The preparation of the agreements and conventions that will be put in place with key stakeholders involved in the project and its implementation have also begun. Initial socialization to project stakeholders also took place to allow for an initial introduction on the processes and regulations required by the Green Climate Fund and that project will need to comply with including monitoring and adherence to the project's environmental and social management plans.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

### Relevant Links

[The GCF investment criteria framework](#)

#### 2.2.1 Impact Potential

Although the impact of the project has not yet materialized during the period covered by this report (considering that the project document was not signed until December 2), work was done to enrich the schedule of activities throughout the life of the project, focusing on the activities that will be essential to achieve during project year one. This materialized in the draft of the annual operating plan for 2022 that will be enriched and validated at project inception workshop. This includes ensuring the alignment of the project with national environmental and climate change strategies and priorities, as will be the second cycle of the State Plan for Addressing Climate Change "Tarea Vida".

### 2.2.2 Paradigm shift potential ?

During initial planning meetings, the project's potential to address its paradigm shift has been confirmed mainly by ensuring its alignment with national priorities and plans. In addition, the project has continued to receive important political buy in, and relevance as demonstrated in initial meetings with stakeholders as well as during the drafting and signing of the project's ProDoc and during the planning of the project's inception workshop as well as during the preparation of the first disbursement package. However, greater detail and analysis will be reached upon the discussions during the project's Inception Workshop and will be reflected in the inception report that will be provided to the GCF touching upon the following:

- Potential for scaling up and replication

The potential for scaling up of the project has been confirmed during the meetings held with national and provincial actors. The project will facilitate to scale up EBA, not just to the intervention stretches, but also to the rest of the coastal zones of the country and to national institutions that will include EBA in planning process, guaranteeing sustainability. Greater analysis will be done during Inception Workshop with the participation of several local and national actors.

- Potential for knowledge sharing and learning

The project could become a reference within the Caribbean Sea area to others SIDS vulnerable to climate change impacts. With this in mind, outreach to UNDP's SIDS network has been initiated to share the project's focus and theory of change to a wider audience, including project analysis documentation as well as recently published blog that was circulated within UNDP's larger networks.

<https://reliefweb.int/report/cuba/manglar-vivo-mi-costa>

- Contribution to the creation of an enabling environment

Meeting with project partners have allowed to confirm that the project will be an important contributor for the enabling environment to introduce EBA within local and national planning process. A work programme to begin to work in relevant entry points will be better defined during the Inception Workshop.

### 2.2.3 Sustainable development potential ?

The sustainable development potential for the project remains unchanged, and project planning has remained in keeping with the set-out objectives. However, the context to achieve this potential will be validated during the project's inception workshop and will be reflected in the project's Inception Report and better documented in the 2022 APR, including making mention of the following:

- Environmental co-benefits
- Social co-benefits
- Economic co-benefits
- Gender-sensitive development impact

#### 2.2.4 Needs of the recipient ?

Initial planning meetings, as mentioned in section 2.2.1, have confirmed the information reflected in the proposal in terms of the needs that will be addressed by the project to meet its adaptation goals of protecting vulnerable coastal communities. However, the Inception Workshop to be held in late January will provide greater detail and will be reflected in the project's Inception workshop and 2022 APR.

#### 2.2.5 Country Ownership ?

Since the project approval by the GCF, the country has been providing a high level of priority to the initialization of the project considering it as a key project for the implementation of the "Tarea Vida" (National State Plan to Manage Climate Change). This was reflected during discussions that led to the signing of the project document that in turn resulted in its expedited approval in December 2, 2022. The project document signing ceremony was attended by high-level government representatives such as the First Deputy Minister of the Ministry of Science, Technology and Environment (CITMA) and Deputy Director of International Economic Organizations of the Ministry of Foreign Trade and Foreign Investment (MINCEX), and was covered widely through national media, including television news casting that presented not only the signing event itself but the project's impact potential and focus. <http://www.cubadebate.cu/noticias/2021/12/03/crean-nuevo-proyecto-para-incrementar-la-resiliencia-climatica-de-la-costa-sur-de-cuba/>

Several meetings between key actors of the project (CITMA, MINAG and INRH) were held, to establish roles and responsibilities for the implementation and to confirm their ownership and engagement with the project, with positive results. The national Technical Coordination team that will integrate the Project Management Unit, has been designated complying with the design:

- National Project Director.
- Technical Coordinator
- Specialist in Economy and Commercial Management.
- Planning Specialist.
- Legal Management Specialist

All these actions validate the ownership of the country and a high level of engagement with its implementation.

#### 2.2.6 Efficiency and Effectiveness ?

The project approach favouring EBA solutions continues to be considered a cost-efficient approach to manage climate vulnerabilities to coastal areas vis a vis grey infrastructure in target areas. Project benefits are considered to be in line with those presented in the project's funding proposal. The inception workshop will provide a further possibility to validate these project assumptions, with the discussions being provided to the GCF in the form of an inception report.

### 2.3 Project Outputs Implementation Status

**Please note the below fields are mandatory but only a one-time activity. Please fill out the project output details regardless of any progress made so far, which will be auto-populated in the next APR and on wards.**

**Use 'Add Row' button to add multiple outputs and/or activities reported against one output**

<b>Project Output Name *</b>	
Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts	
The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.	
<b>Project Activity Name *</b>	
Project Activity 1.1 Assess and restore coastal wetland functions in target sites by re-establishing hydrological processes	
<b>Status *</b>	<b>Implementation Progress *</b>
Activity not yet due	0 %
<b>Original timeline planned for this activity *</b>	
Q4 2021 to Q3 2029	
Please refer to the Implementation Timetable in the log-frame	
<b>Progress for the relevant reporting period</b>	
<p>The work for this project activity has yet to commence as of the closing date of this reporting period, taking into account the Project's FAA became effective on Sept 13, 2021, its Subsidiary Agreement was signed on Dec 2, 2021, and first disbursement funds were received on Dec 22, 2021.</p> <p>However initial meetings have been held with the project's implementing partners to ensure a proper allocation of responsibilities, these were reflected in the national terms of reference for the project and reflected in the ProDoc. . In addition, The Implementing Partner (IP), Environment Agency, has drafted legal agreements to be signed with MINAG, INRH, and local governments, to better define their responsibilities within the project.</p> <p>Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</p>	
<b>Key milestones and deliverables for the next reporting period</b>	
<ul style="list-style-type: none"> <li>- Surveys for validating the local conditions for each intervention site will be made to verify ecosystem health, its structure as well as to define at a local scale the rehabilitation process that will be executed in Surgidero de Batabanó and Playa Cajío.</li> <li>- A report with an updated forest profile for the 7 intervention sites will be made as well as an updated forestry management map will be had, that will include indicators on hydrology within and across the ecosystems, health and functionalities related to protection and resilience capacity</li> </ul> <p>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</p>	

<b>Project Output Name *</b>	
Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts	
The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.	
<b>Project Activity Name *</b>	
Project Activity 1.2 Mangrove and swamp forest rehabilitation in target sites through natural and assisted regeneration for enhanced coastal protection	
<b>Status *</b>	<b>Implementation Progress *</b>
Activity not yet due	0 %
<b>Original timeline planned for this activity *</b>	
Q1 2022 to Q3 2029	
Please refer to the Implementation Timetable in the log-frame	

**Progress for the relevant reporting period**

The work for this project activity has yet to commence as of the closing date of this reporting period, taking into account the Project's FAA became effective on Sept 13, 2021, its Subsidiary Agreement was signed on Dec 2, 2021, and first disbursement funds were received on Dec 22, 2021.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- With the updated information from activity 1.1, 7 detailed restoration plans will begin to be developed, describing the specific measures to be done in a scale of ha.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity 1.3 Record and assess coastal and marine ecosystems' natural regeneration and their protective functions based on conditions provided as a result of restored coastal wetlands

**Status \***

Activity not yet due

**Implementation Progress \***

0 %

**Original timeline planned for this activity \***

Q1 2022 to Q3 2029

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

The work for this project activity has yet to commence as of the closing date of this reporting period. Taking into account that the Project's FAA became effective on Sept 13, 2021, its Subsidiary Agreement was signed on Dec 2, 2021, and first disbursement funds were received on Dec 22, 2021.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- In conjunction with national and local scientific institutions the project will begin to design the monitoring and surveillance system for coastal and marine ecosystem regeneration capacity and ecological resilience to extreme events. Technical specifications for the procurement of equipment will be conciliated with this design and will be completed by the end of 2022.

- Environmental base line information will be compiled for each coastal stretch.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity 1.4 Enhance water conduction systems along targeted watersheds to restore freshwater drainage in coastal ecosystems and aquifers to reduce and monitor saline intrusion in target sites

**Status \***

Activity not yet due

**Implementation Progress \***

0 %

**Original timeline planned for this activity \***

Q3 2022 to Q3 2029

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

The work for this project activity has yet to commence as of the closing date of this reporting period. This Taking into account that the Project's FAA became effective on Sept 13, 2021, its Subsidiary Agreement was signed on Dec 2, 2021, and first disbursement funds were received on Dec 22, 2021.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Design the real time hydrological monitoring system. For the project
- Compile a hydrological base line for each coastal stretch.
- Prepare an initial report containing an assessment of saline intrusion in target areas.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 2: Increased technical and institutional capacity to climate change adaptation in Coastal Communities, Governments and Economic Sectors

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity 2.1 Develop a climate adaptation technical capacity building program for coastal communities and local stakeholders (government & economic sectors) to enable adaptation actions and capacities

**Status \***

Activity not yet due

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q4 2021 to Q3 2029

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

The work for this project activity has yet to commence as of the closing date of this reporting period. Taking into account that the Project's FAA became effective on Sept 13, 2021, its Subsidiary Agreement was signed on Dec 2, 2021 and first disbursement funds were received on Dec 22, 2021.

Members of the PMU have participated in the workshop "South-South Exchange on the implementation of Evaluations and Environmental and Social Management Plans in GCF Projects in LAC: Lessons and Emerging Challenges". In which an exchange of experiences and lessons learned was carried out taking into account the implementation of environmental and social safeguards standards in different GCF projects in Latin America.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Begin the consultation process in local communities and municipalities to assess the perception of inhabitants in topics related to EBA, Climate Change, coastal zone management, etc., to determine the knowledge needs and prepare the capacity building program.
- Initial drafting of the capacity building program to be implemented in each of the 24 Capacity Building Centers and the 7 Annexed Classrooms.
- Production of training materials for capacity building centers, annexed classrooms, schools, and universities in intervention areas, on topics for EBA:
  - a. Climate Change in Cuba (causes and impacts).
  - b. Ecosystem services of mangrove forests, seagrass beds, coral reefs.
  - c. Efficient water resource management.
  - d. Effects of human impacts on ecosystems (pollution, felling of mangrove trees).
  - e. Comprehensive ecological rehabilitation and restoration
- Complete a report with the Eligibility Criteria for the selection of the Final Beneficiaries for Output 2, to be delivered to GCF as a condition precedent to second disbursement.
- Enhance 24 Capacity building centers and 7 annexed with the acquisition of:
  - a. ICT equipment
  - b. Furniture and office equipment
- Workshops per province to confirm the stakeholder engagement and roles with the project implementation, the information flow to monitoring implementation, the activities to develop during first year of implementation and the conformation of the local technical team of works, such as: community monitoring, gender, social and environmental safeguard, grievances redress mechanism, etc.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 2: Increased technical and institutional capacity to climate change adaptation in Coastal Communities, Governments and Economic Sectors

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity 2.2 Integrate project (technical and community based) derived information, information from early warning systems and national datasets into a Knowledge Management Platform, to provide climate information products to monitor, evaluate and inform coastal communities on local (community and ecosystem) capacity to manage climate change impacts

**Status \***

Activity not yet due

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q4 2021 to Q3 2029

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

The work for this project activity has yet to commence as of the closing date of this reporting period. Taking into account that the Project's FAA became effective on Sept 13, 2021, its Subsidiary Agreement was signed on Dec 2, 2021, and first disbursement funds were received on Dec 22, 2021.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Initiate the diagnosis process for the implementation of the knowledge management platform, this process needs to be done in the 24 CBC and the 7 annexed classrooms, as well as provincial and national institutions. Once this diagnosis has been completed, an initial design of the platform will be done establishing:
  - Necessary equipment
  - Information flow protocols
  - Institution's connectivity.
- Begin framing a documentary about the inception of the project, the challenges, expected results and local inhabitants' expectations, will be produced.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 2: Increased technical and institutional capacity to climate change adaptation in Coastal Communities, Governments and Economic Sectors

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity 2.3 Mainstream EBA approaches into regulatory and planning frameworks at the territorial and national levels for long term sustainability of EBA conditions and investments for coastal protection

**Status \***

Activity not yet due

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q4 2021 to Q3 2029

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

The first workshop for legal advisers was held with the participation of jurists from the Environment Agency centers, directors, scientists, and representatives of CITMA, called: Familiarization with Mi Costa project. During the workshop were discussed the Legal Advisory Network design, functions, composition, and next steps for implementation.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Establish the network of legal advisors
- Begin with the compilation, and organization of the legal information related to the Project and EBA to begin identifying opportunities for alignment and update per the project
- Begin internal discussion on the establishment of Cuban Technical Standard (Norm) of "Terms and Definitions for the EBA in Cuba" and "Technical Guidelines for the Establishment of the Ecological Flow" including establishing a potential workplan and road map.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

## 2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 5; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

#### 2.4.1 Core Indicators

##### Select applicable core indicators

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO<sub>2</sub>eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO<sub>2</sub>eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

##### Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Baseline	Baseline (% of female)
0	0 %
Current Value	Current Value (% of female)
0	0 %
Mid-term Target	Mid-term Target (% of female)
133437	49.32 %
Final Target	Final Target (% of female)
444793	49.32 %

##### Remarks (including changes, if any)

The project has yet to begin active implementation

##### Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Baseline	Baseline (% of female)
0	0 %
Current Value	Current Value (% of female)
0	0 %
Mid-term Target	Mid-term Target (% of female)
131898	49.32 %
Final Target	Final Target (% of female)
879321	49.32 %

##### Remarks (including changes, if any)

The project has yet to begin active implementation

**Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population (Units: percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

**Share of direct beneficiaries relative to total population**

Baseline	Current Value	Mid-term Target	Final Target
0 %	0 %	2.7 %	4 %

**Share of female direct beneficiaries relative to total population**

Baseline (female)	Current Value (female)	Mid-term Target (female)	Final Target (female)
0 %	0 %	0 %	1.9 %

**Share of indirect beneficiaries relative to total population**

Baseline	Current Value	Mid-term Target	Final Target
0 %	0 %	2.7 %	7.8 %

**Share of female indirect beneficiaries relative to total population**

Baseline (female)	Current Value (female)	Mid-term Target (female)	Final Target (female)
0 %	0 %	0 %	3.8 %

**Remarks (including changes, if any)**

The project has yet to begin active implementation

2.4.2 Impact Indicators

Select applicable impact indicators

- M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

**A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention (Units: multiple, as applicable)**

Please provide ex-post 'Current Value' on a cumulative basis.

Select Units (as many as possible)

- Persons
- Economic Assets
- Other

Unit - Economic Assets

Currency

USD

Baseline	Current Value	Mid-term Target	Final Target
4307979	4307979	0	17800000

Remarks (including changes, if any)

The project has yet to begin active implementation

Note:

Mid-term target: *USD 0, Rehabilitated mangroves will provide no benefits for the first 5 years following rehabilitation as they will not have sufficient structural stability to offer significant coastal protection. Rehabilitated mangroves will start to offer benefits in Year 7 onwards*

Final Target: *Potential reduced accumulated losses of USD 17.8 Million*

**A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)**

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

<b>Unit</b>			
ha of strengthened mangroves			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
3002.5	3002.5	5858.5	14429.5
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Unit</b>			
ha of rehabilitated swamp forest			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
3415	3415	4187	6503
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Unit</b>			
ha of swamp grassland			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	232	928
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Unit</b>			
km of coral reef			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	0	134
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Unit</b>			
ha of seagrass			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	0	9287
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

#### 2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks		
<b>Output Name (under the afore-mentioned outcome)</b>			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
A7.2 Number of males and females reached by [or total geographic coverage of] climate related early warning systems and other risk reduction measures established/strengthened			
<b>Unit</b>			
number of people			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
5000	5000	5000	135507
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	A5.0 Strengthened institutional and regulatory systems for climate-responsive planning and development		
<b>Output Name (under the afore-mentioned outcome)</b>			
Not applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation			
<b>Unit</b>			
Proposals/National instruments and sectoral regulations have mainstreamed EBA			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	4	4
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	A5.0 Strengthened institutional and regulatory systems for climate-responsive planning and development		
<b>Output Name (under the afore-mentioned outcome)</b>			
Not applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation			
<b>Unit</b>			
Municipalities mainstreamed EBA into their Development Plans			

Baseline	Current Value	Mid-term Target	Final Target
0	0	7	24

**Remarks (including changes, if any)**

The project has yet to begin active implementation

**Results Area Type**  
Adaptation

**Outcome Name**  
A5.0 Strengthened institutional and regulatory systems for climate-responsive planning and development

**Output Name (under the afore-mentioned outcome)**  
Not applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation

**Unit**  
Municipalities mainstreamed EBA within the Territorial Management Plans reflected within annual workplans

Baseline	Current Value	Mid-term Target	Final Target
0	0	7	24

**Remarks (including changes, if any)**

The project has yet to begin active implementation

**Results Area Type**  
Adaptation

**Outcome Name**  
A5.0 Strengthened institutional and regulatory systems for climate-responsive planning and development

**Output Name (under the afore-mentioned outcome)**  
Not applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation

**Unit**  
Regulatory instruments for elimination of man-made barriers within coastal ecosystems reflected in annual workplans

Baseline	Current Value	Mid-term Target	Final Target
0	0	7	24

**Remarks (including changes, if any)**

The project has yet to begin active implementation

**Results Area Type**  
Adaptation

**Outcome Name**  
A8.0 Strengthened awareness of climate threats and risk-reduction processes

**Output Name (under the afore-mentioned outcome)**  
Not Applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
A8.1 Number of males and females made aware of climate threats and related appropriate responses

**Unit**  
number of males

Baseline	Current Value	Mid-term Target	Final Target
0	0	67626	225421

**Remarks (including changes, if any)**  
The project has yet to begin active implementation

**Results Area Type**  
Adaptation

**Outcome Name**  
A8.0 Strengthened awareness of climate threats and risk-reduction processes

**Output Name (under the afore-mentioned outcome)**  
Not Applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
A8.1 Number of males and females made aware of climate threats and related appropriate responses

**Unit**  
number of females

Baseline	Current Value	Mid-term Target	Final Target
0	0	65811	219372

**Remarks (including changes, if any)**  
The project has yet to begin active implementation

**Results Area Type**  
Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
Indicator 1.1 Number of hectares of rehabilitated coastal wetland in target areas providing protective services to targeted services

**Unit**  
ha of mangrove

Baseline	Current Value	Mid-term Target	Final Target
7317.5	7317.15	3860	15443

**Remarks (including changes, if any)**  
The project has yet to begin active implementation

**Results Area Type**  
Adaptation

**Outcome Name**



<b>Output Name (under the afore-mentioned outcome)</b>			
Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
Indicator 1.2 Number of hectares of seagrasses being lost per year <sup>76</sup> in target areas as a result of the restoration of natural hydraulic processes and coastal wetlands functionalities and connections			
<b>Unit</b>			
ha at a reduction loss of 65hr/year (except final target at 18 ha/year)			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
9287	9287	9026	8954
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation			
<b>Output Name (under the afore-mentioned outcome)</b>			
Output1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
Indicator 1.3 Number of Km of Reef crest degraded per year in target areas as a result of the restoration of natural hydraulic processes and coastal wetlands functionalities and connections			
<b>Unit</b>			
km/year annual rate of loss of reefs and frontal ridges			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
2.2	2.2	2.2	0.25
<b>Remarks (including changes, if any)</b>			
The project has yet to begin active implementation			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation			
<b>Output Name (under the afore-mentioned outcome)</b>			
Output 1: Rehabilitated coastal ecosystems for enhanced coping capacity to manage climate impacts			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
Indicator 1.4 Number of Hectares with salinity >1g/l in the intervention area			
<b>Unit</b>			
ha			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
544300	544300	538857	527971

**Remarks (including changes, if any)**

The project has yet to begin active implementation

**Results Area Type**

Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**

Output 2: Increased climate change adaptation capacity in vulnerable coastal communities, governments and economic sectors

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**

Indicator 2.1 Number of people with knowledge and skills to adapt to CC, broken down by communities, governments and sectors, taking into account gender and age groups.

**Unit**

number of people

**Baseline**

0

**Current Value**

0

**Mid-term Target**

133437

**Final Target**

444793

**Remarks (including changes, if any)**

The project has yet to begin active implementation

**Results Area Type**

Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**

Output 2: Increased climate change adaptation capacity in vulnerable coastal communities, governments and economic sectors

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**

Indicator 2.2 Number of Climate information products developed responding to local needs linked to monitoring the capacity of ecosystem to provide services in managing climate impacts (water quality, protective capacity, community vulnerability, etc.)

**Unit**

number of products

**Baseline**

0

**Current Value**

0

**Mid-term Target**

2

**Final Target**

10

**Remarks (including changes, if any)**

The project has yet to begin active implementation

**Results Area Type**

Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**

Output 2: Increased climate change adaptation capacity in vulnerable coastal communities, governments and economic sectors

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name			
Indicator 2.3 Number of municipalities that have incorporated ecosystem management within their development, territorial, and coastal zone management and disaster risk prevention plans/strategies			
Unit			
Number of Municipalities			
Baseline	Current Value	Mid-term Target	Final Target
0	0	7	24
Remarks (including changes, if any)			
<p><i>The project has yet to begin active implementation</i></p> <p>Note:</p> <p><i>Baseline and current values: 0</i></p> <p><b><u>Mid-term targets:</u></b></p> <p><i>&gt; 7 municipalities have mainstreamed EBA into their Development Plans (including financing mechanisms)</i></p> <p><i>&gt; 7 municipalities have mainstreamed EBA within the Territorial Management Plans reflected within annual workplans</i></p> <p><i>&gt; 7 regulatory instruments for elimination of man-made barriers within coastal ecosystems reflected within annual workplans</i></p> <p><b><u>Final Targets:</u></b></p> <p><i>&gt; 24 municipalities have mainstreamed EBA into their Development Plans (including financing mechanisms)</i></p> <p><i>&gt; 24 municipalities have mainstreamed EBA within the Territorial Management Plans reflected within annual workplans</i></p> <p><i>&gt;24 regulatory instruments for elimination of man-made barriers within coastal ecosystems reflected within annual workplans</i></p>			

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

## 2.5 Report on changes during implementation (include actual and expected changes)

During the reporting period no changes were made, nor have been considered for the project.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

## 2.6 Implementation challenges and lessons learned

Challenge encountered	
N/A	
Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.	
Challenge type	Impact on the project implementation
Please select	Please select
Measures adopted	
Please check if the above-mentioned challenge(s) has been resolved during the reporting period	
<input type="checkbox"/> The challenge(s) has been resolved during the reporting period.	
Lesson learned and other remarks	
Please check if this challenge is caused by COVID-19 pandemic.	
<input type="radio"/> Yes <input type="radio"/> No	

## 2.7 Updated implementation timetable for the Funded Activity

Please submit the implementation time table for the Funded Activity

[FP 5994 Cuba FAA Schedules 5 Timetable.docx](#)

### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

**APR CY2021 Section 3: Financial Information - v2 2022-05-27 02:51  
+09:00**

## [APR CY2021] Section 3: Financial Information

Please note that this is section 3 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

3.1 Approved Budget for entire project period as per FAA

Currency

USD

GCF Funding (Equity)

GCF Funding (Grants)

23927294

GCF Funding (Guarantees)

GCF Funding (Loans)

GCF Funding (Results-Based Payment)

3.1.1 Total GCF Funding

23927294

3.2 Co-financing

Currency

USD

Co-financing (Equity)

Co-financing (Grants)

20371935

Co-financing (Guarantees)

Co-financing (In-kind)

Co-financing (Loans)

Co-financing (Results-Based Payment)

3.2.1 Total Co-financing

20371935

Please confirm the afore-mentioned values are different as per your knowledge. \*

No differences to be reported.

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement

Currency

2115102

USD

GCF Equity Disbursement

0

GCF Grants Disbursement

2115102

GCF Guarantees Disbursement

0

GCF Loans Disbursement

GCF Results-Based Payment Disbursement

0

GCF Loans Disbursement

0

GCF Senior-Loans Disbursement

0

GCF Subordinated-Loans Disbursement

0

GCF Grants Disbursement

2115102

GCF Non-reimbursable Grants Disbursement

2115102

GCF Reimbursable Grants Disbursement

0

Please confirm the afore-mentioned values are different as per your knowledge. \*

No differences to be reported.

3.3.2 Co-Financing Disbursement

Choose currency

0

USD

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period.

Indicate '0' if no amount is disbursed yet.

3.3.3 Total Project Disbursement

Choose currency

2115102

USD

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period.

Indicate '0' if no amount is disbursed yet.

Please provide comments on sub-section 3.3, if any.

## 3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

USD

**GCF Equity Expenditures**


Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**GCF Grants Expenditures**


Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**GCF Guarantees Expenditures**


Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**GCF Loans Expenditures**


Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.4.1 GCF Expenditures**


Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.4.2 Co-financing Expenditures**


Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.4.3 Total Project Expenditures**

0

Please provide comments on sub-section 3.4, if any.

## 3.5 Investment &amp; Other Income (Cumulative to this reporting period)

**Reporting Level for investment**

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

Please provide comments on sub-section 3.5, if any.

## 3.6 Report on AE fees (Cumulative to this reporting period)

**Reporting Level for AE fees**

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

**Accredited Entity Portfolio Level AE Fees**

**Please provide comments on sub-section 3.6, if any.**

Please see the Portfolio-level AE fee report that was submitted to GCF OPM through the UNDP-EF registry email on 1 March 2022.

**3.7 Annual Financial Performance Report****Please download the Financial Performance Report Template in Excel.**

[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

**Please attach the Annual Financial Performance Report here.**

[Cuba 5994\\_APR\\_2021\\_SECTION-3\\_cleared by MPSA\\_Dagmar\\_17Feb2022.xlsx](#)

**3.8 Unaudited Financial Statement****Submit the Unaudited financial statement (as required by FAA)**

(Semi-)Annual Audited financial statement, Interim/Final Evaluation Report should be submitted via separate and dedicated submission channels.

**Please provide comments on the attachment.**

N/A, this will be submitted at the corporate level.

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

## **APR CY2021 Section 4: Environmental and Social Safeguards & Gender - v2 2022-05-27 02:51 +09:00**

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### [APR CY2021] Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

**4.1 Implementation of environmental and social safeguards and gender elements**

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (2) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

**4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.**

During the reporting period, the project has yet to begin the implementation of its activities, as noted previously. No changes in the key environmental and social risks and impacts have been identified, as well as no unanticipated risks and impacts. Environmental and social risks remain as identified in the PRODOC to be considered upon the implementation of on the ground actions:

Risk 1: Rehabilitation of ecosystems may introduce non-native organisms/invasive species.

Risk 2: Project activities, including restoring hydrological flows to mangroves and coastal wetlands may cause adverse impacts to habitats (including critical habitats and/or environmentally sensitive areas).

Risk 3: Risk that climate change will impact rehabilitation interventions and environmental monitoring systems.

Risk 4: Risk that project activities, including mangrove and wetland rehabilitation, infrastructure removal activities, as well as monitoring of coral reefs may have an adverse effect on community or workers health and safety.

**4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:**

**Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA**
**Compliance Type**

Condition

**Compliance Title & Description**

Condition 1: FAA Clause 9.01

The obligation of the Fund to make any Disbursements under this Agreement shall be subject to the following conditions having been fulfilled to the satisfaction, in form and substance, of the Fund:

[...]

(d) Conditions precedent to third Disbursement: (i) Delivery to the Fund by the Accredited Entity of evidence in the form of hydrological studies, that the risk of the introduction of pollutants (including the runoff of harmful pesticides, herbicides, etc.) into ecosystems as a result of the Funded Activity is manageable (i.e. moderate or low in terms of UNDP Social and Environmental Screening Procedure (SESP) rating) through adequate risk mitigation actions. The level of the risk, and mitigation measure if relevant, will be presented in the hydrological study as well as the updated Environmental and Social Assessment Report ("ESAR"), in a manner satisfactory to the Fund.

**Status of compliance**

The project has yet to reach third disbursement stage, however the condition has been communicated to all project stakeholders and is considered within the project's workplan in 2024.

**Compliance Type**

Covenant

**Compliance Title & Description**

Covenant 1: FAA 10.01

In addition to clause 18.01 of the AMA, the Accredited Entity represents and warrants that:

[...]

(e) On the date of execution of this Agreement, the Effective Date and on the date of each Disbursement made by the Fund under this Agreement, the Accredited Entity has carried out all due diligence as necessary to ensure that the Funded Activity is at all times in compliance with its own gender policy and indigenous peoples policy, which are equivalent to the Updated Gender Policy and Indigenous Peoples Policy.

**Status of compliance**

Fully compliant. During this reporting period the project has yet to finance any activities. The management plans for the project were reviewed, reflected and socialized on the PRODOC, signed December 2, 2021, to ensure compliance. These were further corroborated during the request for first disbursement.

**Compliance Type**

Covenant

**Compliance Title & Description**

Covenant 2: FAA 10.02

In addition to clause 18.02 of the AMA, the Accredited Entity covenants that as from the Effective Date of this Agreement it shall:  
[...]

(f) Ensure that the GCF Proceeds will not support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project;

(h) Prior to commencing any construction works or activities for the implementation of the Project, the Accredited Entity shall submit to the Fund the detailed Environmental and Social Management Plan related to the relevant construction works or activities to be executed, in a form and substance satisfactory to the GCF;

(i) Ensure that the infrastructure to be implemented as part of the Funded Activity (i) are designed, constructed, operated and decommissioned in accordance with good industry practices and any other applicable standards which, in each case, shall be consistent with the practices of other international organizations working in the region, and taking into consideration health and safety risks to third parties or affected communities; and (ii) that the quality of such infrastructure is in accordance with best practices followed by international organizations in the region;  
[...]

(n) Ensure that the Executing Entity shall acquire all necessary environmental licenses or clearances, and shall promptly furnish evidence, in a form and substance satisfactory to the Fund and upon its request, that such licenses or clearances, are available for the purposes of the Project;

**Status of compliance**

Fully Compliant with clauses (f), (h), (i) and (n). The project received its first disbursement funds on December 22, 2021. No expenses related to the project have been made during the present reporting period. The project's management plan has been included within the signed Project Document and has been reviewed by all implementing parties to ensure full alignment. During this reporting period no licenses are currently required

**Compliance Type**

Law / Regulation

**Compliance Title & Description**

Constitution of the Republic of Cuba, 2019.

Article 13, 32, 90 – Referring to the protection of the natural historical and cultural heritage sites.

**Status of compliance**

During this reporting period the project has not undergone any activities on the ground including forest and hydrological restoration work in the area of Jucaro near the locality of the Trocha de Jucaro monument.

**Compliance Type**

Law / Regulation

**Compliance Title & Description**

Constitution of the Republic of Cuba, 2019. Article 11, 16, 75 – Referring to the protection of the environment.

Law 81 of 1997, Environmental Law

**Status of compliance**

During this reporting period the project has not undergone any activities on the ground including forest and hydrological restoration work that could affect the environment.

**Compliance Type**

Law / Regulation

**Compliance Title & Description**

Law 85 of 1998, Forestry Law

**Status of compliance**

During this reporting period the project has not undergone any activities on the ground including forest and hydrological restoration work that could affect forestry resources.

**Compliance Type**

Law / Regulation

**Compliance Title & Description**

Decree-Law 212 of 2000, Coastal Zone Management)

**Status of compliance**

During this reporting period the project has not undergone any activities on the ground including forest and hydrological restoration work that could affect the coastal zone.

**Compliance Type**

Law / Regulation

**Compliance Title & Description**

Law 124 of 2017, on Terrestrial Waters

**Status of compliance**

During this reporting period the project has not undergone any activities on the ground including forest and hydrological restoration work that could affect terrestrial waters.

**Compliance Type**

Law / Regulation

**Compliance Title & Description**

Law 145 2021, Territorial and Urban Planning and Land Management.

**Status of compliance**

During this reporting period the project has yet to finance any activities on the ground including forest and hydrological restoration work.

**4.1.3 Provide a report on the progress made during the reporting period in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements and specifying details outlined in the tables below.**

### Implementation of ESMPs and ESMFs

#### Activities implemented during the reporting period, including monitoring

Socialization among project implementation partners (INRH, MINAG, FLACSO, CITMA, Institution of AMA) of the project's management plans (GAAP, SEP, ESAR).

#### Outputs during the reporting period

Increased awareness

#### Key environmental, social and gender issues, risks and impacts addressed during implementation

N/A

#### Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

None

**4.1.4 AEs are obligated to inform executing entities, people and project beneficiaries about the GCF's Independent redress Mechanism and the AE's own Grievance Redress Mechanism. This includes bringing the contact details, accessibility, and basic procedures of such mechanisms to the attention of executing entities, people and project beneficiaries. Please provide detailed information on the steps taken by the AE to fulfill this obligation during the reporting period in the project target area and to the public, including the dissemination of information through meetings, brochures, hotlines, and other means.**

Please provide detailed information including dates and venues of activities, number of attendees, confirmation that information was provided on the 1) IRM and 2) AE's grievance redress mechanism 3] project-level grievance mechanism (where applicable).

As per the requirements of the UNDP Accountability Mechanism (see details in link 1 below), which are aligned with the GCF's IRM, information regarding the UNDP corporate mechanisms (Stakeholder Response Mechanism (see case registry in link 2 below) and the Social and Environmental Compliance Unit (see case registry in link 3 below) and Project-level grievance redress mechanisms (see guidance in link 4 below) is made available to project stakeholders throughout project design and implementation including in Project Inception Workshops as outlined in the reports shared with the GCF. Information on the GCF IRM is also made available to all project stakeholders, and yearly reporting on project-level grievance redress mechanisms and stakeholder engagement events (including dates and venues) where this information is made available can be found in Sections 4.1.5 and 4.1.6 in PPMS."

LINK 1: <https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.undp.org%2Fsocial-and-environmental-compliance-review-and-stakeholder-response-mechanism&data=04%7C01%7Cjihyea.kim%40undp.org%7Cf5eae463040d484a3ad408d9f7cd543d%7Cb3e5db5e2944483799f57488ace54319%7>

LINK 2: <https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fregistry%2Fsm%2FSRMPages%2FSRMSummary.aspx&data=04%7C01%7Cjihyea.kim%40undp.org>

LINK 3: <https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fregistry%2Fsecu%2FSECUPages%2FSECUSummary.aspx&data=04%7C01%7Cjihyea.kim%40undp.org>

LINK 4: [https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fbpps%2FSES\\_Toolkit%2FSES%2520Document%2520Library%2FUploaded%2520October%25202021](https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fbpps%2FSES_Toolkit%2FSES%2520Document%2520Library%2FUploaded%2520October%25202021)

**4.1.5 Include a description of the actions undertaken during the reporting period towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.**

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

**Implementation of the stakeholder engagement plan**

<p><b>Activities implemented during the reporting period</b></p> <p>Socialization among key stakeholders of the project (INRH, MINAG, FLACSO, CITMA, Institution of AMA), and engagement confirmation that will be evidenced in signed legal arrangements.</p>
<p><b>Dates and venues of engagement activities</b></p> <p>INRH (Dec. 8, 21, 28, 2021))                  MINAG (Nov. 30, 2021)                  FLACSO (Dec. 22, 2021)                  CITMA, Institutions of AMA (Dec. 27, 2021)</p>
<p><b>Information shared with stakeholders</b></p> <p>Roles and Responsibilities.                  Management arrangements.                  Legal Advisory Network.</p>
<p><b>Outputs including issues addressed during the reporting period</b></p> <p>Confirmed the roles and responsibilities of key stake holders.                  .</p>

**4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.**

<p><b>Description of issues/complaints received during the reporting period</b></p> <p>No complaints were received.                  GRM will be informed during Inception Workshop and established during project year 1.</p> <p>Please specify to which grievance mechanism the complaint was filed (AE grievance mechanism or project-level grievance mechanism, If known, please explain relationship of the complainant to the project (for example: community member, contractor, business-owner, etc.)</p>	<p><b>Date of receipt</b></p> <p><input type="text"/></p> <p>Please provide information on any monitoring and follow-up activities.</p>
<p><b>Description of resolution</b></p> <p>n/a</p>	<p><b>Status of addressing issues/complaints</b></p> <p>n/a</p> <p>Based on GRM's own policies, provide information related to phase of complaint (for example: including but not limited to Open, Open: Under investigation, Open: Problem Solving/Compliance Review, Closed)</p>
<p><b>If you wish to share more details on the nature of grievances reported above, please download the Grievance Tracker template from the FAQ and submit it as an attachment here.</b></p> <p>In case you want to keep the file confidential, please choose your preference accordingly in Section 1.1.</p>	

**4.2 Gender Action Plan**

During the reporting period the project has yet to begin the implementation of its activities. During initial meetings socialization of Gender action Plan was carried out to introduce key stakeholders with planned activities, targets and budgets included in the project's GAAP.

Report on the progress of the project's Gender Action Plan will be provided in the 2022 APR including the engagement of a gender specialist

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

**4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal**

<b>Activity / Action</b>	
1.1.1. Gender equitable training delivered to target community members on coastal wetland monitoring and in the design of monitoring methodologies 1.1.2 Robust participation of women in employment created by the implementation of EBA adaptation protocols	
<b>Indicator</b>	
Number of women trained Number of women involved in the design of the methodological tool for the monitoring of coastal ecosystems Number of women with employment hydrological restoration process including the removal of invasive species	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	50% of the training personal 50 % of the total personal involved 30% of the new employment will be occupied by women
<b>Budget</b>	<b>Currency</b>
200541	USD
<b>Report on annual progress</b>	
0	

<b>Activity / Action</b>	
1.2.1. Robust participation of women in employment created by the implementation of EBA adaptation protocols	
<b>Indicator</b>	
Number of women with employment in mangrove, swamp forest and swamp grassland interventions Number of women headed households with employment in mangrove, swamp forest and swamp grassland interventions	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	30% of the new employment will be occupied by women
<b>Budget</b>	<b>Currency</b>
118270	USD
<b>Report on annual progress</b>	
0	

<b>Activity / Action</b>	
1.3.1. Robust participation of women in monitoring of marine ecosystems	
<b>Indicator</b>	
Number of women involved in monitoring of marine ecosystems.	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	30% of the people involved in monitoring activities
<b>Budget</b>	<b>Currency</b>
218541	Please select

**Report on annual progress**

0

**Activity / Action**

1.4.1. Gender equitable training delivered to INRH experts on hydrological modelling and data management.

**Indicator**

Number of women trained

**Baseline**

0

**Target, including sex-disaggregation**

50% of the personal trained

**Budget**

118270

**Currency**

USD

**Report on annual progress**

0

**Activity / Action**

2.1.1. Gender equal participation of women in the design of EBA content and in training delivery

2.1.2. Awareness raising and training of local government officials on responding to issues of intersectional vulnerability (of women, female-headed households, the elderly, children and disabled persons), including training on environmentally sustainable production practices that reduce or avoid anthropogenic pressure on ecosystems

**Indicator**

Act 2.1.1

Number of women participating in design of training content.

Number of women participating in training delivery.

Number of women trained as decision makers in community level-agricultural cooperatives

Act 2.1.2

Number of women trained in EBA

Training package developed that addresses issues of intersectional vulnerability (of women, female-headed households, the elderly, children and disabled persons)

Number of local government officials trained in EBA approaches to coastal adaptation.

**Baseline**

0

**Target, including sex-disaggregation**

50% of the total people involved in the training process

1 package

480 (20 government officials in each of the 24 municipalities)

**Budget**

388875

**Currency**

USD

**Report on annual progress**

0

**Activity / Action**

2.2.1. Gender-equitable involvement of women in the implementation of community monitoring systems.

2.2.2. Climate Information products that respond to women's information needs

<b>Indicator</b>	
Number of women involved implementation of community monitoring systems Number of climate information products developed through the project	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	50% of the total people involved in the monitoring process At least 3 climate information products developed responding to women's information needs
<b>Budget</b>	<b>Currency</b>
350875	USD
<b>Report on annual progress</b>	
0	

<b>Activity / Action</b>	
2.3.1. Gender equitable participation in the preparation of proposals for Mainstream EBA approaches into regulatory and planning frameworks at the territorial and national levels. 2.3.2. Design and validate methodological tools for addressing gender during the project implementation and to mainstream gender in natural resource planning, investment and use 2.3.3. Gender equitable participation in the project implementation at national and local levels	
<b>Indicator</b>	
Number of women involved in the preparation of proposals related to EBA in regulations and Land Management Plans Number of methodological tools Number of women involve in the coordination of the project implementation	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	50% of the total people involved in the preparation of proposals for the inclusion of EBA in regulations 2 50% of the total people involved in the project implementation (projects decision-making bodies) at national and local level are women
<b>Budget</b>	<b>Currency</b>
238002	USD
<b>Report on annual progress</b>	
0	

#### 4.3 Planned activities on environmental and social safeguards for the next reporting period

- 1st quarter: An international consultancy will be contracted during the national inception workshop to carry out a training activity in the implementation of the environmental and social safeguard tool into the project, and to advise in the planning process for the first year of the project. 7 Provincial inception workshops will also be held to confirm the stakeholder engagement and roles with the project implementation. The workshops at the provincial level will allow for the implementation of a screening procedure for impacts on ecosystem-based livelihoods that will be led by FLACSO. A key result from the provincial workshop will be establishing a local representative that will provide support in the monitoring of the project's social and environmental management plans
- The project's technical teams for social and environmental safeguard will be hired.
- The project's grievances redress mechanism will be established.
- Review the project's the Environmental and Social Assessment Report (ESAR). 4th quarter
- Review the Social and Environmental Screening Procedure (SESP). 4th quarter
- A Biodiversity Action Plan for enhancing and conserving biodiversity and ecosystem services will be drafted for Playa Cajío and Surgidero de Batabano during the project's 4th quarter

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

#### 4.4 Planned activities on gender elements for the next reporting period

- An international consultancy will be contracted during the inception workshop to carry out a training activity for the implementation of the Gender Action Plan (GAP) of the project, and to advise in the planning process for the first year of the project.
- 7 inception workshops will be held at a provincial level (1 per province) to socialize the project as well as to validate subnational stakeholders, their engagement, and roles during the project implementation. These workshops will provide the discussions at a subnational level inform and validate the activities to be developed during first year of implementation and the conformation of provincial technical teams to ensure the monitoring of the project's gender plan and full participation of women during project implementation. This will ensure that the project is able to comply on its gender targets at a subnational level.
- Monitoring GAP performance indicators.
- Engagement of a gender specialist to provide support to the project.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

#### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

## APR CY2021 Section 5: Annexes and Attachments - v2 2022-05-27 02:51 +09:00

### [APR CY2021] Section 5: Annexes and Attachments

Please note that this is section 5 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

## Annex 1: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

**Please provide comments on the annexes attached above if any.**

## Attachments

**Please submit any attachments (if any).**

[2021 APR - Cuba - FP157 - 5994 \[1 March 2022\].pdf](#)

## Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.