

# Closure Stage Quality Assurance Report

**Form Status: Approved**

<b>Overall Rating:</b>	Highly Satisfactory
<b>Decision:</b>	
<b>Portfolio/Project Number:</b>	00097091
<b>Portfolio/Project Title:</b>	FSP Sixth Operational Phase of the GEF-SGP
<b>Portfolio/Project Date:</b>	2018-01-01 / 2022-07-20

## Strategic

**Quality Rating: Exemplary**

1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?

- 3:** *The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)*
- 2:** The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)
- 1:** The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

**Evidence:**

A partir de la fuerte temporada de huracanes y tormentas tropicales del 2020, el equipo del Programa en conjunto con el Comité Nacional de Dirección (CND) y un Grupo Asesor Técnico, desarrollaron una propuesta para la recuperación comunitaria y la creación de mecanismos de resiliencia en comunidades indígenas y rurales afectadas por las tormentas tropicales en el sur de México, a través de mecanismos de gobernanza locales. (Plan de iniciación)

Durante la pandemia la tasa de conversión peso-dolar favoreció a las organizaciones. Con la finalidad de responder a las necesidades comunitarias, el CND acordó una serie de actividades de recuperación en las que las organizaciones invirtieron el recurso adicional. (Acta 41 CND)

Desde el 2020 y hasta el final de la fase, continuación acciones de seguridad y protocolos de higiene ante covid-19, como el acompañamiento a distancia, las visitas de monitoreo con pocas personas y en lugares al aire libre. (Protocolo misiones)

También continuaron las actividades de alerta temprana ante huracanes para brindar información oportuna sobre las condiciones climáticas a las personas interesadas de los 5 paisajes de incidencia. (AccionesHuracanes)

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<b>#</b>	<b>File Name</b>	<b>Modified By</b>	<b>Modified On</b>
1	1.Acta41ReuniónCND-FullySigned_13143_301 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.Acta41ReuniónCND-FullySigned_13143_301.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.Acta41ReuniónCND-FullySigned_13143_301.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:15:00 PM
2	1.AccionesHuracanes_13143_301 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.AccionesHuracanes_13143_301.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.AccionesHuracanes_13143_301.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:16:00 PM
3	1.PlandeiniaciónproyectorrecuperaciónCristóbal_13143_301 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.PlandeiniaciónproyectorrecuperaciónCristóbal_13143_301.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.PlandeiniaciónproyectorrecuperaciónCristóbal_13143_301.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:16:00 PM
4	1.PROTOCOLO_MISIONES_COVID_PPDME X_13143_301 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.PROTOCOLO_MISIONES_COVID_PPDME X_13143_301.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.PROTOCOLO_MISIONES_COVID_PPDME X_13143_301.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:16:00 PM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- 3: *The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution .The project's RRF included all the relevant SP output indicators. (all must be true)*
- 2: The project responded to at least one of the developments settings1 as specified in the Strategic Plan. The project's RRF included at least one SP output indicator, if relevant. (both must be true)
- 1: While the project may have responded to a partner's identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

**Evidence:**

El objetivo de este proyecto es contribuir al logro de beneficios ambientales globales a través de empoderar a las comunidades locales para que manejen paisajes productivos en los grandes ecosistemas del sureste de México de manera que mejoren su sostenibilidad social, económica y ambiental, así como su resiliencia. Se cumplieron todas las metas de la fase operativa 6, que tenían como resultado el aumento de la resiliencia de cinco paisajes terrestres y marinos, a través de prácticas comunitarias que mantienen los servicios ecosistémicos, conservan la biodiversidad, mitigan el cambio climático y revierten la degradación de suelos. (Terminal Evaluation Report)

Las soluciones emblemáticas adoptadas en el desarrollo de la fase 6 fueron: las soluciones para el desarrollo basadas en la naturaleza, prevención de crisis y aumento de la resiliencia, y de manera transversal promover la igualdad de género y el empoderamiento de las mujeres.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	2.TEReport_SGPOP6_08April2022_13143_302 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2.TEReport_SGPOP6_08April2022_13143_302.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2.TEReport_SGPOP6_08April2022_13143_302.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:16:00 PM

**Relevant****Quality Rating: Highly Satisfactory**

3. Were the project's targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- 3: *Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups were active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)*
- 2: Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)
- 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- Not Applicable

**Evidence:**

En todo el desarrollo de la fase operativa 6, la participación y el involucramiento de las comunidades han sido eje rector del Programa . Las estrategias de los cinco paisajes se desarrollaron según la metodología COMDEKS, la cual es participativa. (Resumen\_estrategia\_regional)

Los eventos y actividades realizados siempre han priorizado la participación de las comunidades. A lo largo de la fase se realizaron espacios de encuentro presenciales y virtuales en los que las personas que implementan los proyectos siempre tuvieron espacios para contar sus historias y experiencias desde su propia voz.

En la etapa de cierre se llevó a cabo un proyecto de gestión del conocimiento (Knowledge management) en el que se recuperan las experiencias y lecciones aprendidas de las organizaciones de cada uno de los cinco paisajes y adicionalmente dos más uno para las organizaciones de turismo comunitario y uno de mujeres.

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#	File Name	Modified By	Modified On
1	3.Resumen_estrategia_regional_vf_13143_303 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.Resumen_estrategia_regional_vf_13143_303.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.Resumen_estrategia_regional_vf_13143_303.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:18:00 PM
2	3.REPORTETALLERCOSTERO2022-VF_13143_303 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.REPORTETALLERCOSTERO2022-VF_13143_303.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.REPORTETALLERCOSTERO2022-VF_13143_303.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:19:00 PM
3	3.ReporteTallerPaisajeForestal_13143_303 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.ReporteTallerPaisajeForestal_13143_303.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.ReporteTallerPaisajeForestal_13143_303.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:20:00 PM
4	3.ReporteIntercambioPaisajeCuencaUsumacinta_13143_303 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.ReporteIntercambioPaisajeCuencaUsumacinta_13143_303.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.ReporteIntercambioPaisajeCuencaUsumacinta_13143_303.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:19:00 PM
5	3.ReporteTallerPaisajeMilpa_13143_303 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.ReporteTallerPaisajeMilpa_13143_303.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/3.ReporteTallerPaisajeMilpa_13143_303.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:28:00 PM

4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- 2: *Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)*
- 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

**Evidence:**

Durante el diseño de la fase operativa 7, se retomaron las estrategias de los paisajes de incidencia del PPD y se realizaron talleres participativos, en los que participaron miembros del Comité, con el propósito validar la vigencia de dichas estrategias y las líneas de acción propuestas para cada paisaje, así como temas innovadores y transversales que se abordarán en la fase 7. Este trabajo de continuidad se ve reflejado en la primera convocatoria de la fase operativa 7 que fue aprobada por el CN D. (Fase Operativa 7)

Se desarrolló un proyecto de comunicación que formó a 8 jóvenes como comunicadores comunitarios. Este grupo realizó el contenido de siete boletines a lo largo de la fase operativa 6, que contienen las historias de alrededor de 40 proyectos. Adicionalmente, realizaron cortometrajes de los 5 paisajes que cuentan el desarrollo de proyectos emblemáticos de cada paisaje. (Productos comunicación)

En la etapa de cierre se llevaron a cabo siete talleres para la recuperación de experiencias y lecciones aprendidas de las organizaciones implementadoras de cada uno de los paisajes (5) y adicionalmente uno para las organizaciones de turismo comunitario y uno de mujeres. Esta información se está sistematizando para la creación de policy brief, infografías y documentos ejecutivos que resalten las buenas prácticas. (documentos en proceso)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	4.FaseOperativa7_13143_304 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/4.FaseOperativa7_13143_304.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/4.FaseOperativa7_13143_304.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:34:00 PM
2	4.Productoscomunicación_13143_304 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/4.Productoscomunicación_13143_304.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/4.Productoscomunicación_13143_304.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:34:00 PM

5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: *There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.*
- 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- 1: The project was not at scale, and there are no plans to scale up the project in the future.

**Evidence:**

Las metas establecidas en la fase operativa 6 se cumplieron por completo y en varios casos fueron superadas. Los cambios a los que contribuye el PPD son principalmente a nivel de organizaciones de base y comunidad, a través de 117 subvenciones se apoyaron a 265 comunidades en Campeche, Chiapas, Quintana Roo, Tabasco y Yucatán. Cada organización desarrolló proyectos de conservación ambiental y mejora de los medios de vida de alrededor de 4,283 personas. A través de las donaciones se realizó un esfuerzo importante para la capacitación para el desarrollo rural y administración de las empresas comunitarias de diferentes sectores productivos como el forestal, agrícola sustentable, cafetalero, cacaoero, apícola, pesquero, acuícola y de servicios como el turismo comunitario. (Terminal Evaluation)

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**Principled****Quality Rating: Satisfactory**

6. Were the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.



- 3: *The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)*
- 2: The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)
- 1: The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

**Evidence:**

En el 2020 se realizó un diagnóstico de género como parte del proceso de construcción y planeación de la fase operativa 7 y fue el insumo para la elaboración de un plan de acción de género que delinea las acciones y los recursos necesarios para su ejecución, con el fin de brindar un acceso equitativo de hombres y mujeres al programa y que promueva activamente el empoderamiento y la participación de las mujeres en los espacios de toma de decisiones con el fin de promover un desarrollo sustentable inclusivo, es decir; que no deje a nadie atrás. (Diagnóstico de Género)

Con miras a la implementación del plan de género para la siguiente fase operativa del PPD, a mediados de mayo de 2022 (18 y 19 de mayo) se realizó un intercambio de experiencias con mujeres que participaron en algunos de los proyectos apoyados durante la sexta fase. Se contó con la participación de 13 mujeres de los estados de Yucatán, Quintana Roo, Campeche, Chiapas y Tabasco. En este espacio reflexionaron sobre los logros alcanzados en sus proyectos y organizaciones y la percepción de las mujeres respecto al tema de perspectiva de género. (Reporte Taller Mujeres)

<b>List of Uploaded Documents</b>			
<b>#</b>	<b>File Name</b>	<b>Modified By</b>	<b>Modified On</b>
1	6.DiagnosticodeGéneroPPD_VF_13143_306 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6.DiagnosticodeGéneroPPD_VF_13143_306.pdf)	andrea.serrano@undp.org	6/23/2022 5:35:00 PM
2	6.ReporteTallerPaisaje_Mujeres_VF_13143_306 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/6.ReporteTallerPaisaje_Mujeres_VF_13143_306.pdf)	andrea.serrano@undp.org	6/23/2022 5:36:00 PM

7. Were social and environmental impacts and risks successfully managed and monitored?

- 3: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)
- 2: *Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.*
- 1: Social and environmental risks were tracked in the risk log. For projects categorized as High, Substantial, or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

**Evidence:**

El programa tiene una fuerte cultura de prevención de los riesgos, los niveles de riesgo del SESP no aumentaron, sin embargo, la pandemia tuvo un impacto social considerable que continuó afectando a organizaciones y comunidades sobre todo. (SESP)

Gracias al trabajo conjunto con la Unidad de Manejo de Riesgos del PNUD, fue posible desarrollar y utilizar una nueva herramienta para la Evaluación de Afectaciones y Necesidades, la cual fue utilizada de manera urgente en la gran mayoría de las organizaciones sociales, y permitió responder de manera oportuna y eficiente a las necesidades comunitarias. (EAN)

Por otra parte, los proyectos que se aprobaron contaron con una capacitación en blindaje de inversión, desarrollaron un análisis de riesgo de desastres y la gran mayoría implementaron acciones preventivas. Esto llevó a que gran parte de los proyectos disminuyeran o no tuvieran afecciones ligadas a las temporadas de ciclones y huracanes, en 2020 fue la más intensa registrada históricamente y en 2021 nuevamente se presentaron fenómenos fuertes.

**List of Uploaded Documents**

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1	7.EAN-Final_13143_307 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/7.EAN-Final_13143_307.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/7.EAN-Final_13143_307.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:38:00 PM
2	7.SESPFO6_13143_307 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/7.SESPFO6_13143_307.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/7.SESPFO6_13143_307.pdf</a> )	andrea.serrano@undp.org	6/24/2022 8:47:00 PM

8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- 3: Project-affected people actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High, Substantial, or Moderate Risk through the SESP, a project-level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: Project-affected people informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project was categorized as Substantial or High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.
- 1: *Project-affected people was not informed of UNDP's Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)*

**Evidence:**

El Procedimiento de evaluación social y ambiental (SESP) de la fase operativa 6, categoriza el proyecto de bajo riesgo basado en la selección de riesgos, incluidos los posibles efectos sobre los derechos, las tierras, los territorios y/o los medios de vida tradicionales de los pueblos indígenas. En este contexto no se recibieron reclamos relacionados a la implementación del programa. (SESP) Como parte de las decisiones del CND se está trabajando en el desarrollo de un mecanismo de quejas que se implementará en la Fase Operativa 7.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	8.SESPFO6_13143_308 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/8.SESPFO6_13143_308.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/8.SESPFO6_13143_308.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:40:00 PM

**Management & Monitoring****Quality Rating: Highly Satisfactory**

9. Was the project's M&E Plan adequately implemented?

- 3: *The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project's RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)*
- 2: The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project's RRF was collected on a regular basis, although there may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)
- 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project's RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.

**Evidence:**

De acuerdo con la evaluación final, el plan de M&E previó todos los elementos relevantes para el propósito: El Marco de Resultados como la principal herramienta de monitoreo con valores de referencia y objetivos bien definidos; la hoja de trabajo de indicadores básicos (Core Indicators); y Tres hitos incluidos en el plan de evaluación del proyecto: informe inicial (inception report), revisión intermedia (Mid Term Review) y la evaluación final (Terminal Evaluation). El proyecto contó con un marco de resultados bien articulado, claro y con indicadores SMART. Las actividades y herramientas que se usaron para propósitos de M&E fueron bien definidas en el ProDoc y son las actividades típicas que caracterizan los proyectos del PNUD/FMAM en todo el mundo. Todas las cuales fueron utilizadas y evaluadas como satisfactorias. (Terminal Evaluation) Además se consolidó el uso del sistema de Monitoreo de Acuerdos, Línea base, Impacto, Seguimiento, y Administración (Mon-ALISA). El cual consiste en un mecanismo de almacenamiento de información por las categorías más relevantes del quehacer del programa, de manera que se mantenga actualizada, sistematizada y fácilmente disponible para todos los miembros del equipo. Además se afinaron y/o diseñaron una serie de instrumentos de monitoreo que permitieron atender a cada una de los informes y evaluaciones solicitados anualmente. (Mapa MONALISA)

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	9.TEReport_SGPOP6_08April2022_13143_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/9.TEReport_SGPOP6_08April2022_13143_309.pdf)	andrea.serrano@undp.org	6/23/2022 5:41:00 PM
2	9.MapaMON-ALISA_13143_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/9.MapaMON-ALISA_13143_309.xlsx)	andrea.serrano@undp.org	6/23/2022 5:42:00 PM

10. Was the project's governance mechanism (i.e., the project board or equivalent) function as intended?

- 3: *The project's governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)*
- 2: The project's governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)
- 1: The project's governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

**Evidence:**

Las reuniones del Comité Nacional de Dirección (CND) se realizaron conforme a lo planeado y fueron modificadas a plataformas virtuales durante la pandemia. En los casos que fueron necesarios se agendaron reuniones para atender temas particulares (i.e. situación ante la pandemia, renovación de miembros del Comité, convocatorias emergentes). (ACTAS)

Durante toda la fase el equipo PPD mantuvo comunicación fluida sobre los avances de los proyectos, del desarrollo operativo de la fase en general y de las actividades y colaboraciones clave del programa y se les hizo llegar todos los reportes anuales (PIR), evaluaciones (IR, MTR, Joint evaluation y TE) para mantener al comité informado de los avances de la fase.

Además, como parte fundamental de esta fase, se creó un reglamento que detalla los procesos de gobernanza del CND. Esto llevó a la renovación del comité e incorporación de siete nuevos integrantes de diferentes campos de expertis. (Reglamento CND)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	1.Acta41ReuniónCND-FullySigned_13143_310 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.Acta41ReuniónCND-FullySigned_13143_310.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.Acta41ReuniónCND-FullySigned_13143_310.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:43:00 PM
2	10.ReglamentoCND_13143_310 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/10.ReglamentoCND_13143_310.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/10.ReglamentoCND_13143_310.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:43:00 PM

11. Were risks to the project adequately monitored and managed?

- 3: The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)
- 2: *The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.*
- 1: The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.

**Evidence:**

Los riesgos se monitorearon anualmente y se actualizaron en la plataforma ATLAS.  
Además se mantuvo comunicación y coordinación con la unidad de manejo de riesgos del PNUD para dar respuesta oportuna ante cualquier eventualidad en el territorio.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	RiskLogSGP6th_13143_311 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/RiskLogSGP6th_13143_311.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/RiskLogSGP6th_13143_311.docx</a> )	luis.mejia@undp.org	6/28/2022 5:19:00 PM
2	11.RiesgosATLAS2022_13143_311 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/11.RiesgosATLAS2022_13143_311.png">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/11.RiesgosATLAS2022_13143_311.png</a> )	andrea.serrano@undp.org	6/28/2022 6:42:00 PM

**Efficient****Quality Rating: Exemplary**

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
- No



**Evidence:**

Se movilizaron los recursos suficientes para lograr los resultados previstos y se hicieron los ajustes necesarios para adaptarse a los retos y condiciones de la pandemia. (Project Dashboard)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	12.Projectdashboard_13143_312 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/12.Projectdashboard_13143_312.PNG">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/12.Projectdashboard_13143_312.PNG</a> )	andrea.serrano@undp.org	6/23/2022 5:44:00 PM

13. Were project inputs procured and delivered on time to efficiently contribute to results?

- 3: *The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)*
- 2: The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

**Evidence:**

Todas las adquisiciones se implementan a través de UNOPS, el organismo de ejecución del PPD. En la transición de la asistencia del Programa en 2021 se capacitó a la Asistente de Programa, misma que fue reforzada por la Associate Portfolio Manager de UNOPS NYSC. (Headquarters structure y programme structure)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	13.SGPHeadquartersstructure_13143_313 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/13.SGPHeadquartersstructure_13143_313.png">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/13.SGPHeadquartersstructure_13143_313.png</a> )	andrea.serrano@undp.org	6/23/2022 5:45:00 PM

14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- 3: *There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)*
- 2: The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.
- 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

**Evidence:**

Las revisiones presupuestales se realizaron dos veces al año entre la Asistente de Programa, y el punto focal de la oficina UNOPS; una vez revisadas fueron compartidas con el CPMT para las aprobaciones finales. (Budget revision)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	14.Budgetrevisionevidence_13143_314 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/14.Budgetrevisionevidence_13143_314.PNG">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/14.Budgetrevisionevidence_13143_314.PNG</a> )	andrea.serrano@undp.org	6/23/2022 5:45:00 PM

**Effective****Quality Rating: Highly Satisfactory**

15. Was the project on track and delivered its expected outputs?

- Yes  
 No

**Evidence:**

Debido a la pandemia por COVID-19 se vio afectada la ejecución de casi todos los proyectos financiados por el PPD, así como las actividades presenciales (talleres, encuentros, visitas de monitoreo) que el PPD tenía planeadas para el año 2020. Ante esta situación el Comité Nacional de Dirección tomó la decisión de solicitar una enmienda de 17 meses para poder cumplir con las metas del programa, la cual fue aprobada. Esto permitió que se cumpliera con todos los resultados esperados. (Carta de Extensión, y Extensión sin costo)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	15.Carta_RR_ExtensionSGPMex_13143_315 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/15.Carta_RR_ExtensionSGPMex_13143_315.pdf)	andrea.serrano@undp.org	6/23/2022 5:46:00 PM
2	15.OP6_Mexico_NoCostExtension_13143_315 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/15.OP6_Mexico_NoCostExtension_13143_315.pdf)	andrea.serrano@undp.org	6/23/2022 5:46:00 PM

16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?

- 3: Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)
- 2: *There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.*
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

**Evidence:**

Se actualizó el plan de trabajo y se hicieron los ajustes presupuestales necesarios ante la pandemia por COVID-19. Se contó con los instrumentos ajustados del PPD que se presentaron a UNOPS, a pesar de no haberse realizado los informes trimestrales se ha dado seguimiento continuo para garantizar que las actividades implementadas, en conjunto con la extensión de 17 meses, logren el cumplimiento satisfactorio de los resultados. (Plan de trabajo 2020, 2021, 2022)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	16.PlandeTrabajo2020_PPD_13143_316 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/16.PlandeTrabajo2020_PPD_13143_316.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/16.PlandeTrabajo2020_PPD_13143_316.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:48:00 PM
2	16.PlandeTrabajo2021_PPD_13143_316 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/16.PlandeTrabajo2021_PPD_13143_316.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/16.PlandeTrabajo2021_PPD_13143_316.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:48:00 PM
3	16.POA_PPD_2022_13143_316 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/16.POA_PPD_2022_13143_316.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/16.POA_PPD_2022_13143_316.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:49:00 PM

17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

- 3: *The project targeted specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups were reached as intended. The project engaged regularly with targeted groups over the past year to assess whether they benefited as expected and adjustments were made if necessary, to refine targeting. (all must be true)*
- 2: The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)
- 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- Not Applicable

#### Evidence:

El programa está dirigido al acompañamiento y financiamiento de organizaciones de base, se trabajó únicamente en zonas rurales con proyectos planeados por las mismas comunidades para la protección ambiental y el desarrollo comunitario. Como parte de la implementación del programa tenemos la estrategia "grantmaker plus", que incluye la promoción de la inclusión social, de plataformas de diálogo de políticas y planificación entre las organizaciones y el gobierno y el fortalecimiento de capacidades y conocimiento. La evaluación final señaló que la gestión del proyecto fue transparente, incluyente y participativa, y que el desempeño técnico y las habilidades interpersonales del equipo ejecutor del programa fueron claves para diálogo fructífero con las organizaciones, lo que permitió una retroalimentación constante, identificando fortalezas y mejoras en la implementación de las donaciones. (Terminal Evaluation)

#### List of Uploaded Documents

#	File Name	Modified By	Modified On
1	17.TEReport_SGPOP6_13143_317 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/17.TEReport_SGPOP6_13143_317.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/17.TEReport_SGPOP6_13143_317.pdf</a> )	andrea.serrano@undp.org	6/23/2022 5:50:00 PM

## Sustainability &amp; National Ownership

Quality Rating: **Exemplary**

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)
- 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable*

**Evidence:**

El proyecto se ejecuta en modalidad DIM

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation [arrangements](#)<sup>8</sup> adjusted according to changes in partner capacities?

- 3: *Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)*
- 2: Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- Not Applicable

**Evidence:**

El proyecto aplica el monitoreo de capacidades c  
onforme a lo solicitado por el FMAM

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#	File Name	Modified By	Modified On
No documents available.			

20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).

- 3: *The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)*
- 2: There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.
- 1: The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.

**Evidence:**

El PPD es un programa ejecutivo del FMAM, por lo que no cuenta con una estrategia de phase-out. El programa se encuentra alineado con la estrategia país, la cual se encuentra vigente. Para la fase operativa 7 se actualiza la estrategia país, mediante diálogos con gobiernos, comunidades y organizaciones de la sociedad civil. (Estructura del programa)

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	20.GEFSGPPROGRAMMESTRUCTURE_13143_320 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20.GEFSGPPROGRAMMESTRUCTURE_13143_320.png">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20.GEFSGPPROGRAMMESTRUCTURE_13143_320.png</a> )	andrea.serrano@undp.org	6/23/2022 5:51:00 PM
2	MinutaJuntadeProyectoCierreOP6PPDPNU D-signed_13143_320 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MinutaJuntadeProyectoCierreOP6PPDPNU D-signed_13143_320.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MinutaJuntadeProyectoCierreOP6PPDPNU D-signed_13143_320.pdf</a> )	luis.mejia@undp.org	7/22/2022 6:04:00 PM

**QA Summary/Final Project Board Comments**

Unidad de Programas: se mantendrá informada a la cancillería sobre las convocatorias y el avance del programa que amplió su territorio a Oaxaca y Puebla y con nuevas temáticas de energía y mercado, y con énfasis en las alianzas estratégicas.

Proyecto: Se logró una primera donación fuera del GEF durante la fase 6 a través de la UN Foundation que demostró que este mecanismo es posible. Se espera que los mecanismos eficientes se mejoren y continúen de manera que se diversifique la cartera y poder presentar a AMEXCID nuevos documentos de proyectos que provengan de otros donantes.

La sexta fase del PPD se considera exitosa

Junta de Proyecto; Se acuerda avanzar en el cierre operativo del programa y vincular los esfuerzos hacia la Fase 7 para dar continuidad a estos trabajos.