

# Implementation Stage Quality Assurance Report

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**Form Status: Locked**

<b>Overall Rating:</b>	Satisfactory
<b>Decision:</b>	Continue as planned: The project is of sufficient quality to continue as planned. All management actions must be addressed in a timely manner.
<b>Portfolio/Project Number:</b>	00118860
<b>Portfolio/Project Title:</b>	Sinergia para fortalecer gestión ANP
<b>Portfolio/Project Date:</b>	2019-05-01 / 2024-12-31

**Strategic****Quality Rating: Satisfactory**

1. Is the project pro-actively identifying changes to the external environment and incorporating them into the project strategy?

- 3: The project team has identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives and the assumptions have been tested to determine if the project's strategy is still valid. There is evidence that the project board has considered the implications, and documented any changes needed to the project in response. (all must be true)
- 2: *The project team has identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes may not have been fully integrated in the project. (both must be true)*
- 1: The project team may have considered relevant changes in the external environment since implementation began, but there is no evidence that the project team has considered changes to the project as a result.

**Evidence:**

Durante el 2021, 38 capacidades aportadas por expertos contratados por el Proyecto fueron asimiladas por la contraparte, la Comisión Nacional de Áreas Naturales Protegidas (CONANP), al incorporar este año a los 38 expertos a su propia estructura. Su incorporación implica que las capacidades, conocimientos y experiencia de esos expertos (SC) formarán parte de la estructura formal de esta institución para mantener su impacto favorable en el manejo efectivo de las áreas naturales protegidas.

[https://directorio.conanp.gob.mx/directoriolist.php?search=castellano&Submit=Buscar+%C2%A0\(\\*\)&lim=10&in=1&regxpag=10&pagina=1](https://directorio.conanp.gob.mx/directoriolist.php?search=castellano&Submit=Buscar+%C2%A0(*)&lim=10&in=1&regxpag=10&pagina=1)

Lo anterior se realizó con base a una coordinación estrecha entre la Coordinación del Proyecto, las áreas directivas de la contraparte y la oficina de país del PNUD.

Asimismo, para el 2022 la contraparte cuenta recursos aprobados en el Presupuesto de Egresos de la Federación 2022, por lo que en la Junta de Proyecto (diciembre 2021) se determinó la necesidad de extender la duración del proyecto durante el 2022, con el propósito continuar el objetivo principal del proyecto, que es fortalecer la gestión de las áreas naturales protegidas para su manejo efectivo con lo cual se contribuye al cumplimiento de metas establecido en su Programa Nacional de Áreas Naturales Protegidas 2020-2024.

La información sobre la estructura de la CONANP es pública y se puede acceder a ella a través de <https://consultapublicamx.inai.org.mx/vut-web/faces/view/consultaPublica.xhtml?idEntidad=MzM=&idSujetoObligado=ODM=#tarjetaInformativa> (se actualiza por trimestres).

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_201">TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_201</a> ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_201">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_201</a> .pdf)	rosalba.obregon@undp.org	11/19/2021 2:26:00 AM

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds at least one of the [development settings](#)<sup>3</sup> as specified in the Strategic Plan (SP) and adopts at least one [Signature Solution](#)<sup>4</sup> and the project's RRF includes at all the relevant SP output indicators. (all must be true)
- 2: *The project responds to one of the three areas of development work<sup>1</sup> as specified in the Strategic Plan. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)*
- 1: While the project may respond to a partner's identified need, this need falls outside the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

**Evidence:**

El Proyecto está alineado al Plan Estratégico en el área "Acelerar las transformaciones estructurales para el desarrollo sostenible" y vinculado al área emergente "Promover soluciones basadas en la naturaleza para un planeta sustentable" principalmente propiciando un manejo efectivo de las ANP el cual debe estar hecho a la medida de cada una de las demandas y características específicas de cada una de ellos (de carácter social, biológico, financiero, legal, administrativo, institucional, científico, de planificación, entre otros). -PRODOC 2019-2022

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1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PRODOCfirmado_9963_202.pdf">PRODOCfirmado_9963_202 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PRODOCfirmado_9963_202.pdf)</a>	rosalba.obregon@undp.org	10/18/2021 12:05:00 AM

**Relevant**

**Quality Rating: Satisfactory**

3. Are the project's targeted groups being systematically engaged, with a priority focus on the discriminated and marginalized, to ensure the project remains relevant for them?

- 3: Systematic and structured feedback has been collected over the past two years from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups are active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- 2: Targeted groups have been engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, has been collected over the past year to ensure the project is addressing local priorities. This information has been used to inform project decision making. (all must be true)
- 1: Some beneficiary feedback may have been collected over the past year, but this information has not been used to inform project decision making. This option is also selected if no beneficiary feedback has been collected.
- Not Applicable*

**Evidence:**

No Aplica

El Proyecto no tiene beneficiarios directos y tampoco cuenta con un enfoque prioritario sobre los excluidos y marginados. Dada sus características el beneficiario o grupo objetivo es la CONANP como institución.

Se destaca que el Proyecto a pesar de que no tiene beneficiarios directos beneficia de manera indirecta a los habitantes de las ANP, los cuales viven en municipios de pobreza o extrema pobreza. Esto debido a que el proyecto cuenta con un promedio de 170 expertos (Service Contract, ahora NPSA) que fortalecen en la gestión de la CONANP realizando diversas actividades de monitoreo biológico y ambiental, vigilancia, restauración, seguimiento a la ejecución de los programas de subsidio, educación ambiental, entre muchas otras tareas.

El listado de trabajadores, se encuentra pública en <https://sinergia-plus.conanp.gob.mx/informes>. Asimismo, la normativa de los programas de subsidio de la CONANP, así como los informes desagregados por sexo de los apoyos otorgados es pública y se encuentra en: <https://www.gob.mx/conanp/acciones-y-programas/programa-de-conservacion-para-el-desarrollo-sostenible-procodes-2021> y <https://www.gob.mx/conanp/acciones-y-programas/programa-para-la-proteccion-y-restauracion-de-ecosistemas-y-especies-prioritarias-prorest-2021>

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4. Is the project generating knowledge and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring have been discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- 2: *Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, have been considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)*
- 1: There is limited or no evidence that knowledge and lessons learned have been collected by the project team. There is little or no evidence that this has informed project decision making.

**Evidence:**

El proyecto forma parte de una colaboración de 20 años con su contraparte CONANP, con la finalidad de fortalecer la gestión y el manejo efectivo de las áreas naturales protegidas federales del país. Se destaca que en el 2021 como parte de las lecciones aprendidas se incorporaron de 38 capacidades de colegas que pasaron a formar parte de la estructura laboral de la CONANP. Esto permitió que esta institución a simulara e incorporara dentro de su plantilla de trabajadores a personas con la experiencia y conocimientos adquiridos en el proyecto, con lo cual se cumple con el objetivo de fortalecer e incorporar capacidades para la gestión de las áreas naturales protegidas a partir del reconocimiento y valoración del trabajo de las personas que generan capacidades y han acompañado, asesorado y coadyuvado, desde el PNUD, a la CONANP en su labor de protección y conservación de esas áreas.

La lista de trabajadores, por norma, la CONANP debe actualizarla trimestralmente y puede ser consultada en <https://sinergia-plus.conanp.gob.mx/informes>. Lo anterior dio como resultado que el proyecto iniciara con un proceso de nuevas contrataciones, a partir de la modalidad NPSA, con la finalidad de seguir fortaleciendo a la CONANP en temas específicos para que esta dé cumplimiento a las metas establecidas en el Programa Nacional de Áreas Naturales Protegidas (PNANP 2020-2024) y a lo que por mandato tiene dentro del Reglamento Interior de la Secretaría de Medio Ambiente.

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1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_204">TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_204</a> ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_204.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TrabajadoresCONANPtransitodelproyectoSINERGIA_9963_204.pdf</a> )	rosalba.obregon@undp.org	11/30/2021 10:35:00 PM

5. Is the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: There is credible evidence that the project is reaching a sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.
- 2: *While the project is currently not at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).*
- 1: The project is not at scale, and there are no plans currently to scale up the project in the future.

#### Evidence:

El proyecto se programó para finalizar el 31 de marzo 2022. Para ese año la contraparte cuenta recursos aprobados en el Presupuesto de Egresos de la Federación, por lo que en la Junta de Proyecto se determinó la necesidad de extender la duración del proyecto durante el 2022, con el propósito de cumplir con las metas comprometidas que en su marco de resultados no se alcanzaron, como las metas que atienden los temas de participación social y equidad de género, así como continuar con el apoyo de aquellas actividades que contribuyan a que la contraparte cumpla con lo establecido en su Programa Nacional de Áreas Naturales Protegidas 2020-2024. La enmienda de extensión, junto con la revisión sustantiva correspondiente, se llevará a cabo en el mes de diciembre 2021 y enero 2022.

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#### Principled

Quality Rating: **Satisfactory**

6. Are the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and producing the intended effect? If not, evidence-based adjustments and changes have been made.



- 3: The project team has systematically gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- 2: *The project team has some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)*
- 1: The project team has limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

**Evidence:**

La naturaleza del Proyecto incide directamente en las áreas naturales protegidas de la CONANP y la mejora de sus capacidades institucionales por lo que no tiene compromisos específicos y directos con la equidad de género. Sin embargo, en su Resultados 1 y 2 se incorpora la perspectiva de género a partir de propiciar e incluir la participación de mujeres en su desarrollo, incorporando datos desagregados por edad y sexo y estadísticas de género. Lo anterior se realiza a partir de la participación de los expertos SC, ahora NPSA, del proyecto, que apoyan el la ejecución de los programas de subsidio, los cuales contemplan el cumplimiento del componente de género que tienen dentro de su marco normativo para acceder a los apoyos económicos que estos otorgan. La participación de estos expertos permite verificar que el componente de la inclusión de género se lleve a cabo, así como la generación de reportes sobre los apoyos otorgados.

La información de reportes de los apoyos otorgados por parte de los programas de subsidio, a cargo de la contraparte (CONANP) es pública y se puede consultar en <https://www.gob.mx/conanp/acciones-y-programas/programa-de-conservacion-para-el-desarrollo-sostenible-procodes-2021> y <https://www.gob.mx/conanp/acciones-y-programas/programa-para-la-proteccion-y-restauracion-de-ecosistemas-y-especies-prioritarias-prorest-2021>, donde viene la información desagregada por sexo y tipo de apoyo.

En el micrositio del proyecto se pueden consultar los nombres, las posiciones de los expertos que realizan estas actividades y las áreas naturales protegidas o direcciones regionales en donde se encuentran. <https://sinergia-plus.conanp.gob.mx/informes>.

Las actividades reportadas de los trabajadores del proyecto quedan plasmadas en los informes de actividades que realizan, al menos dos veces al año. Estos informes están registrados en el micrositio del proyecto y son revisadas por la Unidad Coordinadora del mismo, así como por la contraparte CONANP. Los informes no son públicos.

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No documents available.			

7. Are social and environmental impacts and risks being successfully managed and monitored?

- 3: Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there has been a substantive change to the project or change in context that affects risk levels, the SESP is updated to reflect these changes. (all must be true)
- 2: *Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project is categorized as Low risk through the SESP.*
- 1: Social and environmental risks have not been tracked in the risk log. For projects categorized as High, Substantial, and Moderate Risk there is no evidence that social and environmental assessments have been completed and/or management plans or measures development, implemented or monitored. There have been substantive changes to the project or changes in the context but SESP has not been updated. (any may be true)

#### Evidence:

El proyecto cuenta con un Modelo de Diagnóstico Social y Ambiental el cual forma parte del PRODOC y se encuentra registrado en <https://intranet.undp.org/docs/pdc/Documents/Forms/AllItems.aspx?RootFolder=%2Fdocs%2Fpdc%2FDocuments%2FMEX&FilterField1=UndpProjectNo&FilterValue1=00118860>  
El proyecto se considera como de bajo riesgo.

### List of Uploaded Documents

#	File Name	Modified By	Modified On
1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_207.pdf">Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_207 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_207.pdf)</a>	rosalba.obregon@undp.org	10/18/2021 1:24:00 AM

8. Are grievance mechanisms available to project-affected people and are grievances (if any) addressed to ensure any perceived harm is effectively mitigated?

- 3: Project-affected people have been actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project is categorized as High, Substantial, or Moderate Risk through the SESP, a project-level grievance mechanism is in place and project affected people informed. If grievances have been received, they are effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: *Project-affected people have been informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project is categorized as Substantial or High Risk through the SESP, a project-level grievance mechanism is in place and project affected people informed. If grievances have been received they are responded to but face challenges in arriving at a resolution.*
- 1: Project-affected people not informed of UNDP's Corporate Accountability Mechanism. If grievances have been received they are not responded to. (any may be true)

**Evidence:**

El proyecto cuenta con un Modelo de Diagnóstico Social y Ambiental el cual forma parte del PRODOC y se encuentra registrado en <https://intranet.undp.org/docs/pdc/Documents/Forms/AllItems.aspx?RootFolder=%2Fdocs%2Fpdc%2FDocuments%2FMEX&FilterField1=UndpProjectNo&FilterValue1=00118860>  
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1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_208">Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_208</a> ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_208">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2ModelodeDiagnósticoSocioAmbientaSinergiafirmado_9963_208</a> .pdf)	rosalba.obregon@undp.org	10/18/2021 1:22:00 AM

**Management & Monitoring**

**Quality Rating: Satisfactory**

9. Is the project's M&E Plan sufficient and adequately implemented?

- 3: *The project has a comprehensive and costed M&E plan. Baselines, targets and milestones are fully populated. Progress data against indicators in the project's RRF is being reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, including during evaluations and/or After Action Reviews, are used to take corrective actions when necessary. (all must be true)*
- 2: The project has a costed M&E Plan, and most baselines and targets are populated. Progress data against indicators in the project's RRF is collected on a regular basis, although there may be some slippage in following the frequency stated in the Plan and data sources are not always reliable. Any evaluations conducted, if relevant, meet most decentralized evaluation standards. Lessons learned have been captured but may not have been used to take corrective actions yet. (all must be true)
- 1: The project has an M&E Plan, but costs are not clearly planned and budgeted for, or are unrealistic. Progress data is not being regularly collected against the indicators in the project's RRF. Evaluations may not meet decentralized evaluation standards. Lessons learned are rarely captured and used. Select this option also if the project does not have an M&E plan.

**Evidence:**

El proyecto cuenta con su línea base y targets por año, los cuales son monitoreados y reportados sus avances a través de su debido registro y de los informes trimestrales y anuales, que se cargan en las plataformas correspondientes, como el IATI y son públicos. <https://open.undp.org/projects/00118860>.

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10. Is project's governance mechanism (i.e., the project board or equivalent) functioning as intended?

- 3: The project's governance mechanism is operating well, and is a model for other projects. It has met in the agreed frequency stated in the project document and the minutes of the meetings are on file. There is regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviews and uses evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)
- 2: *The project's governance mechanism has met in the agreed frequency and the minutes of the meeting are on file. A project progress report has been submitted to the project board or equivalent at least once in the past year, covering results, risks and opportunities. (both must be true to select this option)*
- 1: The project's governance mechanism has not met in the frequency stated in the project document over the past year and/or the project board or equivalent is not functioning as a decision-making body for the project as intended.

**Evidence:**

El mecanismo de gobernanza funciona. El Comité Técnico se reunió, vía zoom, en enero 2021 para realizar un balance sobre el alcance de metas en el año 2021, así como se confirmaron las metas para el 2021 y la proyección presupuestal.

La contraparte tuvo cambios en su estructura administrativa en el 2021, lo que significó dar a conocer el proyecto al nuevo Director Ejecutivo de Administración y Efectividad Institucional.

La reunión de Junta de Proyecto se llevará a cabo a principios del mes de diciembre de 2021.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ReunionSinergiaComitéTécnico_9963_210.pdf">ReunionSinergiaComitéTécnico_9963_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ReunionSinergiaComitéTécnico_9963_210.pdf)</a>	rosalba.obregon@undp.org	11/23/2021 6:49:00 PM
2	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/SesiónComitéTécnicoSinergia2021_9963_210.m4a">SesiónComitéTécnicoSinergia2021_9963_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/SesiónComitéTécnicoSinergia2021_9963_210.m4a)</a>	rosalba.obregon@undp.org	11/23/2021 6:54:00 PM
3	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ConvocatoriaComitéTécnico_9963_210.pdf">ConvocatoriaComitéTécnico_9963_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ConvocatoriaComitéTécnico_9963_210.pdf)</a>	rosalba.obregon@undp.org	11/24/2021 2:17:00 AM
4	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/00115497Informe_primertrimestre2021_9963_210.pdf">00115497Informe_primertrimestre2021_9963_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/00115497Informe_primertrimestre2021_9963_210.pdf)</a>	rosalba.obregon@undp.org	11/24/2021 2:39:00 AM
5	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/00115497_Informe_segundotrimestre2021_9963_210.pdf">00115497_Informe_segundotrimestre2021_9963_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/00115497_Informe_segundotrimestre2021_9963_210.pdf)</a>	rosalba.obregon@undp.org	11/24/2021 2:40:00 AM

11. Are risks to the project adequately monitored and managed?

- 3: The project has actively monitored risks every quarter including consulting with key stakeholders, including security advisors, to identify continuing and emerging risks and to assess if the main assumptions remain valid. There is clear evidence that relevant management plans and mitigating measures are being fully implemented to address each key project risk, and have been updated to reflect the latest risk assessment. (all must be true)
- 2: *The project has monitored risks every year, as evidenced by an updated risk log. Some updates have been made to management plans and mitigation measures.*
- 1: The risk log has not been updated as required. There may be some evidence that the project has monitored risks (including security risks or incidents) that may affect the project's achievement of results, but there is no explicit evidence that management actions have been taken to mitigate risks. In the case of a deteriorating security environment, no consultation has occurred with the UNDP Security Office on appropriate measures.

**Evidence:**

El proyecto es de riesgo moderado. En ese sentido se hizo una revisión sobre los riesgos registraos en Atlas y se modificaron los que aparecían como riesgos altos.

**List of Uploaded Documents**

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No documents available.			

**Efficient****Quality Rating: Highly Satisfactory**

12. Adequate resources have been mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
- No

**Evidence:**

El proyecto a través de su Unidad Coordinadora a ll evado a cabo el seguimiento y gestiones necesarias con su contraparte La CONANP y la Gerencia del P NUD México para que los recursos necesarios para el cumplimiento de sus resultados y metas se cumpl ieran en el 2021. Al mes de noviembre la contrapart e realizó un depósito por 4 millones de DLL, con lo c ual da cumplimiento a las metas presupuestarias pr evistas para este año.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PRO22nov2021_9963_212.PDF">PRO22nov2021_9963_212 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PRO22nov2021_9963_212.PDF)</a>	rosalba.obregon@undp.org	11/22/2021 9:47:00 PM

13. Are project inputs procured and delivered on time to efficiently contribute to results?

- 3: *The project has an updated procurement plan. Implementation of the plan is on or ahead of schedule. The project quarterly reviews operational bottlenecks to procuring inputs in a timely manner and addresses them through appropriate management actions. (all must be true)*
- 2: The project has an updated procurement plan. The project annually reviews operational bottlenecks to procuring inputs in a timely manner and addresses them through appropriate management actions. (all must be true)
- 1: The project does not have an updated procurement plan. The project may or may not have reviewed operational bottlenecks to procuring inputs in a timely manner, however management actions have not been taken to address them.

**Evidence:**

Se cuenta con un plan de adquisiciones actualizado y cuenta con los mecanismos de gestión adecuados para atender los requerimientos de la contraparte, lo s cuales se encuentran contemplados en su marco de resultados.



**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	<a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PlandeadquisiconesPROMT_9963_213.pdf">PlandeadquisiconesPROMT_9963_213 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PlandeadquisiconesPROMT_9963_213.pdf)</a>	rosalba.obregon@undp.org	11/24/2021 2:19:00 AM

14. Is there regular monitoring and recording of cost efficiencies taking into account the expected quality of results?

- 3: There is evidence that the project regularly reviews costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximizes results that can be delivered with given resources. The project actively coordinates with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and seek efficiencies wherever possible (e.g. joint activities.) (both must be true)
- 2: *The project monitors its own costs and gives anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there is no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinates activities with other projects to achieve cost efficiency gains.*
- 1: There is little or no evidence that the project monitors its own costs and is considering ways to save money beyond following standard procurement rules.

**Evidence:**

El proyecto mantiene una estrecha coordinación con la contraparte y con la Gerencia del PNUD México para revisar los costos de las adquisiciones que se realiza. Para lo anterior, todo costo es analizado y aprobado por ambas partes y en apego a la normatividad establecida en el PNUD.  
Las adquisiciones pueden ser consultadas en <https://open.undp.org/projects/00118860>

**List of Uploaded Documents**

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No documents available.			

**Effective****Quality Rating: Highly Satisfactory**

15. Is the project is on track to deliver its expected outputs?

- Yes  
 No

**Evidence:**

El proyecto cuenta con un mecanismo de gobernanza que funciona para el seguimiento del cumplimiento de metas. Se cuenta con el acta de reunión del Comité Técnico y con los informes trimestrales que dan cuenta del avance de las metas establecidas en el marco de resultados del proyecto.

El Comité Técnico se reunió, vía zoom, en enero 2021 para realizar un balance sobre el alcance de metas en el año 2021, así como se confirmaron las metas para el 2021 y la proyección presupuestal.

El soporte documental se encuentra en el punto 10, de este informe "Is project's governance mechanism (i.e., the project board or equivalent) functioning as intended?"

La reunión de Junta de Proyecto se llevará a cabo a principios del mes de diciembre de 2021.

Lo anterior permite llevar un seguimiento puntual sobre el logro de resultados.

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16. Have there been regular reviews of the work plan to ensure that the project is on track to achieve the desired results, and to inform course corrections if needed?

- 3: Quarterly progress data has informed regular reviews of the project work plan to ensure that the activities implemented are most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations and/or After Action Reviews) have been used to inform course corrections, as needed. Any necessary budget revisions have been made. (both must be true)
- 2: There has been at least one review of the work plan per year to assess if project activities are on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned has been used to inform the review(s). Any necessary budget revisions have been made.
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs are delivered on time, no link has been made to the delivery of desired development results. Select this option also if no review of the work plan by management has taken place over the past year.

**Evidence:**

El proyecto cuenta con un mecanismo de gobernanza que funciona para el seguimiento del cumplimiento de metas. Se cuenta con el acta de reunión del Comité Técnico y con los informes trimestrales que dan cuenta del avance de las metas establecidas en el marco de resultados del proyecto.

El Comité Técnico se reunió, vía zoom, en enero 2021 para realizar un balance sobre el alcance de metas en el año 2021, así como se confirmaron las metas para el 2021 y la proyección presupuestal.

El soporte documental se encuentra en el punto 10. "Is project's governance mechanism (i.e., the project board or equivalent) functioning as intended?"

La reunión de Junta de Proyecto se llevará a cabo a principios del mes de diciembre de 2021.

Lo anterior permite llevar un seguimiento puntual sobre el logro de resultados.

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17. Are targeted groups being systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results are achieved as expected?

- 3: The project is targeting specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups are being reached as intended. The project has engaged regularly with targeted groups over the past year to assess whether they are benefiting as expected and adjustments were made if necessary to refine targeting. (all must be true)
- 2: *The project is targeting specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There has been some engagement with beneficiaries in the past year to assess whether they are benefiting as expected. (all must be true)*
- 1: The project does not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are deprived and/or excluded from development opportunities relevant to the project area of work. There may have been some engagement with beneficiaries to assess whether they are benefiting as expected, but it has been limited or has not occurred in the past year.
- Not Applicable

**Evidence:**

La naturaleza del Proyecto incide directamente en las áreas naturales protegidas de la CONANP y la mejora de sus capacidades institucionales por lo que no tiene compromisos específicos y directos con grupos específicos. Sin embargo, los expertos del proyecto SC, ahora NPSA, apoyan la gestión y manejo efectivo de las áreas naturales protegidas, a cargo de la contraparte (CONANP), las cuales son habitadas por las personas que viven en los municipios que están establecidos en sus decretos. También algunas atienden además a usuarios y visitantes por ser de uso público.

La lista de trabajadores del proyecto se encuentran en <https://sinergia-plus.conanp.gob.mx/informes>, la cual es pública.

**List of Uploaded Documents**

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No documents available.			

**Sustainability & National Ownership****Quality Rating: Satisfactory**

18. Are stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) are used to fully implement and monitor the project. All relevant stakeholders and partners are fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) are used to implement and monitor the project, but other support (such as country office support or project systems) may also be used if necessary. All relevant stakeholders and partners are fully and actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- 1: There is relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

**Evidence:**

La toma de decisiones del proyecto se llevan a cabo en estrecha coordinación de la contraparte (CONAN P) y la oficina de país PNUD-México. Para lo anterior, además de las reuniones de Comité Técnico y de Junta de Proyecto, la comunicación constante, ha sido crucial para la implementación del proyecto y el monitoreo de resultados.

La evidencia es cotidiana: mensajes de texto en whats, teams. Llamadas telefónicas, reuniones telefónicas, reuniones presenciales, etc.

**List of Uploaded Documents**

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No documents available.			

19. There is regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed. The [implementation arrangements](#)<sup>5</sup> have been adjusted according to changes in partner capacities.

- 3: In the past two years, changes in capacities and performance of institutions and systems have been comprehensively assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements have been formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (both must be true)
- 2: *In the past two years, aspects of changes in capacities and performance of relevant national institutions and systems have been monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment has been made to implementation arrangements if needed to reflect changes in partner capacities. (both must be true)*
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- Not Applicable

**Evidence:**

En el 2021 la Oficina de país del PNUD tuvo una evaluación global, en donde se seleccionaron proyectos de manera aleatoria. La Oficina de país PNUD-México atendió los resultados y recomendaciones de la evaluación, las cuales se reflejan en una diferente operatividad de los procesos con los proyectos.

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No documents available.			

20. The transition and phase-out arrangements are reviewed regularly and adjusted according to progress (including financial commitments and capacity).

- 3: *The project's governance mechanism has reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project is on track in meeting the requirements set out by the plan. The plan has been adjusted according to progress as needed. (both must be true)*
- 2: There has been a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project is on track in meeting the requirements set out by the plan.
- 1: The project may have a sustainability plan, but there has not been a review of this strategy since it was developed. Also select this option if the project does not have a sustainability strategy.

**Evidence:**

El proyecto cuenta con un mecanismo de gobernanza que funciona para el seguimiento del cumplimiento de metas. Se cuenta con el acta de reunión del Comité Técnico y con los informes trimestrales que dan cuenta del avance de las metas establecidas en el marco de resultados del proyecto.

El Comité Técnico se reunió, vía zoom, en enero 2021 para realizar un balance sobre el alcance de metas en el año 2021, así como se confirmaron las metas para el 2021 y la proyección presupuestal.

El soporte documental se encuentra en el punto "10. Is the project's governance mechanism (i.e., the project board or equivalent) functioning as intended?"

La reunión de Junta de Proyecto se llevará a cabo a principios del mes de diciembre de 2021.

Lo anterior permite llevar un seguimiento puntual sobre el logro de resultados e identificar los ajustes o arreglos institucionales necesarios para reflejar cambios en las capacidades de la contraparte, como lo es la incorporación de 38 trabajadores del proyecto a su plantilla de personal.

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**QA Summary/Project Board Comments**

Este proyecto de fortalecimiento institucional ha mantenido estándares de calidad durante su implementación. Cuenta con un mecanismo de gobernanza funcional y una unidad coordinadora sólida.