

ASM-07526 - SESP (Social and Environmental Screening Procedure)

Procedure Year: 2024

Overall Project Risk Categorization: Low

Procedure Status: Approved

Procedure Name: ASM-07526

Procedure Type: Implementation

Procedure Department: CO - Mexico - Mexico City

Record Owner: Fernando Camacho Rico

Created by: Alejandra Cerna,08/05/2024, 18:35

Last Modified by: Sandra SOSA CARCAMO,12/05/2024, 16:36

RELATED PROJECTS(1)					
NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
00120619	CO - Mexico - Mexico City	On Going	00126578	02/03/2020	31/05/2025

Triggered Requirements:

Assessment

Status: Incomplete

Is assessment required? (Question only required for Moderate, Substantial and High Risk projects)

Targeted assessment(s) required?

No

If yes, please indicate the status of required assessment(s)?

Comments (for instance, please indicate the assessment type) (10000 Characters maximum)

Environmental and Social Impact Assessment (ESIA) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Strategic Environmental and Social Assessment (SESA) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Management Plans

Status: Incomplete

Are management plans required? (Question only required for Moderate, Substantial and High Risk projects)

Targeted management plan(s) required?

No

If yes, please indicate the status of required management plan(s)?

Comments (for instance, please indicate the type of management plan) (10000 Characters maximum)

Environmental and Social Management Plan (ESMP) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Environmental and Social Management Framework (ESMF) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Identified Risks & Treatments:

Principle & Standard: Standard 1 : Biodiversity Conservation and Sustainable Natural Resource Management

Risk Significance: Low

Triggered Principles:

Risk Significance:  Low

Event:

El proyecto se ejecuta en importantes áreas de conservación y riqueza de biodiversidad.

Causes:

Daño a recursos naturales o incentivar un aprovechamiento no adecuado de los recursos naturales y bosques.

Impact:

El proyecto se enfoca en el desarrollo de capacidades de las comunidades locales para aumentar sus capacidades de preparación a eventos climáticos extremos, no se consideran acciones de intervención directa sobre la biodiversidad y ecosistemas.

Principle & Standard: Standard 2: Climate Change and Disaster Risks

Risk Significance:  Low

Triggered Principles:

Risk Significance:  Low

Event:

La ocurrencia de eventos climáticos extremos.

Causes:

Ocasionar daños y pérdidas en las comunidades, así como retrasos en la operación del proyecto.

Impact:

Si bien el proyecto se encuentra enfocado a riesgos climático, también es susceptible a ellos, ya que se implementa en comunidades y regiones vulnerables al riesgo de desastres.

Principle & Standard: Standard 6: Indigenous Peoples**Risk Significance:**  Low**Triggered Principles:****Risk Significance:**  Low**Event:**

Personal de proyecto que trabaja en comunidades.

Causes:

El personal podría todavía ser transmisor asintomático del virus COVID-19 en las comunidades locales de trabajo.

Impact:

Se programaran las actividades de campo con base al semáforo epidemiológico, así como se contarán con medidas de protección y prevención para reducir contagios.

Questionnaire:

Step 1 - Integrate the SES Programming Principles

Status: Complete

Question 1 - How Does the project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach (32000 Characters maximum)

El proyecto incorpora el Enfoque basado en los Derechos Humanos a través brindar el acceso a la información y fortalecer capacidades de los actores locales interesados, incorporar mecanismos de participación para la toma de decisiones, así como espacios de contraloría social y rendición de cuentas sobre los alcances del sistema de alerta temprana. Así mismo en proyecto tiene como uno de sus fines últimos es fortalecer el ejercicio pleno del Derecho a la Vida y a la Seguridad, y el Derecho al Medio Ambiente Sano, a través del establecimiento de medidas para que la población sea informada en caso de inundaciones súbitas o deslizamientos de ladera que pongan en riesgo sus vidas y su patrimonio, así como la identificación de riesgos y vulnerabilidades en la conservación y manejo de ecosistemas, para reducir la degradación y la deforestación que ocasionan esos y otros impactos a la población. En la protección de dichos derechos la población de varias comunidades serán sujetos activos asesorados por el proyecto, y no solo beneficiarios de medidas tomadas por sus gobiernos. El resto de las acciones previstas en este proyecto tendrán también como principios de actuación del enfoque de derechos, la inclusión, sostenibilidad y la igualdad, así como la no discriminación.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment (32000 Characters maximum)

El Proyecto pretende sensibilizar a los distintos actores participantes, tanto en las comunidades como en diferentes niveles de gobierno, en el hecho de que son principalmente las mujeres el sector de la población más afectado y con mayores pérdidas de vidas humanas en los desastres asociados a la inestabilidad de laderas y las inundaciones súbitas. Así mismo, sobre el hecho de que en los procesos de conservación y el manejo de ecosistemas, se implementan políticas ciegas al género, que contribuyen a ampliar la brecha de desigualdad entre hombres y mujeres. Este análisis irá acompañado por el de vulnerabilidades locales, para mostrar en cada localidad cuáles son los factores que están generando no solo riesgos diferenciados, o mayores desigualdades (en forma específica en cada lugar), sino también menores capacidades de las mujeres locales para reducirlos. El proyecto planteará medidas prácticas y de corto plazo para reducir esas vulnerabilidades que contribuyan de manera simultánea de ser posible, tanto a reducir la desigualdad de género como a mitigar los riesgos detectados, incluyendo por supuesto su participación en los sistemas de alerta locales ante la inestabilidad de laderas y/o inundaciones súbitas que diseñará el proyecto. También identificará y propondrá rutas, incluyendo aquellas sugeridas por las propias mujeres, para incorporar la perspectiva de igualdad de género en los procesos de producción sustentable, de conservación y manejo de ecosistemas, que reconozcan las necesidades e intereses específicos y diferenciados de las mujeres. Es importante adelantar que debido a la corta duración del proyecto, (poco más de un año) este segundo tipo de medidas de tipo estructural solo se llegarán a identificar y proponer, pero no hay recursos, ni compromisos para su implementación.

Briefly describe in the space below how the project mainstreams sustainability and resilience (32000 Characters maximum)

El proyecto tiene dentro de su objetivo central contribuir al buen manejo y conservación de los ecosistemas en los que se trabajará (selvas y bosques húmedos del sur de México) como una medida de reducción de riesgos y adaptación basada en ecosistemas. Para esto, cuenta como parte de sus actividades realizará un análisis entre los procesos de degradación ambiental y deforestación y su relación con riesgos de desastres como la inestabilidad de laderas en municipios prioritarios; asimismo, establecerá medidas de fortalecimiento de capacidades institucionales y locales para mejorar el manejo y conservación de ecosistemas con criterios de cambio climático. Al mismo tiempo, el proyecto generará propuestas concretas desde el enfoque de soluciones basadas en la naturaleza, que se entregarán a los actores principales, y podrán ser retomadas en futuras acciones del sector gubernamental, privado y social para su implementación.

Briefly describe in the space below how the project strengthens accountability to stakeholders (32000 Characters maximum)

El proyecto realizará reuniones periódicas con socios y partes interesadas para comunicar resultados, avances y riesgos, asimismo se realizarán publicaciones y materiales de comunicación de los resultados que sea de libre acceso para el público en general. El proyecto se implementará en estrecha coordinación con autoridades gubernamentales y técnicas referentes en los temas de cambio climático y riesgos de desastres, de tal forma que se cuente la validación sobre los resultados y metodologías generadas por el proyecto.

Step 2.1 - SES Risk Screening Checklist (Programming Principles)

Status: Complete

Human Rights

P.1 Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2 Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	No
P.3 Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	No

Would the project potentially involve or lead to:

P.4 Adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups? No

P.5 Inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? No

P.6 Restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities? No

P.7 Exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals? No

Gender Equality and Women's Empowerment

P.8 Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)? No

Would the project potentially involve or lead to:

P.9 Adverse impacts on gender equality and/or the situation of women and girls? No

P.10 Reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? No

P.11 Limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? No

P.12 Exacerbation of risks of gender-based violence? No

Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below

Accountability

Would the project potentially involve or lead to:

P.13 Exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them? No

P.14 Grievances or objections from potentially affected stakeholders? No

P.15 Risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project? No

Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management

Would the project potentially involve or lead to:

1.1 Adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No
1.2 Activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	Yes
1.3 Changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods?	No
1.4 Risks to endangered species?	No
1.5 Exacerbation of illegal wildlife trade?	No
1.6 Introduction of invasive alien species?	No
1.7 Adverse impacts on soil?	No
1.8 Harvesting of natural forests, plantation development, or reforestation?	No
1.9 Significant agricultural production?	No
1.10 Animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11 Significant extraction, diversion or containment of surface or ground water?	No
1.12 Handling or utilization of genetically modified organisms/living modified organisms?	No
1.13 Utilization of genetic resources?	No
1.14 Adverse transboundary or global environmental concerns?	No

Standard 2: Climate Change and Disaster Risks

Would the project potentially involve or lead to:

2.1 Areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	Yes
2.2 Outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters	No
2.3 Direct or indirect increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)?	No
2.4 Increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No

Standard 3: Community Health, Safety and Security

Would the project potentially involve or lead to:

3.1 Construction and/or infrastructure development (e.g. roads, buildings, dams)? No

3.2 Air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation? No

3.3 Harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)? No

3.4 Risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health? No

3.5 Transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)? No

3.6 Adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)? No

3.7 Influx of project workers to project areas? No

3.8 Engagement of security personnel to protect facilities and property or to support project activities? No

Standard 4: Cultural Heritage

Would the project potentially involve or lead to:

4.1 Activities adjacent to or within a Cultural Heritage site? No

4.2 Significant excavations, demolitions, movement of earth, flooding or other environmental changes? No

4.3 Adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) No

4.4 Alterations to landscapes and natural features with cultural significance? No

4.5 Utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes? No

Standard 5: Displacement and Resettlement

Would the project potentially involve or lead to:

5.1 Temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)? No

5.2 Economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions - even in the absence of physical relocation)? No

5.3 Risk of forced evictions? No

5.4 Impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples	
Would the project potentially involve or lead to:	
6.1 Areas where indigenous peoples are present (including project area of influence)?	Yes
6.2 Activities located on lands and territories claimed by indigenous peoples?	No
6.3 Impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?	No
6.4 The absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5 The utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6 Forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7 Adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8 Risks to the physical and cultural survival of indigenous peoples?	No
6.9 Impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No
Standard 7: Labour and Working Conditions	
Would the project potentially involve or lead to: (note: applies to project and contractor workers)	
7.1 Working conditions that do not meet national labour laws and international commitments?	No
7.2 Working conditions that may deny freedom of association and collective bargaining?	No
7.3 Use of child labour?	No
7.4 Use of forced labour?	No
7.5 Discriminatory working conditions and/or lack of equal opportunity?	No
7.6 Occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	No

Standard 8: Pollution Prevention and Resource Efficiency

Would the project potentially involve or lead to:

8.1 The release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2 The generation of waste (both hazardous and non-hazardous)?	No
8.3 The manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4 The use of chemicals or materials subject to international bans or phase-outs?	No
8.5 The application of pesticides that may have a negative effect on the environment or human health?	No
8.6 Significant consumption of raw materials, energy, and/or water?	No

Approval History

Approval Date: Sun May 12 00:00:00 GMT 2024

Approved By: Sandra SOSA CARCAMO <sandra.sosa@undp.org>

Confirmed Date:

Confirmed By:

PAC/Board Date:

PAC/Board Meeting minutes uploaded:

Reassess Closed Procedure History:

STEP NAME	DATE	STATUS	ASSIGNED TO
Cleared for PAC/Board	2024-05-12 16:36:29	Approved	Sandra SOSA CARCAMO
Confirmed by PAC/Board	2024-05-12 16:36:29	Pending	Sandra SOSA CARCAMO
Approval Request Submitted	2024-05-08 20:42:03	Started	Fernando Camacho Rico