

ANNEX II: SOCIAL AND ENVIRONMENTAL SCREENING TEMPLATE (2021 SESP TEMPLATE, VERSION 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	The Project for Strengthening Border Control Capacity for the COVID-19 Crisis
2. Project Number (i.e. Atlas project ID, PIMS+)	Project Number 00134671
3. Location (Global/Region/Country)	UNDP Pacific Office- Fiji, Vanuatu, Palau
4. Project stage (Design or Implementation)	Design
5. Date	July 2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

Human rights are an integral part of the project as the project is focused on supporting three Pacific governments and border agencies to safely re-open borders in the context of covid-19. This is critical essential from a right to health perspective but also from the perspective of free dome of movement within and across borders and

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

COVID-19 outbreak has affected women, men, transgender people, people with diverse gender and identity expression, and children differently. Early data indicates higher mortality rates for men, for example, while social and economic consequences may be more severe for women and girls. Given the widespread social consequences of infectious disease, the COVID-19 pandemic has significantly exacerbated pre-existing gender inequalities and stigmas alongside new areas of concern.

The underrepresentation of gender-based needs in border control processes, health care services, public and political decision-making processes, and crisis response serves as an example of the uneven impact of COVID-19. This is an aspect that will be addressed by the project

Briefly describe in the space below how the project mainstreams sustainability and resilience

The project design inherently integrated sustainability starting with high level government ownership of the project and high level and technical level consultations with each of the focus countries to support identify and define the challenges and gaps in capacity to be supported by the project partner agencies, in conjunction with the other key stakeholders, will take ownership of the design and the activities to be carried out in order to fulfil the outcome of the project. For sustainability of intervention, the budget incorporates intense capacity building for the border agencies throughout the duration. This is further bolstered by development of systems including SOPs and protocols for long term support and implementation beyond the duration of the project. The projects aims to bolstering and strengthening border management institutions so they are resilient to the COVID-19 pandemic shocks and impact and are able to facilitate continuity of open borders linking the countries regionally and globally.

Briefly describe in the space below how the project strengthens accountability to stakeholders

The projects Strengthen accountability through provision of technical advice and support to independent oversight commissions, including Auditors-General and parliaments and civil society, to routinely conduct monitoring of national health and border agencies and their implementation of COVID-19 public health protocols, including considerations around treatment of vulnerable groups and gender considerations.

Part B. Identifying and Managing Social and Environmental Risks

<p>QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i></p>	<p>QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i></p>			<p>QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High</p>
<p><i>Risk Description (broken down by event, cause, impact)</i></p>	<p><i>Impact and Likelihood (1-5)</i></p>	<p><i>Significance (Low, Moderate Substantial, High)</i></p>	<p><i>Comments (optional)</i></p>	<p><i>Description of assessment and management measures for risks rated as Moderate, Substantial or High</i></p>
<p>Risk 1:N/A</p>	<p>I = L =</p>			
<p>Risk 2/NA</p>	<p>I = L =</p>			
<p>[add additional rows as needed]</p>				

QUESTION 4: What is the overall project risk categorization?			
	<i>Low Risk</i>	<input checked="" type="checkbox"/>	
	<i>Moderate Risk</i>	<input type="checkbox"/>	
	<i>Substantial Risk</i>	<input type="checkbox"/>	
	<i>High Risk</i>	<input type="checkbox"/>	
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)			
Question only required for Moderate, Substantial and High Risk projects			
	<u><i>Is assessment required? (check if “yes”)</i></u>	<input type="checkbox"/>	<i>Status? (completed , planned)</i>
	<i>if yes, indicate overall type and status</i>	<input type="checkbox"/>	Targeted assessment(s)
		<input type="checkbox"/>	ESIA (Environmental and Social Impact Assessment)
		<input type="checkbox"/>	SESA (Strategic Environmental and Social Assessment)
	<u><i>Are management plans required? (check if “yes”)</i></u>	<input type="checkbox"/>	
	<i>If yes, indicate overall type</i>	<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)
		<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)
		<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)

	<i>Based on identified <u>risks</u>, which Principles/Project-level Standards triggered?</i>		Comments (not required)
	<i>Overarching Principle: Leave No One Behind</i>		
	<i>Human Rights</i>	<input type="checkbox"/>	
	<i>Gender Equality and Women's Empowerment</i>	<input type="checkbox"/>	
	<i>Accountability</i>	<input type="checkbox"/>	
	<i>1. Biodiversity Conservation and Sustainable Natural Resource Management</i>	<input type="checkbox"/>	
	<i>2. Climate Change and Disaster Risks</i>	<input type="checkbox"/>	
	<i>3. Community Health, Safety and Security</i>	<input type="checkbox"/>	
	<i>4. Cultural Heritage</i>	<input type="checkbox"/>	
	<i>5. Displacement and Resettlement</i>	<input type="checkbox"/>	
	<i>6. Indigenous Peoples</i>	<input type="checkbox"/>	
	<i>7. Labour and Working Conditions</i>	<input type="checkbox"/>	
	<i>8. Pollution Prevention and Resource Efficiency</i>	<input type="checkbox"/>	

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

<i>Signature</i>	<i>Date</i>	<i>Description</i>
QA Assessor	July 2021	UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted.
QA Approver	July 2021	UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC.

PAC Chair	July 2021	UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.
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