

## **Initiation Plan / GEF PPG**

**Project Title:** Generating Multiple Benefits Through Strengthened Protection of Intact Forest Landscapes in Lao PDR (CFB-Lao)

**Country: Lao PDR** 

Country Programme Outcome: United Nations Sustainable Development Cooperation Framework (UNSDF) 2021-2026, By 2026, people, especially the most vulnerable and marginalized, and institutions will be better able to sustainably access, manage, preserve and benefit from natural resources and promote green growth that is risks informed and disaster and climate-resilient. / CPD Output 2.1. State authorities develop policies and guidelines that improve natural resources management, disaster risk management and resilience to climate change. Output 2.2. Local authorities have enhanced capacities to implement integrated natural resources management and DRR systems. Output 2.3. Vulnerable rural communities participate in protected area management and conservation of ecosystems and wildlife and increase resilience to natural hazards-induced disasters and climate change.

**Gender Marker rating: GEN 2** 

**SES Pre-Screening Categorization:** Substantial

Quantum Project ID: 01000917

Quantum Award ID: 1129178

PIMS number: 9484

Management Arrangement: DIM

Total budget:

U\$\$ 200,000

Allocated resources:

• GEF

U\$\$ 200,000

AGREED BY

Martine Therer

UNDP Resident Representative

Docusigned by:

04-Aug-2023

04-Aug-2023

Date

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# I. Brief Description of the Initiation Plan/GEF PPG

# **Objective & Final Outputs**

The objective of the GEF PPG is to develop the project concept into a full project: Generating Multiple Benefits Through Strengthened Protection of Intact Forest Landscapes in Lao PDR (CFB-Lao). As described in the project concept (PIF/child project concept note), this project aims to safeguarding the integrity of IFLs in the Indo-Malaya CFB, conservation of globally significant biodiversity, mitigation of GHG emissions, and enhanced well-being of IPLCs.

The following information is to be consulted as background for the GEF PPG phase:

- PFD Into Malay Critical Forest Biome Integrated Program and child project concept note cleared for WP inclusion.
- SES pre-screening undertaken by the Technical Advisor of the SES UNDP Safeguards Team.<sup>1</sup>
- Comments from GEF Secretariat, Council, STAP
- Annotated UNDP-GEF Project Document Template and associated guidance included therein
- UNDP policies and procedures
- UNDP-GEF guidance notes on GEF project development (which will be provided by the RTA) and
- GEF polices on: Project Cancellation; Fee Policy for GEF Partner Agencies; Project and Program Cycle; and Minimum Fiduciary Standards for GEF Partner Agency (in particular sections dealing with the required separation of oversight and execution support services). All GEF policies are available here.

The final outputs of the GEF PPG are:

- 1. UNDP-GEF Project Document (ProDoc).
- 2. Mandatory annexes to the ProDoc
- 3. GEF CEO Endorsement Request and all mandatory annexes; and
- 4. Validation Workshop report (required for projects with a high SESP categorization, as appropriate for all others).

Any additional studies and other reports produced under the GEF PPG and not included in Annex to the project document will be submitted to UNDP and saved for future reference.

# **Key Dates for the GEF PPG**

Milestone Date **Notes** 29 April **Internal submission date** for Within 8 months before the program commitment 2024 BPPS review and clearance deadline included in the PFD **First GEF Submission Deadline** 29 June Within 6 months before the program commitment for CEO Endorsement 2024 deadline Failure to submit a ProDoc and CEO ER to the GEF Sec by this date will lead to the automatic cancellation of the project by the GEF Sec. 29 **CEO Endorsement Deadline** Program commitment deadline after which the project will be December cancelled if not endorsed 2024

<sup>&</sup>lt;sup>1</sup> The Projects PPG Team leader and the Projects Safeguard Specialist will engage in early and detailed consultations with the Project's SES-TA.

If the project has not been CEO endorsed by the
deadline, it will be automatically cancelled by the
GEF Sec.

# **Management Arrangements**

The UNDP Lao PDR Country Office will lead the project development process and manage the GEF PPG budget in full consultation with the BPPS/VF unit and the Regional Technical Adviser. The GEF PPG Quantum budget is presented in Section IV: Total Budget and Work Plan.

As appropriate, a Working Group will guide the GEF PPG team, and review and endorse the GEF PPG deliverables. The Working Group is responsible for ensuring that the deliverables outlined in this GEF PPG are completed on time and in line with UNDP and GEF requirements. The UNDP Resident Representative, Department of Forestry, Ministry of Agriculture and forestry will chair the Working Group. Working Group members will include: UNDP CO, UNDP RTA, UNDP SES TA, Department of Forestry, Department of Planning and Cooperation, Department of Agriculture Land Management (Ministry of Agriculture and Forestry), Department of Planning and Finance (GEF OFP), Department of Environment (CBD Focal Point), Department of Climate Change, Department of Land (Ministry of Natural Resources and Environment).

The GEF PPG team will be composed of the consultancies described in the draft Terms of Reference (TORs) in Annex 2.

## II. STAKEHOLDER ENGAGEMENT, PUBLIC DISCLOSURE AND OTHER REQUIREMENTS

To ensure strong country ownership and in line with the stakeholder engagement requirements outlined in UNDP's <u>Social and Environmental Standards</u> (SES), the <u>SES Guidance Note of Stakeholder</u> <u>Engagement</u>, the GEF's <u>Guidelines on the Implementation of the Policy on Stakeholder Engagement and the GEF Policy on Gender Equality</u>, the development of the project to be undertaken during this GEF PPG phase will be done in full consultation and close engagement with government, CSO and other relevant stakeholders – in particular those who will benefit from and be directly involved in the implementation of the project (i.e. direct project beneficiaries) and those who may be impacted (positively or negatively) by the project. Stakeholder Engagement and analysis must be conducted in an inclusive and gender-responsive manner so that the rights of women and men and the different structural barriers, knowledge, needs, roles, and interests of women and men are recognized and addressed.

Careful and complete documentation of stakeholder engagement is vital. Detailed evidence of all consultations will be prepared and submitted to UNDP. A list of the consulted stakeholders and details of the associated meetings will be included in the Comprehensive Stakeholder Engagement Plan (see section B-a below).

If the fully designed project has an overall Social and Environment Screening Procedure (SESP) categorization of moderate, substantial, or high, then the following disclosure requirements apply:

1. A final validation workshop report will be prepared summarizing the outcomes of the validation workshop and other consultations undertaken during the PPG phase. This report will become a key reference document should an environmental and social complaint/grievance be filed

- during project implementation. This is mandatory for high and substantial risk projects and recommended for moderate risk projects.
- 2. The UNDP-GEF project document, SESP and related draft management plans and frameworks, and other relevant information/documents, will be made available to the public on the UNDP Country Office website or <u>open.undp.org</u>. These documents should be available for 30 days in before the LPAC meeting for moderate risk projects, 60 days in advance for substantial risk projects, and 120 days in advance for high risk projects.

See the <u>SES Supplemental Guidance on Disclosure</u> for more information.

#### III. GEF PPG ACTIVITIES

Component A: Preparatory Technical Studies & Reviews
The following technical studies and reviews will be conducted.

# Desktop and field-based studies and data collection

This research should produce the background information required to prepare the ProDoc (including its Annexes) and CEO Endorsement Request, including but not limited to the following:

- Development challenge and strategy (including threats, problems and barrier assessment);
- Review of national policy and legislative frameworks;
- Problem and solution trees, assumptions and risks, developed in consultation with project stakeholders for a robust Theory of Change, Results Framework and solid M&E plan;
- Review of relevant past and ongoing projects for lessons, including project evaluations; and
- Any other analyses required to address all comments on the PIF received from GEF Secretariat,
   GEF Council members and STAP.

## **Gender Analysis**

A gender analysis will be prepared to fully consider the different needs, roles, benefits, impacts, risks, differential access to and control over resources of women and men (including considerations of intersecting categories of identity such as age, social status, ethnicity, marital status, etc.) and to identify appropriate measures to address these and promote gender equality and women's empowerment. See the guidance available here.

## Social and Environmental Standards: Screening and Assessments

The social and environmental safeguards pre-screening prepared during the Child project concept note design phase has initially determined the overall risk categorization of this project as Substantial and highlighted potential safeguards risks to be further assessed during the PPG phase.

An Environmental and Social Management Framework (ESMF), an Indigenous Peoples Plan (IPPF), a Process Framework and a Livelihood Action Framework (LAF) will be prepared, to ensure that the necessary assessments are carried out during project implementation. The standard templates are available in the <a href="UNDP SES Toolkit">UNDP SES Toolkit</a>. The Framework(s) and ProDoc must clearly state that none of the associated project activities will commence until: the assessment(s) have been completed; the required management plan(s) have been prepared, and the plan(s) have been publicly disclosed and approved by the Project Board.

#### Identification of project sites

Based on the above reviews and through consultation with stakeholders, the targeted project demonstration sites will be identified during PPG phase. This should include providing geographic coordinates, maps, and shapefiles for inclusion in the ProDoc.

# Financial planning, co-financing, and investment mobilized

Co-financing and investment mobilized – as defined in the GEF Policy and Guidelines on co-financing - will be confirmed, and additional sources identified through a series of consultations with partners to ensure a coherent and sustainable financing package for the project, including post-GEF grant phase to the extent possible. The GEF is seeking high co-financing/investment mobilized to GEF grant ratios with a preference for grants, loans, and other public investments over in-kind co-financing. The GEF also expects the Government to significantly support the costs associated with project execution (i.e., PMC).

# Stakeholder analysis

Building on the initial identification of stakeholders in the PIF, an appropriately scaled analysis of project stakeholders will be undertaken. This stakeholder analysis will provide the foundation for developing the project's Comprehensive Stakeholder Engagement Plan and facilitate the prioritization of engagement activities with particular stakeholder groups and individuals. Projects with pre-screening categorizations of Substantial or High will prepare a **comprehensive** stakeholder analysis and a comprehensive stakeholder Management Plan. See the <a href="UNDP SES Guidance Note on Stakeholder Engagement">UNDP SES Guidance Note on Stakeholder Engagement</a>.

Appraise and formulate the most appropriate project implementation and execution modality

The design of the project will comply with the UNDP's Program and Operations Policy and Procedures (POPP), Financial Regulations, Programme and Project Management and Quality Standards, and UNDP-GEF guidance (which will be provided by the RTA). A full assessment of the most appropriate project implementation and management arrangements will be conducted in full consultation with the RTA, UNDP Country Office, Resident Representative (or their Deputy), the relevant government coordinating agency, and the GEF OFP.

Project management arrangements must be discussed and agreed upon early in the PPG phase and an assessment and selection of the most appropriate Implementing Partner and/or third parties (Responsible Parties) with full capacity to execute the project must be carried out.

During the first two months of the PPG phase:

- For all potential Implementing Partners, carry out capacity assessments to assess their capacity to implement the project and assess all related risks. This must include HACT and PCAT assessments<sup>2</sup>.
- Discuss the level of co-financing the Government and Implementing Partner can commit to this project. As per GEF Policy, the GEF is seeking high co-financing to GEF grant ratios and expects the Government to significantly support the costs associated with project execution (i.e., PMC) for this project.
- Based on these assessments, select and confirm the Implementing Partner for the project in consultation with all relevant stakeholders. The selected Implementing Partner must express

<sup>&</sup>lt;sup>2</sup> Including mandatory procurement assessment and construction assessment when applicable

- willingness to serve as the Implementing Partner for the Project, and the concerned government must agree to use the selected Implementing Partner for the Project. (Please see <u>UNDP's Policy</u> on <u>Selecting Implementing Partners</u>).
- If the Implementing Partner does not have full capacity to execute the project, and execution support is likely to be needed, discuss with the UNDP Resident Representative whether Implementing Partner is the correct choice. If not, select another Implementing Partner, if possible. If that is not an option, explore alternative options for providing execution support via Responsible Parties (see UNDP Policy on Selecting Responsible Parties). Discuss with the Implementing Partner the role of the responsible parties in project execution, and the execution support these parties could provide.
- The GEF Policies on Minimum Fiduciary Standards require a separation of functions between project implementation (i.e., oversight) and project execution (which generally includes managing and administrating the day-to-day activities of projects in accordance with the legal agreements with the GEF). Oversight activities are the primary responsibility of the GEF Agency (i.e., UNDP). In contrast, the execution activities are the responsibility of the Executing Entity (i.e., the Implementing Partner and/or Responsible Party in UNDP terminology). While UNDP policy offers the possibility for UNDP to provide execution support to NIM or execute the project under a DIM modality in case there is a government request for such support, GEF policy only allows GEF Agencies to step into an execution role in 'exceptional circumstances', which are assessed on a case-by-case basis. Hence, whenever a project involves GEF funding, the exceptional circumstances where there is a clear and well-founded rationale for UNDP to perform certain execution functions should always be well documented and transparently disclosed, discussed, and agreed upon with the GEF Secretariat at the project concept (PIF) stage before CEO Endorsement, regardless of whether the execution services are intended to be funded from the GEF grant or non-GEF resources. See the UNDP-GEF ProDoc template guidance for further information.
- Consult with the RTA on the latest guidance regarding UNDP providing support services to the Implementing Partner. If the costs for UNDP to provide support services are to be charged to the GEF project budget, the UNDP support services must be approved by the GEF Secretariat before CEO endorsement.
- Consult with CO Head of Procurement Unit/Operations Manager and Regional Procurement
  Advisors on envisaged procurement/contracting project procurement requirements, identified
  risks, development of optimal procurement strategies, development of project procurement
  plans and opportunities to incorporate sustainable procurement considerations and indicators
  to the project.

# Other required studies

- 1. Landscape Profile and Situation Analysis;
- 2. Policies and Program Baseline Situational Analysis
- 3. Financial Modality Survey for Long-term Sustainable Financing;
- 4. Community Livelihood and Resilience Intervention Assessment;
- 5. Value Chain Analysis;
- 6. Indigenous Peoples and Local Communities Capacity Survey and Socio-economic Analysis;
- 7. Capacity Development Score Card Assessment Report;
- 8. Management Effectiveness Tracking Tool Assessment Report;
- 9. ExAct tool tCO2eq Calculations and Results Summary;

- 10. Climate and Disaster Risk Assessment;
- 11. Communications Strategy;
- 12. Knowledge Attitudes, and Practices (KAP) Survey Framework;
- 13. International Cooperation Optimization Report;
- 14. Grievance Redress Mechanism Access Analysis;
- 15. Private Sector Engagement Framework;
- 16. Public-private partnership ecotourism scoping study report;
- 17. Rapid National Protected Areas financing assessment/review and gap analysis;

Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory and Project Specific Annexes

Based on the technical studies and reviews undertaken under **Component A**, the UNDP-GEF Project Document will be developed, and the GEF CEO Endorsement Request will be prepared. See additional guidance notes below.

The GEF PPG Team Leader will be responsible for consolidating and finalizing all required materials.

# Comprehensive Stakeholder Engagement Plan:

At a minimum, the Plan must include the following elements:

- Stakeholders who have been and will be engaged (based on stakeholder analysis), including
  potentially marginalized or disadvantaged groups/individuals and stakeholders that will be
  involved in particular project outputs/activities (the 'who');
- Key stakeholder objectives and interests (the 'why');
- Steps and actions to achieve meaningful consultation and inclusive participation, including
  information dissemination and any special measures required to ensure inclusive participation
  of marginalized disadvantaged groups/individuals the dissemination of information (the 'how');
- Breadth and depth of stakeholder engagement throughout the project cycle and decisions that need to be made through stakeholder engagement (the 'what');
- Timeline for engagement activities and how they will be sequenced, including information disclosure (the 'when');
- Indicators of stakeholder engagement and monitoring plan on progress and issues that arise;
- Roles and responsibilities for ensuring effective stakeholder engagement through the implementation of the Plan, including the role of stakeholder representatives and whether stakeholder engagement facilitators are required; and
- Resource requirements and associated budget.
- A project-level Grievance Redress Mechanism (GRM) will be described in the Comprehensive Stakeholder Engagement Plan and established in the first year of project implementation. See the UNDP guidance on GRM and sample TOR.
- A comprehensive Stakeholder Engagement Plan is required (based on comprehensive stakeholder analysis).

See the <u>UNDP SES Toolkit</u>, which contains guidance on Stakeholder Engagement, the standard SEP template, and the <u>GEF guidelines on stakeholder engagement</u>.

# Gender Action Plan and Budget

The gender analysis conducted in **Component A**, along with relevant findings from the stakeholder analysis and background studies, will form the basis of a Gender Action Plan to guide gender mainstreaming during project implementation. The Gender Action Plan must include indicators, targets, timeframe, responsible party, and budget, which are linked to the project's components/outputs, outcomes and activities. As part of preparing the Gender Action Plan, indicators should be proposed for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions.

See the guidance available here.

Social and Environmental Standards: Screening and Management Measures
In line with the assessments conducted during **Component A** (above) and <u>UNDP's Social and Environmental Standards (SES) policy and all associated SES Guidance Notes</u>, the SESP will be updated. All risks identified in the SESP will be reflected in the risk table and risk section of the ProDoc, and in the Project's Risk Register.

The SES Framework(s) will identify the required management plans that will be prepared during project implementation.

See the <u>SES Guidance Note on Assessment and Management</u> for further guidance. Please contact UNDP for additional information as needed.

## **GEF and LDCF/SCCF Core Indicators**

The CEO endorsement-stage data for the relevant Core Indicators and sub-indicators will be prepared. For GEF Trust Fund projects, the completed <u>GEF-8 Core Indicators Worksheet</u> - with both the original PIF-stage data and the CEO endorsement-stage data—will be annexed to the ProDoc. LDCF/SCCF projects will use the <u>CCA Results Framework worksheet</u>.

As part of the GEF Core Indicators requirement, the required METTs will be prepared in the GEF-7 Excel <u>template</u>; the relevant details will be included in the GEF-8 Core Indicators template, and the final Excel METT file will be submitted to UNDP (not be annexed to the ProDoc).

The relevant Core Indicators will be included in the project's Results Framework at the Objective level, where appropriate. See the <u>GEF Guidelines on Core Indicators and Sub-indicators</u>.

# Completion of the required official endorsement letters

These letters include the official letters on the co-financing guarantee(s) from participating government institutions, bilateral development partners, multilateral development partners, NGOs, the private sector, or others who wish to provide cash or in-kind contributions to the project.

A GEF OFP endorsement letter will also be required for any new participating countries to a global or regional program/project not included in the PIF/PFD submission.

Updated GEF OFP endorsements letters are also required if the requested GEF grant amount has changed since PIF/PFD approval.

#### **Mandatory Annexes**

In addition to the documents listed above, the following Annexes should be prepared by the GEF PPG team:

- Project map and Geospatial Coordinates of project sites
- Multi-Year Work Plan
- Monitoring Plan
- UNDP Risk Register
- Overview of Technical Consultancies
- GEF 7 Taxonomy
- Initial Project Procurement Plan and TORs for key Project staff

Upon a request from the UNDP RTA during the PPG implementation, the PPG team may be required to prepare additional annexes.

# **Project Management Arrangements**

Based on the stakeholder analysis and consultations undertaken in **Component A** above, agreement(s) on project management and governance arrangements—including roles, responsibilities, and accountabilities of lead and partner Agencies—will be secured early in the project development phase and will be fully detailed in the ProDoc. Standard text from the governance and management arrangement from the GEF-8 template should never be removed.

# Component C: Validation Workshop and Report

A validation workshop will be held with relevant stakeholders to present, discuss and validate the project activities, and the final draft of the UNDP-GEF project document. A validation workshop report will be prepared for projects with an overall safeguards risk rating of moderate or high.

#### IV. TOTAL BUDGET AND WORK PLAN FOR GEF PPG

Quantum Project ID:	01000917	Project	Generating Multiple Benefits Through Strengthened
		Title:	Protection of Intact Forest Landscapes in Lao PDR (CFB-Lao)
Quantum Award ID:	1129178	Award	Generating Multiple Benefits Through Strengthened
		Title:	Protection of Intact Forest Landscapes in Lao PDR (CFB-Lao)
<b>Business Unit:</b>	UNDP-LAO		
PIMS number:	9484		
Implementing	UNDP	_	
Partner:			

GEF Outcome/Quantum Activity	Responsible Party	Fund ID	Donor Name	Quantum Budgetary Account Code	QUANTUM Budget Description	Amount US\$	Budget Notes
Project preparation grant to finalize the UNDP-GEF project	UNDP	62000	GEF TRUSTEE	71200	International Consultants	69,400	1

document for					
<b>project</b> Generating					
Multiple Benefits					
Through					
Strengthened					
Protection of Intact					
Forest Landscapes in					
Lao PDR (CFB-Lao)					
		71300	Local	87,250	2
			Consultants		
		71600	Travel	20,000	3
		72500	Supplies	3,350	4
		75700	Workshops	20,000	5
	•		PROJECT TOTAL	200,000	

Budget	Budget Note							
Note No.	Include cost breakdown and calculation basis (daily fee in US\$) and number of days/weeks							
1	<ol> <li>International Project Development Specialist and Team Leader of PPG Team-70 days, \$620/day, \$3,100/week. Total budget: \$43,400</li> </ol>							
	<ol> <li>International Social and Environment Safeguard Specialist – 40 days, \$650/day, \$3,250/week. Total budget: \$26,000</li> </ol>							
2	<ol> <li>National NRM and CC Specialist and National Team Leader – 70 days, \$350/day, \$1,750/week. Total budget: \$24,500</li> </ol>							
	<ol> <li>National Gender and Stakeholder Engagement Specialist – 50 days, \$370/day, \$1,850/week. Total budget: \$18,500</li> </ol>							
	3) National Value Chains and Private Sector Engagement Specialist – 25 days, \$360/day, \$1,800/week. Total budget: \$9,000							
	4) National Financial Mechanism Specialist - 25 days, \$360/day, \$1,800/week. Total budget: \$9,000							
	5) National Social Development Specialist – 30 days, \$350/day, \$1,750/week. Total budget: \$10,500							
	6) National PPG Associate – 70 days, \$100/day, \$500/week. Total: \$7,000							
	7) National Policy and Programming Specialist- 25 days, \$350/day, \$1,750/week. Total budget: \$8,750							
3	Travel to target sites for data collection/consultation with target communities, support mission of team Leader, and other consultants. Total budget: \$20,000							
4	Stationery, contingency, and office supplies. Total budget: \$3,350							
5	Workshop & meeting during PPG phase (meeting room, coffee break, etc). Total budget: \$20,000							

# V. GEF PPG ACTIVITIES TIMEFRAME AND BUDGET

This section is optional

PPG Activity	Month 1 (Jul 23)	Month 2 (Aug 23)	Month 3 (Sept 23)	Month 4 (Oct 23)	Month 5 (Nov 23)	Month 6 (Dec 23)	Month 7 (Jan 24)	Month 8 (Feb 24)	Month 9 (Mar 24)	Month 10 (Apr 24)	Month 11 (May 24)	Month 12 (Jun 24)	Month 13-18 (Jul - Dec 24)	Budget (USD)
PPG team recruitment														N/A
Component A: Technical studies / desktop reviews including conducting the SESP analysis, development of SES management plans, development of the ESMF, and the development of the Stakeholder engagement plan.														67,290
Component B: ProDoc formulation (Completion of SESP, ESMF and Stakeholder engagement).														96,635
Component C: Validation Workshop														6,000
Delivery of final outputs (including Responses to comments from BPPS and GEF Sec.)														30,075

#### Note

- ---- Internal submission date for BPPS review and clearance (29 April 2024)
- ---- First GEF Submission Deadline for CEO Endorsement (29 June 2024)
- ----- CEO Endorsement Deadline after which the project will be cancelled if not endorsed (29 December 2024)

# VI. MANDATORY ANNEXES

Annex 1: GEF CEO PIF/PPG Approval Letter



M.Sc. Carlos Manuel Rodríguez CEO and Chairperson

July 6, 2023

Mr. Sebastian Delahaye GEF Programme Portfolio Manager International Union for Conservation of Nature (IUCN) Gland, Switzerland Mr. Jeffrey Griffin Senior GEF Coordinator Food and Agriculture Organization of the United Nations (FAO) Rome, Italy

Mr. Pradeep Kurukulasuriya Executive Coordinator United Nations Development Programme (UNDP) New York City, NY, USA

Dear Messrs. Delahaye, Griffin and Kurukulasuriya,

Following Council's approval of the Program entitled *Indo-Malaya Critical Forest Biome Integrated Program* at the 64th GEF Council Meeting, June 26-29, 2023, I am pleased to inform you that I have approved your requests for Project Preparation Grants for child projects as detailed in Annex 1 attached to this letter. With this, Project Preparation Grants can be committed.

Decision Sought:	Child Project Preparation Grant Approval
GEFSEC ID:	11102
Agencies:	IUCN, FAO, UNDP
Agency(ies) ID:	N/A
Focal Area:	Multi Focal Area
Project Type:	Program
Country(ies):	Regional (Papua New Guinea, Lao PDR, Thailand)
Name of Program:	Indo-Malaya Critical Forest Biome Integrated Program
Indicative GEF	\$38,216,208
Program Financing:	
Indicative Agency	\$3,439,456
Fee:	
PPG:	\$900,000
PPG Agency Fee:	\$80,996
Funding Source:	GEF Trust Fund

Messrs. Delahaye, Griffin and Kurukulasuriya

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July 6, 2023

The approval of these PPGs is based on the understanding that the Program is in conformity with GEF Trust Fund focal area strategies and with GEF policies and procedures. Please ensure that the final child project documents, having fully addressed all Secretariat, STAP, Convention Secretariats, and Council comments, will be endorsed / approved within 18 months of Council approval of the work program or within the Program Commitment Deadline.

Sincerely,

M.Sc. Carlos Manuel Rodríguez Chief Executive Officer and Chairperson

Copy to: Country Operational Focal Points, GEF Agencies, Trustee

Messrs. Delahaye, Griffin and Kurukulasuriya

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July 6, 2023

Annex 1: Approved Child projects' PPGs

Child projects ID / Agency	Project title	Project Financing Amount	Agency Fee	20% Fee committed at PFD Council approval	PPG	PPG Fee
11103	Generating multiple benefits through strengthened protection of intact forest landscapes in Lao PDR (CFB-Lao)	8,974,312	807,687	161,537	200,000	17,999
UNDP		8,974,312	807,687	161,537	200,000	17,999
11104	"Forests for life - Intact Tropical Forest Landscape conservation in Thailand	6,650,153	598,513	119,703	200,000	17,999
FAO		6,650,153	598,513	119,703	200,000	17,999
11105	Maintaining the integrity of globally significant intact tropical forest landscapes in the Gulf Province and Western Province of Papua New Guinea	16,213,762	1,459,237	291,847	300,000	26,998
FAO		16,213,762	1,459,237	291,847	300,000	26,998
11107	Indo-Malaya Critical Forest Biome Regional Coordination Project	6,377,981	574,019	114,804	200,000	18,000
FAO		3,061,430	275,528	55,106	100,000	9,000
IUCN		3,316,551	298,491	59,698	100,000	9,000
Grand Total		38,216,208	3,439,456	687,891	900,000	80,996

Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG)

Position, Type	Role, Deliverables and Qualifications								
and Cost									
Consultant 1:	Role								
International	The objective of the International GEF PPG Team Leader is to lead the development								
Project	of the UNDP Project Document and CEO Endorsement Request for the project								
Development	"Generating Multiple Benefits Through Strengthened Protection of Intact Forest								
Specialist (GEF	Landscapes in Lao PDR (CFB-Lao)"								
PPG Team	The role of the international GEF PPG Team Leader will be the overall PPG Team								
Leader)	Lead and will, together with the National Team Lead, provide overall technical								
	oversight, guidance on the UNDP-GEF requirements to the overall PPG team for								
Type: IC	the full-sized GEF project and will lead the drafting of ProDoc. S/he will be								
Type. IC	· ·								
Ct	responsible for providing technical guidance, quality assurance and mentoring of								
Cost per	the national PPG team to support the technical review of the assessment and								
person week:	reports that are existing as well as those that will be developed by national								
\$3,100	consultants, and ensure timely preparation of all the reports and documentation,								
	including the finalization of UNDP Project Document (ProDoc) and GEF CEO								
Number of	Endorsement Request, with all mandatory and project-specific Annexes.								
person weeks									
needed: 14	Responsibilities and Deliverables								
	1) Management of the GEF PPG Team								
	a. Define and submit a detailed methodology and work plan in consultation								
	with the other consultants with clear delegation of responsibilities for the								
	International Consultants (ICs) and National Consultants (NCs);								
	b. Ensure that project development is participatory, gender-responsive and								
	based on extensive stakeholder engagements; and								
	c. Verify and ensure that all project components are technically sound and								
	cost effective.								
	2) <u>Preparatory Technical Studies and Reviews (Component A)</u> : With inputs from								
	the national consultants, as detailed in their respective TORs:								
	a. Finalize baseline/situational analysis for the full-size project (FSP) with								
	agreed input from the PPG Team consultants. This will include a precise								
	definition of baseline projects, activities, budgets, goals and co-financial								
	links to GEF outcomes; definition of GEF incremental value per outcome								
	and output; and presentation of results of the incremental cost-analysis in								
	matrices as appropriate;								
	b. Coordinate and oversee the stakeholder analysis and consultations, with								
	support from national consultants, and ensure that they are complete and								
	comprehensive including consultations with the private sector and tourism								
	associations and bodies;								
	c. Ensure the preparation of the gender analysis and ensure its findings are								
	meaningfully integrated into the project's strategy, theory of change and								
	results framework;								
	results framework;								

- d. Ensure action points, including risk assessments, from the SES prescreening (prepared at the concept stage) are fully implemented during the PPG, and update that screening in an iterative process throughout the PPG, and as appropriate;
- e. Oversee the identification of the project sites, with documentation of selection criteria and making sure that geo-referenced data and maps are clearly presented both for targeted protected areas and broader landscapes, as applicable;
- f. Ensure the design of appropriate project knowledge management processes and platforms, ensuring appropriate linkages to existing mechanisms and knowledge sharing in project landscapes;
- g. Lead and oversee the identification of opportunities for private sector engagement and co-financing;
- h. Ensure the preparation of partner capacity assessments and discussions on management arrangements early in the PPG process;
- i. Ensure completion of any additional information that are determined to be needed for the preparation of the ProDoc and all other final outputs.
- 3) Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B): With inputs from the national consultants, as detailed in their respective TORs, and based on international best practice:
  - a. Develop, present and articulate the project's theory of change;
  - b. Develop the Results Framework in line with UNDP-GEF policy;
  - c. Develop a detailed Monitoring and Evaluation Plan and Budget;
  - d. Oversee and ensure the preparation of a comprehensive Stakeholder Engagement Plan;
  - e. Oversee and ensure the preparation of a Gender Action Plan and Budget;
  - f. Oversee and ensure the updating of the SESP based on assessments undertaken during Component A, and ensure the development of the Environmental and Social Management Framework (ESMF), the Indigenous People Plan Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF) as well as other SES related documentation as required;
  - g. Oversee the preparation of the required GEF tracking tools and GEF Core Indicators and ensure these are supported by robust and validated data;
  - h. Facilitate discussions as needed to obtain all co-financing letters to be secured by UNDP;
  - i. Prepare the indicative procurement plan;
  - Secure and present agreements on project execution and management arrangements and ensure that the project aligns to UNDP-GEF guidance on UNDP execution support;
  - k. Ensure the completion of the required official endorsement letters; and
  - I. Synthesize all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes, using the templates.<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> Please verify with the UNDP-GEF team that the correct templates are being used.

# 4) Validation Workshop (Component C):

- a. Co-Lead as agreed with the National Team Lead the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans;
- b. Oversee all necessary revisions that arise during the workshop; and
- c. Ensure completion of Validation Workshop Report.
- d. Participate in internal UNDP briefings and clearance processes as required by UNDP

# 5) Final Deliverables:

- a. Consolidation of all technical and consultation inputs and comments including from national stakeholders, UNDP, GEF Secretariat, STAP and GEF Council, into a well written and concise UNDP ProDoc with all required sections and Annexes, in line with the standard UNDP-GEF ProDoc template and annotated guidance including Project Budget and Budget Notes (GEF Budget Template); Total Budget Work Plan conversion table; UNDP Atlas Risk Register; Project Results Framework; GEF Project Taxonomy Worksheet; Response to Project Reviews (GEF Sec/STAP); Response to Project Reviews (UNDP PTA); GEF Core Indicator Worksheet; Overview of Technical Consultancies and Subcontracts; Project Activities; Monitoring Plan and Multi-year workplan);
- b. Completion of the GEF CEO Endorsement Request;
- c. Ensure quality control of the SESP and the SES related documentation developed including the Environmental and Social Management Framework (ESMF), the Indigenous People Plan Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF);
- d. All documentation from GEF PPG (including technical reports, meeting minutes, etc.); and
- e. Validation Workshop Report.

# Qualifications

- Master's degree or higher in a relevant field, such as natural resource management & biodiversity, climate change, environment study, economics science or relevant field;
- Minimum 7 years of demonstrable experience in the technical area of relevant professional experience in biodiversity, natural resource management, environment economics and other development of national action plan and strategy with experiences in development of high-quality GCF/GEF full-size project;
- Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches;
- Demonstrated working experience with Social and Environment
   Safeguards and risk assessments as well as formulation of SES related
   documentation such as the Environmental and Social Management

Framework (ESMF), the Indigenous Peoples Planning Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF)

- Strong analytical skill;
- Ability to work in multidisciplinary and multicultural team;
- Strong motivation and ability to work and deliver under pressure and short deadlines;
- Excellent communication and organizations skills;
- Strong networking and interpersonal skills;
- Competent in preparing publications, reports and presentation;
- Knowledge and experience in protected areas management and planning will be an advantage;
- Experience working in land use planning, connectivity and ecological corridor establishment is desirable;
- Experience working in Lao PDR on related initiatives highly desired
- Excellent verbal and written skills in English are required.

# Consultant 2:

National
Natural
Resource
Management
and Climate
Change
Specialist
(National Team
Leader)

Type: NC

Cost per person week: \$1,750

Number of person weeks needed: 14

#### Role:

The National Natural Resource Management and Climate Change Specialist (National Team Leader) is to assist International Team Leader in developing the UNDP Project Document and CEO Endorsement Request for the project "Generating Multiple Benefits Through Strengthened Protection of Intact Forest Landscapes in Lao PDR (CFB-Lao)". In addition, National Lead is required to coordinate with PPG team members, government entities and relevant stakeholders to ensure that all deliverables are completed on time and with high quality. In addition, the consultant will also engage in a subset of individual assignments which include preparing a situation analysis, site descriptions, and capacity assessments. The sections below provides more details on the specific tasks of the National Team Leader.

# **Responsibilities and Deliverables:**

- 1) <u>Management of the GEF PPG Team:</u> National Team Leader will need to assist International Team Leader to complete tasks below:
  - a. Coordinate the GEF PPG Team's National Consultants ensuring that the PPG Team works in accordance with the defined detailed methodology and work plan and in accordance with the clear delegation of responsibilities for the PPG team;
  - Ensure that project development and consultancy interventions of the National PPG Team is participatory, gender-responsive and based on extensive stakeholder engagements; and
  - c. Through early review of drafts and through consultations with the respective consultants verify and ensure that consultancy inputs to all project components are technically sound.
- 2) Preparatory Technical Studies and Reviews (Component A): With inputs from the national consultants, as detailed in their respective TORs, National Team Leader will complete tasks below:

- a. Compile baseline/situational analysis for the full-size project (FSP). This will include a precise definition of baseline projects, activities, budgets, goals and co-financing:
- b. Compile all relevant information of the targets National Protected Areas, Intact Forest Landscape that is identified in the project concept note, including site specific situation analysis of each of the project sites.
- c. Take the national lead in the identification of the project sites, and prepare documentation of selection criteria and making sure that geo-referenced data and maps are clearly presented both for targeted protected areas and broader landscapes, as applicable;
- d. Ensure the preparation of management effectiveness tracking tool assessment for each of the protected areas under the project and evaluate the enforcement mechanisms and existing operational plans, participation of law enforcement agencies, potential conflicts with local communities, and response chain in place for violations, etc., and identify preliminary gaps and recommend strategy for assessing training needs of partner institutions or organizations for NPAs;
- e. Review climate Lao PDR climate trends and predictions under two different climate scenarios and evaluate future climate risks (climate risk assessment) to the project interventions, as well as undertake calculations of the project's CO2 mitigation using the FAO exAct tool tCO2eq;
- f. Take the national lead in preparation of partner capacity assessments and discussions on management arrangements early in the PPG process;
- g. Review existing institutional and other stakeholders' capacities and use the UNDP Capacity Development Scorecard to establish baseline scores, and propose mid-term and end-of-project targets and indicators for monitoring institutional capacities and personnel competencies to be developed by the project;
- h. Provide relevant input towards the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs.
- 3) Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B): Deputy Team Leader will need to complete tasks below:
  - a. Develop and finalize report of Landscape Profiles and Situation Analysis of target sites;
  - b. Develop an International Cooperation Optimization Report
  - c. Develop and finalize Capacity Development Score Card Assessment Report;
  - d. Develop and finalize Management Effectiveness Tracking Tool Assessment Report;
  - e. Develop and finalize ExAct tool tCO2eq calculations and Results summary (or similar GEF recognized tCO2eq calculations)
  - f. Develop and finalize a Climate and Disaster Assessment for instance using the World Bank Climate and Disaster Assessment or similar assessment tools

- g. Develop and finalize the relevant documentation for the GEF Core Indicators and ensure these are supported by robust and validated data;
- h. Assist Team Leader to ensure the completion of the required official endorsement letters; and
- i. Review and assist as agreed with the PPG Team Leader with the synthezation of all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes, using the templates.<sup>4</sup>
- 4) <u>Validation Workshop (Component C)</u>: National Team Leader will need to assist International Team Leader to complete tasks below:
  - a. Co-Lead, as agreed with the International PPG Team Lead, the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans;
  - b. Assist the PPG Team Lead, as agreed, with the preparation of necessary revisions that arise during the workshop; and
  - c. Provide input, as required, to the completion of the Validation Workshop Report.
  - d. Participate in internal UNDP briefings and clearance processes as required by UNDP.

# 5) Final Deliverables:

- a. Landscape Profile and Situation Analysis Assessment;
- b. International Cooperation Optimization Report;
- c. Management Effectiveness Tracking Tool Assessment Report;
- d. Capacity Development Score Card Assessment Report;
- e. Climate screening of the project summarizing potential climate impacts and risks to project landscape, assessing vulnerabilities of proposed project interventions and recommendations to improve climate-resilience of proposed interventions;
- f. GHG emissions avoided or carbon sequestration estimates using FAO ExAct or similar tools;
- g. Appropriate inputs to the final UNDP-GEF project document based on guidance from the PPG Team Leader including input to Social and Environmental Screening Procedures; draft input to Risk Mitigation Strategy; draft input to Overview of Technical Consultancies and Subcontracts; draft input to Project Activities.

# Qualifications

 Advanced degree in natural resource management & biodiversity, climate change, environment study, economics science or relevant field is preferable;

<sup>&</sup>lt;sup>4</sup> Please verify with the UNDP-GEF team that the correct templates are being used.

- At least 7 years of relevant professional experience in biodiversity, natural resource management, environment economics and other development of national action plan and strategy with experiences in development of high-quality GCF/GEF full-size project;
- Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches;
- Working experience in undertaking climate and disaster risk assessments and developing climate scenario projections;
- Working experience with Social and Environment Safeguards and risk assessments as well as formulation of SES related documentation such as Environmental and Social Management Framework (ESMF), the Indigenous Peoples Planning Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF) highly desired;
- Strong analytical skill;
- Ability to work in multidisciplinary and multicultural team;
- Strong motivation and ability to work and deliver under pressure and short deadlines;
- Excellent communication and organizations skills;
- Strong networking and interpersonal skills;
- Competent in preparing publications, reports and presentation;
- Knowledge and experience in protected areas management and planning will be an advantage;
- Experience working in land use planning, connectivity and ecological corridor establishment is desirable;
- Experience working in Lao PDR on related initiatives highly desired;
- Excellent verbal and written skills in English and Lao are required

## **Consultant 3:**

International Social and Environment Safeguard Specialist

Type: IC

Cost per person-week: \$3,250

#### Role:

The International Social and Environmental Safeguards Specialist will be reporting to the PPG Team Leader and will lead the development of the mandatory project social and environmental safeguards annexes in adherence to UNDP's SES requirements, as appropriate. The consultant will advise and guide the project development team on the application of SES standards during the project development phase incorporating SES proactively into the design of project activities wherever possible. Furthermore, the consultant will be supported by respective National Consultants, as assigned in their TORs, in the consultant's preparation of the SES-relevant project documentation and annexes. The main objective of the GEF PPG International Social and Environmental Safeguard consultant is to conduct Social and Environmental Screening Procedures (SESP), develop the Environmental and Social Management Framework (ESMF) and

# Number of person-weeks needed: 8

support the National Social Development Specialist developing frameworks/plans including an Indigenous Peoples Planning Framework FPIC and a Grievance Redress Mechanism Access Analysis as well as a Process Framework and a Livelihood Action Framework (LAF). S/he will also develop the UNDP Quantum Risk Register, World Bank Climate and Disaster Assessment, Risk Mitigation Strategy. The consultant will further ensure that SES principles are fully embedded in the project's design, Gender Action Plan, Comprehensive Stakeholder Engagement Plan as well as other plans developed during the PPG phase.

# **Responsibilities and Deliverables**

- 1) <u>Preparatory Technical Studies and Reviews (Component A):</u> Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:
  - a. Review the SES pre-screening undertaken during the Child Project development, hold consultations with the project's SES-TA on project risks and prepare the UNDP Social and Environmental Screening Procedure (SESP), and support the detailed assessment and preparation of management plans of all project risks including consultations with local stakeholders in coordination with the team's other national specialists and Team Leader;
  - b. Provide guidance to the National Development Specialist and support the assessment of the presence of Indigenous Peoples/ethnic minorities within project landscapes. If present, the assess potential impacts of the project on Indigenous Peoples rights and interests, lands, territories, resources, cultural heritage and traditional livelihoods in line with the National framework and UNDP's Standard 6 requirements;
  - c. Provide guidance to the National Gender and Stakeholder Engagement Specialist and the Social Development Specialist on carrying out consultations with Local communities and Indigenous Peoples/ethnic minorities at demonstration landscape to assess level of understanding of the right to FPIC and identify community preferences for the FPIC process. Based on these assessments, and if relevant, integrate relevant matters as needed, including FPIC into project design and into the comprehensive Stakeholder Engagement Plan and Gender Action Plan;
  - d. Provide guidance to the National Consultants to collect the needed information and documentation to prepare the Environmental and Social Management Framework (ESMF);
  - e. Identify the general approach of the Strategic Environmental and Social Assessment (SESA) to address the potential social and environmental consequences of the project's upstream activities;
  - f. Provide guidance to the Supervise National Social Development Specialist to collect the needed information and documentation to prepare the Indigenous Peoples Planning Framework that considers cultural geography (intangible culture) and addresses potential risks including economic displacement and community rights;
  - g. Provide guidance to the Supervise National Social Development Specialist to collect the needed information and documentation to prepare a Process

- Framework (PF) and a Livelihood Action Framework (LAF) to adequately address the potential physical/economic displacement in productive landscapes inside and outside Protected Areas;
- Work together with National Consultants to collect the needed information and documentation to prepare the project's risk mitigation strategy;
- Work together with National Consultants to collect the needed information and documentation to prepare the Grievance Redress Mechanism;
- j. Work together with National Consultants to collect the needed information and documentation to prepare additional safeguards-related studies that are determined to be needed for the preparation of the ProDoc and CEO Endorsement Request as guided by the PPG Team Leader;
- 2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory</u> Annexes as well as project specific annexes (Component B):
  - a. Complete the SESP, based on assessments undertaken during Component A and detailed development of project activities, and identify management measures to mitigate risks to be incorporated into the ProDoc;
  - Using the findings from the gender analysis, provide inputs to the project's results framework and theory of change; ensure social and environmental considerations are integrated into the project's theory of change;
  - Provide inputs for the development of the Comprehensive Stakeholder Engagement Plan and the Gender Action Plan to ensure that aligned with the project's social and environmental safeguards;
  - d. Support the agreements on project management arrangements and ensure that safeguards are adequately incorporated into these arrangements;
  - e. Prepare and finalize the Environmental and Social Management Framework (ESMF);
  - f. Oversee development of, and provide guidance to the Indigenous Peoples Planning Framework;
  - g. Oversee development of, and provide guidance to the Process Framework (PF)
  - h. Oversee development of, and provide guidance to the Livelihood Action Framework (LAF);Prepare and finalize the project's risk mitigation strategy;
  - Prepare and finalize the Grievance Redress Mechanism;
  - Prepare and finalize additional safeguards-related studies that are determined to be needed for the preparation of the ProDoc and CEO Endorsement Request as guided by the PPG Team Leader;
- 3) <u>Validation Workshop (Component C):</u>
  - a. Contribute to the validation workshop; and
  - b. Support all necessary revisions that arise during the workshop, as appropriate.

# 4) Final Deliverables

- Quality assurance of the Gender Action Plan and the comprehensive Stakeholder Engagement Plan which include gender-responsive consultation and consultations with local communities and any Indigenous Peoples or Ethnic Minorities present within the demonstration landscape;
- b. Social and Environmental Screening (SESP);
- c. Environmental and Social Management Framework (ESMF);
- d. Finalize and edit the Indigenous Peoples Planning Framework (IPPF) developed by the National Social Development Specialist;
- e. Finalize and edit the Process Framework (PF) developed by the National Social Development Specialist;
- f. Finalize and edit the Livelihood Action Framework (LAF) developed by the National Social Development Specialist;
- g. UNDP Atlas Risk Register;
- h. Risk Mitigation Strategy;
- i. Grievance Redress Mechanism;
- j. Appropriate inputs to the final UNDP-GEF project document based on guidance from PPG Team leader

# Qualifications

- Master's degree in field related to international development, with specific academic background related to social and environmental sustainability and social development;
- At least 10 years of experience related to social and environmental standards and impact assessment in an international development context.
- Specific technical expertise in the SES thematic area and in the formulation of Social and Environmental Screening and Assessments, Indigenous Peoples Planning Frameworks, Process Framework and Livelihood Action Framework;
- Demonstrated working experience with Local Communities and Indigenous Peoples, on identifying project related risks at local level including risks to stakeholder rights, cultural heritage risks, and risks related to potential economic displacement through project activities;
- Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals
- Demonstrated understanding of the links between sustainable development, social and gender issues;
- Experience in doing Social and Environmental Assessments in Protected Areas and in the productive landscape outside Protected Areas;
- Experience in doing Social and Environmental Assessments in cultural heritage areas focusing on risks to both tangible and intangible cultural heritage desireable;
- Strong analytical skill;
- Ability to work in multidisciplinary and multicultural team;

- Strong motivation and ability to work and deliver under pressure and short deadlines;
- Excellent communication and organizations skills;
- Strong networking and interpersonal skills;
- Competent in preparing publications, reports and presentation;
- Experience working in Lao PDR on related initiatives highly desired
- Excellent verbal and written skills in English are required.

# Consultant 4:

National Gender and Stakeholder Engagement Specialist

Type: NC

Cost per person-week: \$1,850

Number of person-weeks needed: 10

#### Role:

The National Gender and Stakeholder Engagement Specialist will develop mandatory project Annexes related to the application of stakeholder engagement and gender mainstreaming and provide technical expertise to the project team on mainstreaming gender equality and women's and youth empowerment into project implementation. The Consultant will support of the International Social and Environmental Safeguards Expert to conduct and document community consultations (including to secure FPIC), and identify environmental and social safeguard risks and risk management measures. The consultant will be a gender and social inclusion expert with experience in in-depth gender analysis and local community engagement. The Consultant will work under the guidance of the PPG Team Lead and in close coordination with the National Team Lead. Furthermore, the consultant will work closely together with the International Social and Environment Safeguard Expert. The objective of the National Gender and Stakeholder Engagement Specialist is to conduct gender analysis, communities consultations in project target areas, mapping relevant stakeholders at national and sub-nation level and then develop the Comprehensive Stakeholder Engagement Plan (SEP) and Gender Action Plan (GAP) with estimate budget allocation for implementing during implementation phase of the project.

# **Responsibilities and Deliverables**

- 1) <u>Preparatory Technical Studies and Reviews (Component A):</u> Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:
  - a. In collaboration with the International Social and Environmental Safeguards Specialist, assess presence of Indigenous Peoples/ethnic minorities within project landscapes and their interests. If present, assess potential impacts of the project on rights and interests, lands, territories, resources, and traditional livelihoods and determine when FPIC applies in accordance with national contexts and preferences. Carry out consultations with communities at demonstration landscape to assess level of understanding and capacity to give consent, and identify community preferences for FPIC process;
  - b. In consultation with the International Social and Environmental Safeguard Specialist prepare activity designs for the national and local stakeholder consultations to be undertaken, including a separate focus on gender, ethnic groups as well as vulnerable groups and assist in collection of

- information needed for the SES-related documents including the Environmental and Social Management Framework (ESMF), the Indigenous Peoples Planning Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF);
- c. Undertake consultations and surveys relevant to the preparation of the and Gender Analysis and Action Plan and work closely with the GEF PPG Team Leader to ensure its findings are meaningfully integrated into the project's strategy, theory of change and results framework;
- d. Prepare the stakeholder analysis and develop into the Comprehensive Stakeholder Engagement Plan, as well as work closely with the GEF PPG Team Leader to ensure its findings are meaningfully integrated into the project's strategy, theory of change and results framework;
- e. Undertake consultations and surveys relevant to the preparation of the Community Livelihood and Resilience Intervention Assessment;
- f. Undertake consultations and surveys relevant to the Indigenous Peoples and Local Communities Capacity Survey Report and Socio-Economic Analysis Report;
- g. Undertake the Indigenous Peoples and Local Communities Capacity Survey to establish baseline scores, and propose mid-term and end-ofproject targets and indicators for monitoring institutional capacities and personnel competencies to be developed by the project;
- h. Advise on gender responsive stakeholder analysis and consultations and ensure that they are complete and comprehensive; and
- Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader.
- 2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory</u> Annexes as well as project specific annexes (Component B):
  - a. Complete the Comprehensive Stakeholder Engagement plan, based on assessments undertaken during Component A and detailed development of project interventions, and identify management measures to mitigate risks to be incorporated into the ProDoc;
  - Using the findings from the Comprehensive Stakeholder Engagement plan, provide inputs to the project's results framework and theory of change; ensure gender considerations are integrated into the project's theory of change;
  - c. Support the agreements on project management arrangements and ensure that gender and safeguards are adequately incorporated into these arrangements.
  - d. Prepare the Gender Action Plan and Budget;
  - e. Using the findings from the gender analysis, provide inputs to the project's results framework and theory of change; ensure gender considerations are integrated into the project's theory of change;
  - f. Prepare the Community Livelihood and Resilience Intervention Assessment;

- g. Prepare the Indigenous Peoples and Local Communities Capacity Survey Report
- h. Prepare the Socio-Economic Analysis Report;
- Prepare and finalize additional gender and stakeholder related documentation that are determined to be needed for the preparation of the ProDoc and CEO Endorsement Request as guided by the PPG Team Leader;

# 3) Validation Workshop (Component C):

- a. Contribute to the validation workshop; and
- b. Support all necessary revisions that arise during the workshop, as appropriate.

# 4) Final Deliverables

- a. Activity designs of national and local stakeholder consultations;
- b. Stakeholder Consultation Report, including FPIC consultations;
- c. Comprehensive Stakeholder Engagement Plan
- d. Gender Analysis and Action Plan that meet UNDP's standard;
- e. Community Livelihood and Resilience Intervention Assessment
- f. Local Communities Capacity Survey Report
- g. Socio-Economic Analysis Report;
- h. Appropriate inputs to the final UNDP-GEF project document based on guidance from the PPG Team Leader including on gender-responsive consultation and consultations with local communities and any Indigenous Peoples present within the demonstration landscape input to Social and Environmental Screening Procedures and other SES-related documents including the Environmental and Social Management Framework (ESMF), the Indigenous Peoples Planning Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF); draft input to Risk Mitigation Strategy; draft input to Overview of Technical Consultancies and Subcontracts; draft input to Landscape Profile and Situation Analysis; draft input to Project Activities.

## **Qualifications:**

- Master's degree or higher in a relevant field, such as social studies, social development, anthropology, gender studies, gender and development, and sustainable development
- Minimum 7 years of experience carrying out participatory stakeholder analysis; experience collecting and formulating gender responsive indicators and sex-disaggregated data and preparing gender responsive project analysis; developing gender action plans;
- Demonstrated working experience with Local Communities and Indigenous Peoples, on identifying project related risks at local level including risks to stakeholder rights, cultural heritage risks, and risks related to potential economic displacement through project activities;

- Demonstrable experience gender mainstreaming into community livelihood and development in local and, Indigenous Peoples communities, as well as conducting consultations on FPIC and community involvement in social and environmental safeguards risk assessment and mitigation.
- Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals
- Demonstrated understanding of the links between sustainable development, social and gender issues;
- Strong analytical skill
- Ability to work in multidisciplinary and multicultural team
- Strong motivation and ability to work and deliver under pressure and short deadlines
- Excellent communication and organizations skills
- Strong networking and interpersonal skills
- Competent in preparing publications, reports and presentation
- Experience working in Lao PDR on related initiatives highly desired.
- Excellent verbal and written skills in English and Lao are required

## **Consultant 5:**

National Value Chains and Private Sector Engagement Specialist

Type: NC

Cost per person-week: \$1,800

Number of person-weeks needed: 5

#### Role:

The GEF PPG National Value Chains and Private Sector Engagement Specialist will work closely with PPG Team Leader on expanding the adoption of good agricultural practices, and facilitating producer-public-private partnerships in forest and agricultural value chains as well as contribute to other documents e.g. landscape profile and situation analysis and Stakeholder Consultation Report and Engagement Plan.

## Responsibilities and Deliverables:

- Preparatory Technical Studies and Reviews (Component A): prepare inputs and support the required analyses/studies under, as agreed with the PPG Team Leader, including:
- With specific reference to the component of work related to value chains, public-private partnership, the National Consultant will prepare inputs for the landscape profile and situation analysis and Stakeholder Consultation Report and Engagement Plan.
- Conduct desk review of value chains/market assessment related to agricultural production, Non-timber forest products (NTFPs) of the identified communities within target sites;
- Mapping private sector that has been engaging in agricultural production, ecotourism-operators (e.g. Lao Green Discovery), and Non-Timber Forest Products (NTFPs) and identify partnership opportunities as well as potential actors who can be part of consultation processes on project design;
- Review the Decree on Public-Private Partnership of the Government of Lao PDR and what has been done on collaboration between the government of Lao PDR and the private sector in the agricultural

- production sector, access to markets, ecotourism, NPA, wildlife conservation, and Non-Timber Forest Products harvesting or other related to investments on NPAs, Biodiversity conservation, promoting sustainable livelihoods of communities resides within NPAs, Intact Forest Landscapes of Lao PDR in general and also within identified target project sites;
- Work closely with the National Gender and Stakeholder Engagement Specialist who will conduct the Community Livelihood and Resilience Intervention Assessment to understand the contexts of the target communities related to value chains.
- Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B): Prepare inputs and support the development of final PPG deliverables, as agreed with the PPG Team Leader, including:
- Develop Value chains Analysis report, including a baseline survey and assessment of existing activities/businesses operating in the identified landscapes, identify and analyse the socio-economic benefits of these interventions and potential new ones at local and national levels; collate lessons-learned from existing enterprises, with a focus on factors contributing to success/failure; conduct a rapid market analysis of new opportunities for value chains in the target landscapes;
- Develop a Private Sector Engagement Framework;
- Develop a scoping study report for the establishment of public-private partnership ecotourism initiatives, including a survey of the willingness of farmers and eco-tourism operators to participate in such a programme;
- Provide contributions to the Community Livelihood and Resilience Intervention Assessment, Landscape Profile and Situation Analysis and other documents, such as the Description of Project Activities and Overview of Technical Consultancies and Subcontracts as required.
- Validation Workshop (Component C):
- Contribute to the validation workshop; and
- Support all necessary revisions that arise during the workshop, as appropriate.
- Final Deliverables:
- Value chains Analysis report;
- Private Sector Engagement Framework detailing identified mechanisms for enhancing private sector engagement;
- Scoping study report on the establishment of public-private partnership ecotourism initiative;
- Appropriate inputs to the project's SES documentation, landscape profile and situation analysis and Stakeholder Consultation Report and Engagement Plan, Project Document, CEO Endorsement Request as well as the Community Livelihood and Resilience Intervention Assessment, the

Description of Project Activities and Overview of Technical Consultancies and Subcontracts

# **Qualification:**

- Master's degree or higher in a relevant field, such as Natural Resource Management, Economics, Social science or related fields;
- Minimum of 8 years of demonstrable experience in the technical area of natural resource management, Value chains analysis, nature-based enterprise development, resource economics, or similar;
- Prior experience carrying out value chains analysis, socio-economic assessments and data collection;
- Prior experience working experience with Local Communities and Indigenous Peoples, on identifying project related risks at local level stemming from the project proposed value-chain development related activities including risks to stakeholder rights, cultural heritage risks, and risks related to potential economic displacement through project activities, including community involvement with Private Sector;
- Prior experience working experience with undertaking consultation on value-chain development in local and Indigenous Peoples communities, ensuring FPIC community involvement and minimizing in social and environmental safeguards risk, including community involvement with Private Sector highly desirable;
- Prior experience of working as part of a project development team, particularly on a UNDP-supported, GEF-financed project would be good advantage;
- Well-developed capacity to collect, verify and analyse data and present work with a high degree of accuracy and technical quality in a short period of time;
- Demonstrated understanding of public-private partnership of Lao PDR, understand the links between sustainable development, social and gender issues;
- Ability to work in multidisciplinary and multicultural team;
- Strong motivation and ability to work and deliver under pressure and short deadlines;
- Excellent communication and organizations skills;
- Strong networking and interpersonal skills;
- Excellent verbal and written skills in English and Lao are required.

# **Consultant 6:**

National Financial Mechanism Specialist

#### Role:

The objective of the GEF PPG National Financial Mechanism Specialist will be to provide advice on sustainable financing strategies, including modalities for payment for ecosystem services, eco-compensation, voluntary carbon trading and access and benefit sharing in Lao PDR to support the development of the UNDP Project

Type: NC

Cost per person-week: \$1,800

Number of person-weeks needed: 5

Document (ProDoc). The consultant will be a conservation financing specialist with a comprehensive understanding of conservation financing opportunities in Cambodia, as well as experience in community-based development of close-to-nature businesses and local livelihoods diversification. The consultant will report to PPG Team leader. The National consultant will be responsible for leading the technical studies as specified below and making inputs as agreed with the Team Leader into development of Project Document, CEO Endorsement Request and Annexes.

# **Responsibilities and Deliverables:**

- 1) Preparatory Technical Studies and Reviews focusing on National Protected Area (NPA) and Biodiversity conservation. Prepare inputs and support the required analyses/studies, as agreed with the PPG Team Leader, including:
  - a. Identify and review documentation and information needed to prepare a Rapid assessment/review of the NPA-financing context, including: public sector policy/institutional framework; current and potential financing partners in the private sector and civil society (with assessment of current or potential for involvement and degree of influence); trends, drivers, risks and barriers and levers for change;
  - Hold consultations as well as identify and review documentation and information needed to determine NPA financing needs and conduct a financing gap analysis for each NPA;
  - c. Conduct rapid socio-economic assessment of forest-adjacent communities to establish the direct beneficiaries of NPAs under different revenue-generation opportunities; determine average household income, educational levels, predominant economic activities, patterns of forest-use and economic impacts of implementing climate-resilient forest management actions; refine available information showing differential targets for women and men as part of the project's Gender Action Plan, identify number of groups to receive targeted training that will be delivered to selected NPA staff and local community members (with critical skills gaps, and prospective training service providers, identified; formal training, accreditation, and/or mentoring program implemented);
  - d. Identify a suite of current and potential alternative financing solutions/revenue streams for implementing climate-resilient forest management, and supporting related livelihood options for forestadjacent communities, with attention to: forest-sensitive and climateresilient income-generating activities (including but not limited to sustainable tourism options, sustainable use of non-timber forest products, agro-forestry using indigenous species, beekeeping, activities that can contribute to Climate Change Adaptation/Mitigation and other novel revenue streams); sources of finance; financial instruments; lead agencies and intermediaries; mechanisms for benefit-sharing with forestadjacent communities; feasibility; and options for scaling up and replication.

- e. Make recommendations/provide guidelines on steps and processes to follow and templates to adopt for development of Basic Investment Plans for each identified NPA, and practical roll-out action plans.
- f. Support completion of any other data collection or studies that are determined as necessary for completion of the Prodoc, its Annexes and the CEO ER.
- 2) <u>Formulation of the Prodoc, CEO Endorsement Request and mandatory and project-specific annexes (Component B):</u> Prepare inputs as agreed with the PPG Team Leader, including:
  - a. Prepare Rapid assessment/review report on NPA-financing;
  - b. Prepare NPA financing gap analysis;
  - c. Prepare Financial Modality Survey for Long-term Sustainable Financing report on alternative financing solutions/revenue streams;
  - d. Contribute to development of the Theory of Change and Project Results Framework;
  - e. Contribute to development of the project's M&E Framework by refining indicators and verifying baselines and targets, including identification of means of measurement, and assumptions;
  - f. Contribute to development of the project's Risk Register, environmental and social safeguards screening, risk mitigation strategy, and gender mainstreaming and comprehensive stakeholder engagement plans, ensuring that all NPA-financing and livelihoods-related information and risks are well-integrated;
  - g. Provide support to the PPG TL in developing an accurate project budget; and assist with completion of financial sustainability components of the METT; and,
  - h. Prepare inputs and support the development of final PPG deliverables, as agreed with the PPG Team Leader and the National Lead, including the Overview of Technical Consultancies and Subcontracts and, Description of Project Activities as well as the Socio-economic Analysis, the Landscape Profile and Situation Analysis.

## 3) Validation Workshop (Component C):

- a. Contribute to the preparation of and participate in the Validation Workshop;
- b. Revise documentation in line with review inputs received through the validation process; and,
- c. Contribute to writing the Validation Workshop Report, as requested by the PPG Team Leader

# 4) Final Deliverables:

- a. Prepare Rapid assessment/review report on NPA-financing;
- b. Prepare NPA financing gap analysis;
- c. Prepare Financial Modality Survey for Long-term Sustainable Financing report on alternative financing solutions/revenue streams;
- d. Appropriate inputs provided to the Final Project Document and Annexes, as agreed with PPG Team Leader, including the Description of Project

Activities, Socio-economic Analysis, Landscape Profile and Situation Analysis and Overview of Technical Consultancies and Subcontracts

## **Qualifications:**

- University degree in a relevant field such as Resource Economics, Environmental Economics, Development Studies, Biodiversity Finance, or similar.
- Minimum of 8 years demonstrated experience working in fields such as environmental/resource economics, NPA financing or community-based natural resource management sectors, with expertise in conducting participatory socio-economic assessments, NPA financing gap analyses, NPA ecosystem valuation, cost-benefit analyses in relation to alternative revenue streams and income-generating activities, and reviews of environmental finance policies
- Analytical thinking and research skills and ability to collate data and apply analytical tools to inform project design;
- Excellent technical writing skills in English
- Ability to work well in a team, with prior experience working on GEFfinanced projects as an advantage
- Demonstrated understanding of the links between sustainable development, social and gender issues;
- Strong motivation and ability to work and deliver under pressure and short deadlines
- Excellent communication and organizations skills
- Strong networking and interpersonal skills
- Excellent verbal and written skills in English and Lao are required

# **Consultant 7:**

National PPG Associate Consultant

Type: NC

Cost per person-week: \$500

Number of person-weeks needed: 14

# Role:

The objective of the National PPG Associate Consultant is to support PPG team in coordinating with relevant stakeholders, including Government. In addition, the Consultant will assist the PPG consultants with the gathering/ collection/ review/ analysis of relevant information needed in the project documentation preparation as well as work closely with the consultants and UNDP in the preparation of the Project Document and other necessary project documentation.

## **Responsibilities and Deliverables:**

- Assist PPG Team in obtaining information, documents from relevant ministries, organizations as second data for project proposal development
- Coordinate in the preparation, conduct and follow-up of meetings, workshops and consultations undertaken by the PPG Team as agreed by the PPG Team leader and/or UNDP

- Assess existing tools, e.g., existing online training platforms/online modules, trainings already developed by other projects, curricula, modules, trainings of partner schools and/or universities, and provide first indications on possible gaps;
- 4. Review whether there are tourist-wildlife interaction guidelines in place in the project sites;
- 5. Review whether there are wildlife interaction/best practices in place for nature-based-tourism in the project sites;
- Work closely with PPG consultants and UNDP and assist in the gathering/collection/review/analysis of relevant information needed for the preparation of the ProDoc;
- 7. Work closely with the consultants and UNDP in the preparation of the Project Document and other necessary project reports;
- 8. Facilitate the interphase between the PPG Team and the main stakeholders including Government;
- 9. Any other inputs/tasks as determined by the PPG Team leader and/or UNDP.

# **Qualifications:**

- Bachelor's degree on administration, economics, Community Development, Environmental, Planning or any related course
- Minimum 3 years of demonstrable experience in the supporting project development and implementation
- Past working experience with UN agencies or UNDP will be an advantage
- Past working experiences with government agencies, especially Ministry of Forestry and Agriculture
- Strong analytical skill
- Ability to work in multidisciplinary and multicultural team
- Strong motivation and ability to work and deliver under pressure and short deadlines
- Excellent communication and organizations skills
- Strong networking and interpersonal skills
- Competent in preparing publications, reports and presentation
- Excellent verbal and written skills in English and Lao are required

# **Consultant 8:**

National Social Development Specialist

#### Role:

The National Social Development Specialist will lead the development of project annexes related to Indigenous Peoples (including Free, Prior and Informed Consent – FPIC) and broad social development issues, including intangible cultural heritage. With guidance from the International Safeguards Expert, the consultant will work in close collaboration with the National Gender and Stakeholder Engagement Specialist. S/he will provide technical

Type: NC

Cost per person-week: \$1,750

expertise to the project team on mainstreaming social development issues into project implementation. The consultant will be a specialist in the application of safeguards with experience in social inclusion and indigenous people's engagement including Free, Prior and Informed Consent (FPIC).

# Number of person-weeks needed: 6

#### **Responsibilities and Deliverables**

- 1) <u>Preparatory Technical Studies and Reviews (Component A)</u>: Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader and International Social and Environmental Standard Specialist, including:
  - a. Support the work of the PPG Consultancy team by providing inputs to the Landscape Profile and Situation Analysis, Risk Mitigation Strategy, the SESP, the ESMF and initial assessments of project risks;
  - Assess potential impacts of the project on the rights and interests, lands, territories, resources, and traditional livelihoods of Indigenous Peoples/ethnic minorities in this regard and determine when FPIC applies in accordance with national contexts and preferences;
  - c. Provide guidance to the National Gender and Stakeholder Engagement Specialist for carrying out consultations with communities at demonstration landscape to assess level of understanding and capacity to give consent and identify community preferences for FPIC process, based on these assessments, and if relevant, integrate relevant matters as needed including FPIC into project design;
  - d. Review and quality assure the documentation of any consultations with local communities in support of FPIC;
  - e. Support the completion of the ProDoc and all other final outputs as guided by the PPG Team Leader.
- 2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u>
  - a. Develop the Indigenous Peoples Framework.
  - b. Develop the Process Framework.
  - c. Develop Livelihood Action Framework.
  - d. Prepare inputs and support the development of final PPG deliverables, as agreed with the PPG Team Leader and the National Lead, including the SESP, the ESMF, Description of Project Activities and Overview of Technical Consultancies and Subcontracts, as well as support the agreements on project management arrangements and ensure that social development issues are adequately incorporated into these arrangements.
- 3) Validation Workshop (Component C):
  - a. Contribute to the validation workshop; and
  - b. Support all necessary revisions that arise during the workshop, as appropriate.
- 4) Final Deliverables:
  - a. Final draft of the Indigenous Peoples Framework
  - b. Final draft of the Process Framework
  - c. Final draft of the Livelihood Action Framework
  - d. Social development fully mainstreamed into the project document;
  - e. Appropriate inputs provided to the Final Project Document and Annexes, as agreed with the PPG Team Leader and National Lead.

#### Qualifications

- Master's degree or higher in cultural anthropology, sociology, cultural geography, or any other related fields;
- At least 7 years of experience related to social standards and social impact assessment in an international development context;
- Demonstrated experience in indigenous peoples and FPIC, intangible cultural heritage, and economic displacement;
- Excellent analytical, writing, advocacy, presentation, and communications skills are required; an

# Excellent written and oral communication skills in English and Lao

## Consultant 9:

National Policy and Programming Specialist

Type: NC

Cost per person-week: \$1,750

Number of person-weeks needed:5

#### Role:

The National Policy and Programming Specialist will work closely with the National Team Leader in designing, planning, and executing PPG activities at the national level. The Consultant will have specific experience in working with National Protected Areas and will lead in the rapid technical review of the national and local policy and legislative frameworks pertaining to National Protected Areas, and biodiversity conservation, as well as identifying how Intact Forest Landscapes can be integrated into said policy and legislative frameworks. The Consultant will provide recommendations on how to improve the implementation of policies and laws on the management of National Protected Areas and other conservation areas. The Consultant will also review ongoing and planned Government and donor projects and programs related to the project's area of intervention and determine the gaps and overlaps in said projects/programs (i.e. the project's baseline). S/he will also propose mid-term and end-of-project targets and indicators for monitoring changes in the national and local policy and legislative frameworks.

#### Responsibilities and Deliverables:

- Preparatory Technical Studies and Reviews (Component A): Prepare inputs and support the required analyses/studies, as agreed with the PPG Team Leader and National Lead. This will include the following tasks:
  - Analysis how Intact Forest Landscapes (as defined by the project) can be integrated into national laws, legislation, and policy in Lao PDR with a specific reference to the National Decree on National Protected Areas (NPA), and other national and sub-national related policies on conservation area management and biodiversity conservation;
  - Conduct a stock-taking of and evaluation of national policies and practices
    with regard to the management of National Protected Areas and other
    conservation areas including the rights of the ethnic groups who live
    within said areas with a specific focus on the project-determined NPAs
    and Intact Forest Landscapes;
  - c. Analysis of local policies and strategy documents, and institutional arrangements, law enforcement, including informal, traditional and unwritten practices relevant to natural resource use in the project sites;
  - d. Assess the status of legal instruments that are used to establish NPAs and other conservation areas and identify strengths and weaknesses and assess legal options that can facilitate and expedite the establishment of new conservation areas supporting Intact Forest Landscapes;
  - e. Assess and evaluate gaps in the existing enforcement mechanisms including operational plans, support and involvement of law enforcement

- agencies, and response chains are sufficient to accommodate an integration of Intact Forest Landscapes into national policy and legislation;
- f. Review ongoing and planned Government and donor projects and programs related to the project's area of intervention;
- g. Determine the gaps and overlaps in ongoing and planned Government and donor projects and programs;
- h. Assess local development projects and how these may impact on biodiversity conservation and priority habitats in the project sites;
- Identify and prepare the legal and policy information needed for the Project's SES related documentation with a particular reference to gaps that may exist with UNDP's Environmental and Social Management Framework (ESMF), the Indigenous Peoples Planning Framework (IPPF), the Process Framework (PF) and the Livelihood Action Framework (LAF);
- j. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader.

# 2) <u>Contributions to the Formulation of the ProDoc, CEO Endorsement Request</u> and Mandatory and Project Specific Annexes (Component B):

- a. Develop a Policy baseline situation and gap analysis;
- b. Develop a Project and program baseline analysis of project relevant projects and programs (including pipeline) in Lao PDR;
- Work with the GEF PPG Team Leader to ensure relevant findings are meaningfully integrated into the project strategy, theory of change and results framework;
- d. Provide contributions to the Landscape Profile and Situation Analysis and other documents as appropriate.

# 3) Validation workshops (Component C):

- a. Participate in and contribute to the national, state and local level consultations and validation workshops, as needed;
- b. Support necessary revisions that arise during the workshop, as appropriate.

# 4) Final Deliverables:

- a. Policy baseline situation and gap analysis;
- b. Develop a Project and program baseline analysis; and
- c. Appropriate inputs to the final UNDP-GEF project document based on guidance from the PPG Team Leader

# **Qualifications:**

- Master's degree or higher in environmental policy, sustainable development, or other related fields;
- Minimum 7 years of demonstrable experience in the technical area of environmental law and other related fields such as environmental policy

- review and development, with a specific focus on nature conservation legislation;
- Demonstrated experience working on policy and programmatic issues particularly related to Land Use Planning, biodiversity conservation, protected area establishment and management, and the inclusion of local governments and civil society organizations including community organizations in national and local planning processes;
- Demonstrable experience in the field of policy and program review and development dealing with biodiversity and protected areas planning, integrated land use planning, and integrated natural resources management;
- Demonstrated experience working on policy and programmatic issues with national and local governments and civil society organizations including community organizations desired;
- Demonstrated experience working with conservation/protected areas policy and programmatic issues with national and local governments and civil society organizations including community organizations desired;
- Understanding of the political, legal, and institutional context for biodiversity and protected areas planning, integrated land use planning, and integrated natural resources management in Lao PDR;
- Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals;
- Experience working in NPAs and priority habitats and Biodiversity Conservation highly desired;
- Excellent verbal and written skills in English and Lao are required