United Nations Development Programme

PROJECT DOCUMENT

Project Title: Integrated Framework for Climate Change, Energy and Environment Initiatives (IFCEE)
Project Number: 01004337
Implementing Partner: United Nations Development Programme (UNDP)
Start Date: 01 April 2025 **End Date:** 31 December 2028
PAC Meeting date: 01 April 2025

Brief Description

The project aims to address key development challenges associated with climate change, energy transition, environmental degradation, and climate-induced health issues in Viet Nam. As the country faces increasing climate-related risks, such as extreme weather events, rising temperatures, and environmental degradation, there is an urgent need to enhance resilience, reduce emissions, and foster sustainable development aligned with the Country Programme Document's 2022-2026 Outcome 2 and signature solution on crisis prevention and increased resilience.

This project is to consolidate and manage a set of pipelines to materialize funding opportunities on climate change, energy and environment initiatives (CCEE), aiming to mobilize resources and improve performance for these following portfolios: i) Enhance resilience to climate change and disasters; ii) Promote green and low-carbon development; iii) Circular economy and waste/plastic; iv) Foster biodiversity conservation and ecosystem restoration; and v) Strengthen the climate-health nexus.

With the objectives of resources mobilization for CCEE unit, this project will contribute to:

- Enhance programme development on climate change and environmental topics, catalyze national efforts for sustainability, and support Viet Nam in achieving its climate and environmental goals while promoting green, inclusive development.
- Provide top-notch policy advice and quality assurance to ensure that climate-vulnerable communities and marginalized groups benefit from innovative climate and environmental initiatives.

Contributing Outcome (UNSDCF, CPD, RPD):

UNSDCF

Outcome 2: Climate Change Response, Disaster Risk Resilience & Environmental Sustainability

Outcome 3: Shared Prosperity through Economic Transformation

UNDP Country Programme Document:

Outcome 2: Climate Change Response, Disaster Resilience and Environmental Sustainability

Output 2.1. Innovative and resilience-building solutions introduced to reduce risks from disaster, climate change, and health impacts for vulnerable people

Output 2.2. Policies and solutions designed and implemented for transformation to low-carbon development, circular economy, and environmental protection

Quantum Project ID: 01004337

Indicative Output(s) with gender marker1: GEN2

Total resources required:		USD 1,500,000
Total resources allocated:		
	UNDP TRAC 1:	USD230,000
To be mobilized:		USD 1,270,000

Agreed by (signatures):

UNDP
Print Name: Ramla Khalidi Resident Representative UNDP in Viet Nam
Date: 3/4/2025

I. DEVELOPMENT CHALLENGE

Viet Nam is highly susceptible to the effects of climate change, ranking among the countries most vulnerable to rising sea levels, increased frequency of extreme weather events, and changing precipitation patterns (Viet Nam Climate Change Country Profile, USAID 2024). This vulnerability threatens livelihoods, food security, water availability, and public health. Additionally, the country's rapid industrialization and urbanization, coupled with inefficient waste management systems, contribute significantly to environmental degradation, including high levels of plastic pollution, deforestation, and loss of biodiversity.

Viet Nam faces growing vulnerability to climate change, environmental degradation, and energy transition challenges. Long droughts have been observed in the South Central Coast and Central Highlands, especially during the El Niño period from 2014 to 2016. These factors have direct impacts on socio-economic development, particularly in vulnerable sectors such as agriculture, health, and natural resource management. Under the impacts of climate change, the productivity of key crops (e.g., rice, maize) might be reduced by 8.8% and 18.7%, respectively, by 2050 (Trinh & Lovell, 2016). Significant damage is also anticipated in infrastructure and transportation sectors (UNDP and MONRE, 2022).

Climate change exacerbates existing inequalities and poses significant risks to poverty reduction efforts, with marginalized groups such as rural populations, women, ethnic minorities, and low-income communities being disproportionately affected. Women, children, ethnic minorities, and People with Disabilities (PwD) are among the most vulnerable groups to climate change, experiencing impacts such as health issues and loss of income (UNFPA 2021, World Bank 2021). Furthermore, marine plastic pollution poses a significant threat to the fishing industry, a primary source of income for many rural households. The recent Typhoon Yagi, which hit Viet Nam in September 2024, caused over 2,000 deaths/injuries, damaged 280,000 homes, and seriously affected 1,530 schools and 570 health facilities, leading to a 0.15% GDP reduction in 2024.

The need to build resilience, reduce carbon emissions, and promote sustainable development is critical for the country's long-term stability and growth, as set out in Viet Nam's National Adaptation Plan for the period of 2021-2030 with a vision to 2050. At the global level, Viet Nam is a signatory to the Paris Agreement, the United Nations Sustainable Development Goals (SDGs), and the Global Biodiversity Framework (GBF), all of which set ambitious targets for reducing greenhouse gas emissions, enhancing climate resilience, and protecting biodiversity. This project demonstrates UNDP's commitment to supporting the Government of Viet Nam in implementing the National Adaptation Plan and aligning with these international commitments by addressing the challenges of transitioning to a low-carbon economy, promoting circular economy models, and building climate and disaster resilience.

Causes of the Challenge:

- Immediate causes: Limited *capacity and resources* in climate resilience planning, insufficient integration of climate change adaptation and mitigation strategies, and inadequate infrastructure to support renewable energy, waste management, and biodiversity conservation.
- Underlying causes: Fragmented policy frameworks, lack of coordination among stakeholders, and weak institutional capacity to implement and monitor environmental policies. Additionally, the reliance on fossil fuels and industrialization without proper sustainability mechanisms exacerbates the problem.

- Root causes: Systemic issues such as inadequate investment in green technologies and low public awareness of environmental issues hinder meaningful change. Structural inequalities also limit the participation of marginalized groups in decision-making processes.

Addressing these climate change and environmental challenges is essential for sustainable development in Viet Nam. By mobilizing resources on CCEE initiatives, enhancing capacities, development and dissemination of knowledge products, this project "*Integrated Framework for Climate Change, Energy and Environment Initiatives (IFCEE)"* will address the aforementioned root causes. IFCEE will also place a strong focus on women's empowerment and the inclusion of marginalized groups in climate and environmental action will also reduce gender and social inequalities, enabling more equitable development outcomes. The project will build on the past successful projects, evidence-based lessons and best practices documented in independent evaluations (e.g., projects funded by Green Climate Fund, Global Environment Facility and experience in post-disaster recovery).

By targeting these root causes, this project aims to mobilize resources for Climate Change, Energy and Environment (CCEE) unit of UNDP Viet Nam's Country Office with the ultimate aim of supporting Viet Nam's commitment to sustainable development, ensuring that no one is left behind in the face of the climate and environmental crisis.

II. **STRATEGY**

The overarching objectives of IFCEE project are to:

- Enhance programme development, mobilize resources and develop capacities for actors on climate change and environmental topics, catalyze national efforts for sustainability, and support Viet Nam in achieving its climate and environmental goals while promoting green, inclusive development by formulation of high-quality concept notes and proposal packages
- Provide top-notch policy advice and quality assurance via research, policy review, technical analysis, stakeholder engagement events and consultations ensuring that climate-vulnerable communities and marginalized groups benefit from innovative climate and environmental initiatives.

These initiatives will be centered around five thematic areas: i) Climate and disaster risk resilience; ii) Green and low-carbon development; iii) Circular economy, plastic waste reduction; iv) Natural resources and biodiversity; and v) Climate health nexus. In addition, these thematic areas can be integrated to provide blended solutions to the most pressing climate and environment challenges in Viet Nam.

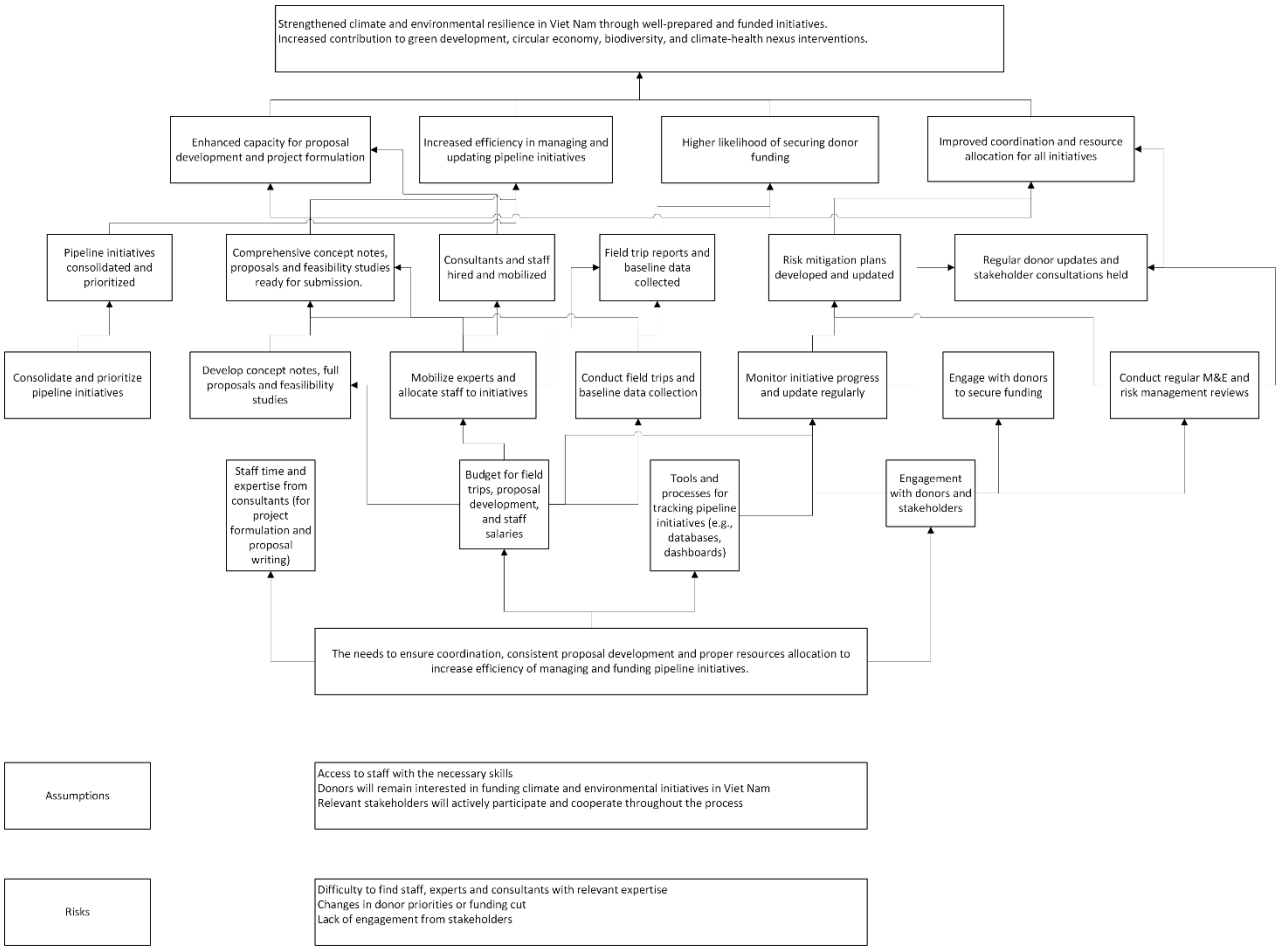
UNDP Viet Nam Country Office, building on success of previous projects, will collaborate with government partners (e.g., Ministry of Agriculture and Environment (MAE), Ministry of Industry and Trade (MOIT), Ministry of Finance (MOF), Ministry of Foreign Affairs (MOFA), Ministry of Public Security (MPS), Ministry of Construction (MOC), Ministry of Ethnics and Religion (MER)), development partners and international donors (e.g., Green Climate Fund (GCF), Global Environment Facility (GEF), EU, UK, Canada, Norway, Irland, the Netherlands, Germany, KOICA, JSB, IKI) to materialize these pipelines and transform to development projects. In parallel, the team continues to address the development needs of Government of Viet Nam and fostering collaboration to formulate and fine-tune innovative initiatives to tackle climate change, environmental and energy challenges.

Theory of Change (ToC)

This project seeks to mobilize resources to address the critical development challenges of climate change, environmental degradation, and energy transition by leveraging a comprehensive, evidence-based approach that incorporates gender equality and digital considerations. The Theory of Change (ToC) outlines the causal pathway from the project's activities through to the long-term impact on climate resilience, low-carbon development, and social equity. The ToC will be assessed across all five portfolios or expected results, ensuring a systematic evaluation of the project's effectiveness in achieving its intended outcomes.

Problem Statement	The needs to ensure coordination, consistent proposal development and proper resources allocation to increase efficiency of managing and funding pipeline initiatives.
Inputs	• Staff time and expertise from consultants (for project formulation and proposal writing). • Budget for field trips, proposal development, and staff salaries. • Tools and processes for tracking pipeline initiatives (e.g., databases, dashboards). • Engagement with donors and stakeholders.
Activities	• Consolidate and prioritize pipeline initiatives. • Develop concept notes, full proposals and feasibility studies. • Mobilize experts and allocate staff to initiatives. • Conduct field trips and baseline data collection. • Monitor initiative progress and update regularly. • Engage with donors to secure funding. • Conduct regular M&E and risk management reviews.
Outputs	Resources in 5 portfolios materialized with: • Pipeline initiatives consolidated and prioritized. • Comprehensive concept notes, proposals and feasibility studies ready for submission. • Consultants and staff hired and mobilized. • Field trip reports and baseline data collected. • Risk mitigation plans developed and updated. • Regular donor updates and stakeholder consultations held.
Outcomes	• Enhanced capacity for proposal development and project formulation. • Increased efficiency in managing and updating pipeline initiatives. • Higher likelihood of securing donor funding. • Improved coordination and resource allocation for all initiatives.
Impact	• Strengthened climate and environmental resilience in Viet Nam through well-prepared and funded initiatives. • Increased contribution to green development, circular economy, biodiversity, and climate-health nexus interventions.
Assumptions	• Access to staff with the necessary skills • Donors will remain interested in funding climate and environmental initiatives in Viet Nam • Relevant stakeholders will actively participate and cooperate throughout the process
Risks	• Difficulty to find staff, experts and consultants with relevant expertise • Changes in donor priorities or funding cut • Lack of engagement from stakeholders

The Theory of Change (ToC) is depicted in the diagram below:



III. RESULTS AND PARTNERSHIPS

Expected Results

With the aim to mobilize resources on CCE initiatives during the period of 2025 – 2028 for these initiatives, the project will be delivered through two key expected results as below:

Expected Result 1 – Output 1 in Quantum: Programme development and formulation of projects to address climate change and environmental challenges in Viet Nam

Results: 10 gender-responsive proposal packages (including concept notes, feasibility studies, technical assessments and reports)

Activity 1.1 Develop concept notes, proposals, feasibility studies, assessments, technical reports

Activity 1.2 Organize consultation workshops as required

Expected Result 2 – Output 2 in Quantum: Provide actionable policy advice and quality assurance ensure that climate-vulnerable communities and marginalized groups benefit from innovative climate and environmental initiatives.

Results: 04 policy advocacy events and 04 monitoring missions (with at least 50% of participant are women)

Activity 2.1 Develop knowledge products and organize policy events

Activity 2.2 Perform quality assurance and conduct monitoring field missions

Resources Required to Achieve the Expected Results

Human Resources: UNDP staff at the country, regional, and HQ levels will be essential. This includes the engagement of project analysts, project associates, technical experts in climate resilience, energy, and gender integration, along with M&E and SES specialists. Partnerships with national and local government agencies, NGOs, and private sector entities will also provide essential expertise.

Financial Resources: Budget allocation will cover staff time, procurement of consultancy services for technical experts and logistical/travel costs during project design process.

Technical Resources: Experts in climate change, energy transition, and social inclusion will be vital to the project's success.

Partnerships: Strategic partnerships with international donors (e.g., GCF,GEF, EU, UK, Canada, Norway, KOICA, JSB), government partners (MAE, MOF, MPS, MOIT, MER, MOC, MOFA etc.), local authorities, and other stakeholders will provide technical, financial, and knowledge resources for implementation.

Risks and Assumptions

The key risks to the implementation of this project are summarised in the Risk Log (Annex III).

Stakeholder Engagement

Target groups: The project will target vulnerable communities, particularly women, marginalized groups, and ethnic minorities, focusing on those most at risk from climate change impacts. Engagement strategies include participatory consultations, gender-sensitive needs assessments, and gender-responsive capacity-building workshops to ensure these groups are actively involved in decision-making and project activities.

Other potentially affected groups: Local communities, particularly women, especially those near infrastructure projects or renewable energy installations, may be indirectly affected. To ensure their concerns are heard, the project will implement a transparent grievance mechanism, including UNDP's Social and Environmental Compliance Review and Stakeholder Response Mechanism. Regular information sessions will be conducted to raise awareness and ensure access to these mechanisms. The strategy ensures affected groups can voice concerns and influence project implementation, fostering trust and accountability.

South-South and Triangular Cooperation (SSC/TrC)

The project will leverage South-South and Triangular Cooperation (SSC/TrC) to exchange knowledge, expertise, and resources among countries facing similar climate and environmental challenges. By partnering with countries that have successfully implemented climate resilience, renewable energy, and circular economy solutions, the project will facilitate mutual learning and capacity building. SSC/TrC will also connect international donors, governments, and the private sector to scale up interventions and ensure long-term sustainability. This collaboration will foster innovation, increase the impact of the project, and create lasting networks for continued knowledge sharing and development.

Digital Solutions

The project will integrate digital technologies to enhance climate resilience and sustainable development. Digital platforms will be used for real-time data collection and monitoring, enabling better decision-making and adaptive management. Remote sensing technologies will support climate risk assessments, while mobile apps and online tools will facilitate awareness-raising, training, and capacity-building for target beneficiaries. Additionally, digital solutions will improve access to renewable energy resources and circular economy initiatives, ensuring broader participation and engagement. These technologies will streamline communication, enhance transparency, and empower communities and partners to manage resources more effectively

Knowledge

The project will document best practices, lessons learned, and successful models in climate resilience, renewable energy, and circular economy solutions during the project design process of these initiatives. Lessons learnt will be documented in designated repository. Knowledge sharing will be facilitated through webinars, conferences, and partnerships with research institutions. The products will be published on UNDP's platforms and other relevant networks, ensuring visibility and accessibility for stakeholders and practitioners in the development community.

Communication

Regular donor updates will focus on progress and impact, while stakeholder consultations ensure inclusive input. Internal communication will streamline information flow, utilizing digital platforms for efficiency. Proactive risk communication will address potential challenges transparently. Sharing M&E results demonstrates accountability. Ultimately, the project will foster trust and collaboration, ensuring all parties are informed and aligned with the initiative's goals for strengthened climate and environmental resilience in Vietnam.

Sustainability and Scaling Up

The project will align with national systems by working closely with government agencies and local authorities to ensure that interventions are integrated into national policies and frameworks. The project will create mechanisms for knowledge transfer and ensure national ownership by involving stakeholders in decision-making and implementation.

IV. PROJECT MANAGEMENT

Cost Efficiency and Effectiveness

The project will leverage the Theory of Change to prioritize interventions that provide the greatest impact within available resources, focusing on high-return activities such as capacity-building and technology integration. A portfolio management approach will be adopted to enhance cost-effectiveness by aligning with and leveraging synergies from other relevant initiatives, and between vertical and non-vertical fund initiatives. Joint operations, including shared procurement and monitoring with partners, will streamline resource use and reduce costs. Evidence from similar contexts demonstrates that this collaborative, integrated approach leads to efficient scaling, maximizes resource utilization, and enhances long-term sustainability.

Project Management

The project will be operationalized across multiple regions in Viet Nam. Collaboration with related projects, such as those under GCF, GEF and EU to materialize pipeline initiatives, will ensure synergies and avoid duplication. Spotchecks will be conducted by UNDP's team to ensure compliance and transparency.

V. RESULTS FRAMEWORK

Intended Outcome as stated in the UNSDCF/Country Programme Results and Resource Framework:
Outcome 2: Climate Change Response, Disaster Risk Resilience & Environmental Sustainability

Outcome indicators as stated in the Country Programme [or Regional] Results and Resources Framework, including baseline and targets: Outcome 2: Climate Change Response, Disaster Resilience and Environmental Sustainability

Applicable Output(s) from the UNDP Strategic Plan:
Output 2.1: Innovative and resilience-building solutions introduced to reduce risks from disasters and climate change and health impacts for vulnerable people
Output 2.2: Policies and solutions designed and implemented for transformation to low-carbon development, circular economy and environmental protection
Output 2.3: Gender-responsive, sustainable and innovative solutions and practices in natural resources, biodiversity conservation and nature-based tourism adopted

Project title and Quantum Project Number:
Integrated Framework for Climate Change, Environment and Energy Initiatives (IFCEE)

EXPECTED OUTPUTS	OUTPUT INDICATORS	DATA SOURCE	BASELINE		TARGETS (by frequency of data collection)					DATA COLLECTION METHODS & RISKS
			Value	Year	Year 1	Year 2	Year 3	Year 4	FINAL	
Output 1 *Programme development and formulation of projects to address climate change and environmental challenges in Viet Nam*	***1.1** Number of gender-responsive knowledge products support to programme developments (concept notes, assessments, proposals, feasibilities studies)*	*Progress report(s)*	0	0	*3*	3	2	2	*10*	
Provide top-notch policy advice and quality assurance	***2.1** Number of policy events with participation of women (50%) and active contribution from women*	*Progress report(s)*	0	0	*1*	1	1	***1***	*4*	

EXPECTED OUTPUTS	OUTPUT INDICATORS	DATA SOURCE	BASELINE		TARGETS (by frequency of data collection)					DATA COLLECTION METHODS & RISKS
			Value	Year	Year 1	Year 2	Year 3	Year 4	FINAL	
ensure that climate-vulnerable communities and marginalized groups benefit from innovative climate and environmental initiatives.	***2.2** Number of field monitoring missions with participation of women (50%) and their applicable inputs*		*0*	*0*	*1*	*1*	*1*	***1***	*4*	

VI. MONITORING AND EVALUATION

In accordance with UNDP's programming policies and procedures, the project will be monitored through the following monitoring plan:

Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
Track results progress	Progress data against the results indicators in the RRF will be collected and analysed to assess the progress of the project in achieving the agreed outputs.	Quarterly, or in the frequency required for each indicator.	Slower than expected progress will be addressed by project management.	Ministry of Agriculture and Environment (MAE), Ministry of Finance (MOF), Ministry of Industry and Trade (MOIT), Ministry of Health (MOH) and relevant government partners	As per assigned item
Monitor and Manage Risk	Identify specific risks that may threaten achievement of intended results. Identify and monitor risk management actions using a risk log. This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.	Quarterly	Risks are identified by project management and actions are taken to manage risk. The risk log is actively maintained to keep track of identified risks and actions taken.		
Learn	Knowledge, good practices and lessons will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	At least annually	Relevant lessons are captured by the project team and used to inform management decisions.		
Annual Project Quality Assurance	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project.	Annually	Areas of strength and weakness will be reviewed by project management and used to inform decisions to improve project performance.		
Review and Make Course Corrections	Internal review of data and evidence from all monitoring actions to inform decision making.	At least annually	Performance data, risks, lessons and quality will be discussed by the project board and used to make course corrections.		
Project Report	A progress report will be presented to the Project Board and key stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk long with mitigation measures, and any evaluation or review reports prepared over the period.	Annually, and at the end of the project (final report)			
Project Review (Project Board)	The project's governance mechanism will hold regular project reviews to assess the performance of the project	Specify frequency (i.e., at least annually)	Any quality concerns or slower than expected progress should be		

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
	and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project's final year, the Project Board shall hold an end-of project review to capture lessons learned and discuss opportunities for scaling up and to socialize project results and lessons learned with relevant audiences.		discussed by the project board and management actions agreed to address the issues identified.		

VII. MULTI-YEAR WORK PLAN

All anticipated programmatic and operational costs to support the project, including development effectiveness and implementation support arrangements, need to be identified, estimated and fully costed in the project budget under the relevant output(s). This includes activities that directly support the project, such as communication, human resources, procurement, finance, audit, policy advisory, quality assurance, reporting, management, etc. All services which are directly related to the project need to be disclosed transparently in the project document.

Expected Outputs	Quantum task/ Planned Activity	Sub-Activities	Timeframe				RP	Planned Budget						
								Donor	Funding source	Budget Description	Budget account	Required Amount USD	Funded allocation per year	
			Y1	Y2	Y3	Y4							Allocated for 2025	2026-2028 (To be mobilized-TBM)
<u>OUTPUT 1:</u> Programme development and formulation of projects to address climate change and environmental challenges in Viet Nam GEN-2 Baseline: No concept notes available Indicator: Number of concept notes prepared Target: 02 concept notes for prepared	ACT 1.1 Develop concept notes, proposals, feasibility studies, assessments, technical reports	Develop CN on Accelerating the Green Investment towards Decarbonization of Viet Nam's Urban transportation	x				UNDP	000012	TRAC/04000	Local consultant	71300	4,500	4,500	
		Formulation of NAP Phase 2	x				UNDP	000012	TRAC/04000	Consultation WS	75700	3,000	3,000	
		Formulation of Blue Carbon Blue Value Project (co-fund) with IKI (or Sida, UK)	x				UNDP	000012	TRAC/04000	International consultant	71200	15,000	15,000	
		Support to formulation of CE Agriculture Proposal for EU funded Projects	x				UNDP	000012	TRAC/04000	International consultant	71200	14,000	14,000	
			x				UNDP	000012	TRAC/04000	Local consultant	71300	6,000	6,000	
		Formulation of the GCF Climate Health ProDoc following the regulations and requirements of the Government of Viet Nam (Decree 114/2021/ND-CP)	x				UNDP	000012	TRAC/04000	Local consultant	71300	10,000	10,000	
		Formulate GEF 9 proposal		x	x	x		TBM	TBM			100,000		100,000
		CCE_Nature for Health (N4H) Fund		x	x	x		TBM	TBM			200,000		200,000
		CCE_Enabling Viet Nam's Integration of Solar PV and Energy Storage Technologies And Supporting a Just Transition		x	x	x		TBM	TBM			200,000		200,000

		CCE_Circular Economy Programmme EU		x	x	x		TBM	TBM			180,000		180,000
	ACT 1.2 Organize consultation workshops as required	Organize consultation workshops as required (such as: AMDER launching…) with participation of women (at least 50%)	x	x			UNDP	TBM	TBM			20,000		20,000
OUTPUT 2: Strategic policy analysis, dialogues, and stakeholder engagement for long-term project development and innovative policies Baseline: N/A Indicator: Number of of policy framework developed Indicator: 01 policy framework developed	ACT 2.1 Develop gender-responsive knowledge products and organize policy events with participation of women (50%) and their active			x	x	x	UNDP	000012	TRAC/04000	Local consultant	71300	11,500	11,500	
		Support Ho Chi Minh DOT to contribute for policy packages on e-mobility transitions		x	x	x	UNDP	000012	TRAC/04000	Travel	71600	1,000	1,000	
		Support some selective High level participants of GoV to COP30					UNDP	000012	TRAC/04000	International travel	71600	15,000	15,000	
	ACT 2.2 Perform quality assurance and conduct monitoring field missions with participation of women (50%) and their applicable inputs											100,000		100,000
Management cost Baseline: Staff is currently mobilized and ISS/DPC/M&E is needed Indicator: Staff salary and ISS/DPC/M&E cost Target: Staff salary is partly covered	PMC 1	Staff and personnel	x	x	x	x	UNDP	000012	TRAC/04000	Staff cost	71400	440,000	110,000	330,000
		Miscellaneous (translation, printing, travel and other)	x	x	x	x	UNDP	000012	TRAC/04000	Misc.	72500	40,000	10,000	30,000
		ISS and DPC for IP and other GEF Projects	x	x	x	x	UNDP	000012	TRAC/04000	ISS/DPC	64397/ 74596	80,000	20,000	60,000
		HACT assurance activities for GEF and iLandscape project	x	x	x	x	UNDP	000012	TRAC/04000	DPC	74100	60,000	10,000	50,000

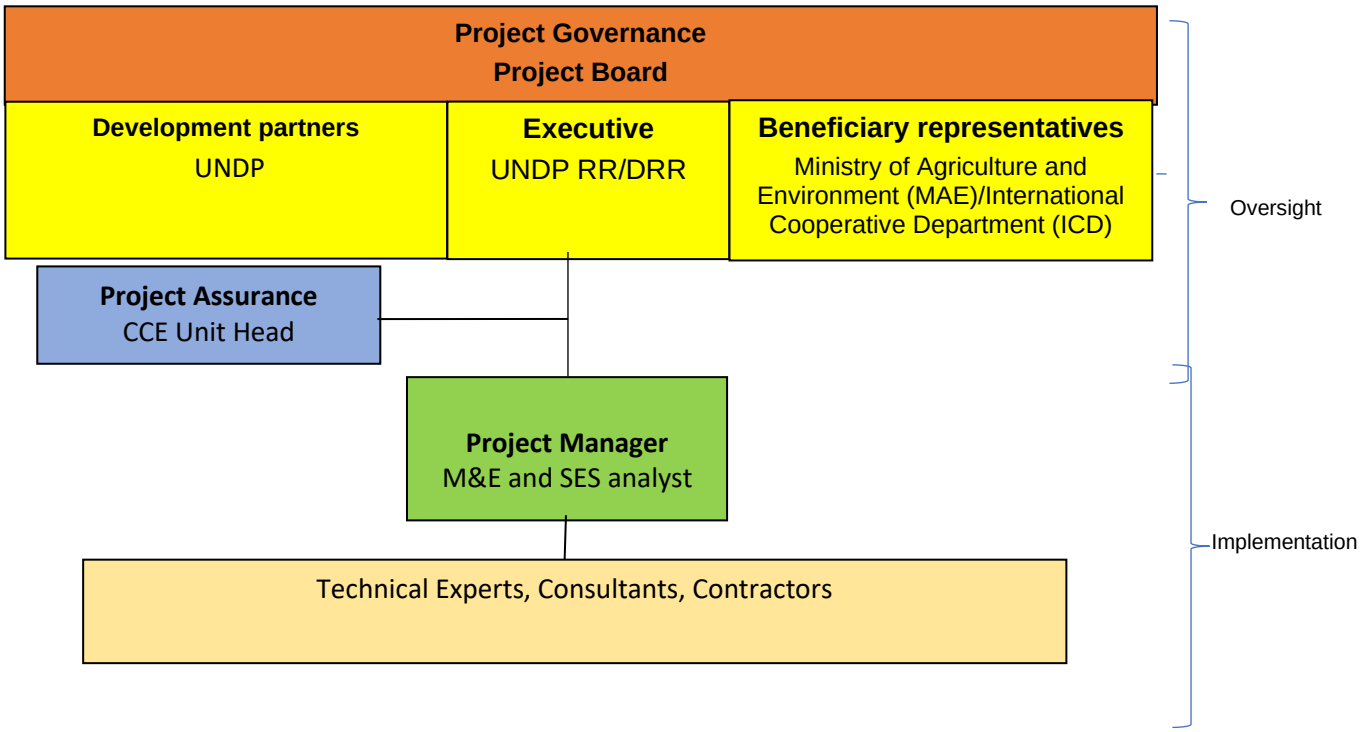
and ISS/DPC/M&E cost is covered														
TOTAL												**1,500,000**	**230,000**	**1,270,000**

VIII. GOVERNANCE AND MANAGEMENT ARRANGEMENTS

Overall Management

The Climate Change, UNDP Viet Nam will execute the project under the Direct Implementation Modality (DIM). Activities will be implemented by the M&E and SES analyst of the CCE Unit, under the direct supervision of the respective Team Leader (i.e.Head of CCE) and the overall programmed management and oversight of the UNDP Deputy Resident Representative.

Project organization structure is presented as in diagram below:



IX. LEGAL CONTEXT

This project document shall be the instrument referred to as such in Article 1 of the Standard Basic Assistance Agreement between the Government of Viet Nam and UNDP, signed in 1979. All references in the SBAA to "Executing Agency" shall be deemed to refer to "Implementing Partner."

This project will be implemented by UNDP ("Implementing Partner") in accordance with its financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of an Implementing Partner does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, the financial governance of UNDP shall apply.

This Project Document is developed in line with the 2022-2026 One Strategic Plan between the Government of the Socialist Republic of Viet Nam and the United Nations Development Programme.

X. RISK MANAGEMENT

1. UNDP as the Implementing Partner will comply with the policies, procedures and practices of the United Nations Security Management System (UNSMS.)

2. UNDP as the Implementing Partner will undertake all reasonable efforts to ensure that none of the project funds/UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism , that the recipients of any amounts provided by UNDP hereunder do not appear on the United Nations Security Council Consolidated Sanctions List, and that no UNDP funds received pursuant to the Project Document are used for money laundering activities. The United Nations Security Council Consolidated Sanctions List can be accessed via https://www.un.org/securitycouncil/content/un-sc-consolidated-list. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

3. Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (http://www.undp.org/ses) and related Accountability Mechanism (http://www.undp.org/secu-srm).

4. UNDP as the Implementing Partner will: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.

5. In the implementation of the activities under this Project Document, UNDP as the Implementing Partner will handle any sexual exploitation and abuse ("SEA") and sexual harassment ("SH") allegations in accordance with its regulations, rules, policies and procedures.

6. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.

7. UNDP as the Implementing Partner will ensure that the following obligations are binding on each responsible party, subcontractor, and sub-recipient:

a. Consistent with the Article III of the SBAA *[or the Supplemental Provisions to the Project Document]*, the responsibility for the safety and security of each responsible party, subcontractor and sub-recipient and its personnel and property, and of UNDP's property in such responsible party's, subcontractor's and sub-recipient's custody, rests with such responsible party, subcontractor and sub-recipient. To this end, each responsible party, subcontractor and sub-recipient shall:
   i. put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
   ii. assume all risks and liabilities related to such responsible party's, subcontractor's and sub-recipient's security, and the full implementation of the security plan.

b. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the responsible party's, subcontractor's and sub-recipient's obligations under this Project Document.

c. Each responsible party, subcontractor and sub-recipient (each a "sub-party" and together "sub-parties") acknowledges and agrees that UNDP will not tolerate sexual harassment and sexual exploitation and abuse of anyone by the sub-parties, and other entities involved in Project implementation, either as contractors or subcontractors and their personnel, and any individuals performing services for them under the Project Document.

   (a) In the implementation of the activities under this Project Document, each sub-party shall comply with the standards of conduct set forth in the Secretary General's Bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse" ("SEA").

   (b) Moreover, and without limitation to the application of other regulations, rules, policies and procedures bearing upon the performance of the activities under this Project Document, in the implementation of activities, each sub-party, shall not engage in any form of sexual harassment ("SH"). SH is defined as any unwelcome conduct of a sexual nature that might reasonably be expected or be perceived to cause offense or humiliation, when such conduct interferes with work, is made a condition of employment or creates an intimidating, hostile or offensive work environment. SH may occur in the workplace or in connection with work. While typically involving a pattern of conduct, SH may take the form of a single incident. In assessing the reasonableness of expectations or perceptions, the perspective of the person who is the target of the conduct shall be considered.

d. In the performance of the activities under this Project Document, each sub-party shall (with respect to its own activities), and shall require from its sub-parties (with respect to their activities) that they, have minimum standards and procedures in place, or a plan to develop and/or improve such standards and procedures in order to be able to take effective preventive and investigative action. These should include: policies on sexual harassment and sexual exploitation and abuse; policies on whistleblowing/protection against retaliation; and

complaints, disciplinary and investigative mechanisms. In line with this, sub-parties will and will require that their respective sub-parties will take all appropriate measures to:

(i) Prevent its employees, agents or any other persons engaged to perform any services under this Project Document, from engaging in SH or SEA;

(ii) Offer employees and associated personnel training on prevention and response to SH and SEA, where sub-parties have not put in place its own training regarding the prevention of SH and SEA, sub-parties may use the training material available at UNDP;

(iii) Report and monitor allegations of SH and SEA of which any of the sub-parties have been informed or have otherwise become aware, and status thereof;

(iv) Refer victims/survivors of SH and SEA to safe and confidential victim assistance; and

(v) Promptly and confidentially record and investigate any allegations credible enough to warrant an investigation of SH or SEA. Each sub-party shall advise UNDP of any such allegations received and investigations being conducted by itself or any of its sub-parties with respect to their activities under the Project Document, and shall keep UNDP informed during the investigation by it or any of such sub-parties, to the extent that such notification (i) does not jeopardize the conduct of the investigation, including but not limited to the safety or security of persons, and/or (ii) is not in contravention of any laws applicable to it. Following the investigation, the relevant sub-party shall advise UNDP of any actions taken by it or any of the other entities further to the investigation.

e. Each sub-party shall establish that it has complied with the foregoing, to the satisfaction of UNDP, when requested by UNDP or any party acting on its behalf to provide such confirmation. Failure of the relevant sub-party to comply of the foregoing, as determined by UNDP, shall be considered grounds for suspension or termination of the Project.

f. Each responsible party, subcontractor and sub-recipient will ensure that any project activities undertaken by them will be implemented in a manner consistent with the UNDP Social and Environmental Standards and shall ensure that any incidents or issues of non-compliance shall be reported to UNDP in accordance with UNDP Social and Environmental Standards.

g. Each responsible party, subcontractor and sub-recipient will take appropriate steps to prevent misuse of funds, fraud, corruption or other financial irregularities, by its officials, consultants, subcontractors and sub-recipients in implementing the project or programme or using the UNDP funds. It will ensure that its financial management, anti-corruption, anti-fraud and anti money laundering and countering the financing of terrorism policies are in place and enforced for all funding received from or through UNDP.

h. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to each responsible party, subcontractor and sub-recipient: (a) UNDP Policy on Fraud and other Corrupt Practices (b) UNDP Anti-Money Laundering and Countering the Financing of Terrorism Policy; and (c) UNDP Office of Audit and Investigations Investigation Guidelines. Each responsible party, subcontractor and sub-recipient agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at www.undp.org.

i. In the event that an investigation is required, UNDP will conduct investigations relating to any aspect of UNDP programmes and projects. Each responsible party, subcontractor and sub-recipient will provide its full cooperation, including making available personnel, relevant documentation, and granting access to its (and its consultants', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with it to find a solution.

j. Each responsible party, subcontractor and sub-recipient will promptly inform UNDP as the Implementing Partner in case of any incidence of inappropriate use of funds, or credible allegation of fraud, corruption other financial irregularities with due confidentiality.

   Where it becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, each responsible party, subcontractor and sub-recipient will inform the UNDP Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). It will provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.

   UNDP will be entitled to a refund from the responsible party, subcontractor or sub-recipient of any funds provided that have been used inappropriately, including through fraud corruption, other financial irregularities or otherwise paid other than in accordance with the terms and conditions of this Project Document. Such amount may be deducted by UNDP from any payment due to the responsible party, subcontractor or sub-recipient under this or any other agreement. Recovery of such amount by UNDP shall not diminish or curtail any responsible party's, subcontractor's or sub-recipient's obligations under this Project Document.

k. Each contract issued by the responsible party, subcontractor or sub-recipient in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from it shall cooperate with any and all investigations and post-payment audits.

l. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project or programme, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.

m. Each responsible party, subcontractor and sub-recipient shall ensure that all of its obligations set forth under this section entitled "Risk Management" are passed on to its subcontractors and sub-recipients and that all the clauses under this section entitled "Risk Management Standard Clauses" are adequately reflected, *mutatis mutandis*, in all its sub-contracts or sub-agreements entered into further to this Project Document.

XI. ANNEXES

1. **Project Quality Assurance Report**

2. **Social and Environmental Screening Procedure**

3. **Risk Register**

   Capacity Assessment: Direct Implementation by UNDP.

4. **Project Board Terms of Reference**