

Design & Appraisal Stage Quality Assurance Report

Form Status: Approved

Overall Rating:	Exemplary
Decision:	Approve: The project is of sufficient quality to continue as planned. Any management actions must be addressed in a timely manner.
Portfolio/Project Number:	00128385
Portfolio/Project Title:	7th Operational Phase of the GEF Small grants programme
Portfolio/Project Date:	2022-06-01 / 2026-12-31

Strategic

Quality Rating: Exemplary

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- 3:** *The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.*
- 2:** The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- 1:** The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

Evidence:

The project is clearly linked to the programme To C. It will contribute to the country outcome about the Mexican State implementing policies, strategies, and programmes that allow moving towards a green economy that promotes the mitigation of climate change and the strengthening of the institutional framework, taking into consideration energy efficiency, promotion of clean and renewable energy, production, consumption, transportation, cities, and sustainable agriculture, with a focus on health, human rights, gender, interculturality, life cycle, and territory.

The project document has developed its own ToC, the pathway considers supporting communities to enhance the socio-ecological resilience of their production landscapes through a participatory landscape planning and management approach. A critical aspect of this Project's design is to further systematize this process of change by identifying activities that can be synergized, mutually benefit one another, and cross-pollinate different initiatives and landscapes.

Evidence: PRODOC Section III Strategy, and Section V Project Results Framework

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	1.PRODOC_PPD_Mexico_OP7_13399_101 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/1.PRODOC_PPD_Mexico_OP7_13399_101.pdf)	andrea.serrano@undp.org	10/13/2022 2:44:00 AM

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds to at least one of the development settings as specified in the [Strategic Plan](#)¹ and adapts at least one [Signature Solution](#)². The project's RRF includes all the relevant SP output indicators. (all must be true)
- 2: *The project responds to at least one of the development settings as specified in the [Strategic Plan](#)⁴. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)*
- 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

The project is in line with the development settings specified in the Strategic Plan, particularly with accelerating structural transformations for sustainable development and building resilience to cope with climate change. The signature solutions have been taken into account and reflected on the Project Components, Outcomes, Outputs, and Activities. The stronger solutions consider are: promote nature-based solutions for a sustainable planet, strengthen gender equality and the empowerment of women, and close the energy gap.

Evidence: PRODOC Section IV Results and Partnerships

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

3. Is the project linked to the programme outputs? (i.e., UNDAF Results Group Workplan/CPD, RPD or Strategic Plan IRRF for global projects/strategic interventions not part of a programme)

- Yes
 No

Evidence:

Yes, the project is linked to UNDAF/CPD, RPD, GPD as stated on the cover page as well as in PRODOC Section V Project Results Framework: Contributing Outcome (UNDAF/CPD, RPD, GPD): By 2025, the Mexican State implements policies, strategies, and programmes that allow moving towards a green economy that promotes the mitigation of climate change and the strengthening of the institutional framework, taking into consideration energy efficiency, promotion of clean and renewable energy, production, consumption, transportation, cities, and sustainable agriculture, with a focus on health, human rights, gender, interculturality, life cycle, and territory. CPD Output 6. Supported strategies focused on consolidating conservation policy and sustainable management of ecosystems and biodiversity from a perspective of green economy and inclusion

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#	File Name	Modified By	Modified On
No documents available.			

Relevant**Quality Rating: Exemplary**

4. Do the project target groups leave furthest behind?

- 3: *The target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.*
- 2: The target groups are clearly specified, prioritizing groups left furthest behind.
- 1: The target groups are not clearly specified.

Evidence:

Landscape-level outcomes have been identified during OP6 by community organizations and other stakeholders through a participatory planning and strategy development process, yielding a typology of potentially eligible projects in each landscape corresponding to the outcomes. To ensure that all voices are considered, efforts will be made to reach out to women, youth, indigenous peoples, and other vulnerable groups such as people with disabilities and migrants, in each one of the landscapes. The participatory planning process consisted of a series of in-person workshops, individual meetings, and interviews with a large group of stakeholders in each target landscape. As SGP Mexico focuses on local communities and producers and their organizations, they were the main stakeholders involved during the planning process.

Evidence: Annex 8. Stakeholder Engagement Plan, and Landscape Strategies

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	Annex8.StakeholderEngagementPlan_13399_104 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex8.StakeholderEngagementPlan_13399_104.pdf)	andrea.serrano@undp.org	10/13/2022 2:46:00 AM
2	estrategia_regional_final_13399_104 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/estrategia_regional_final_13399_104.pdf)	andrea.serrano@undp.org	10/13/2022 2:46:00 AM

5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- 3: Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.**
- 2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.
- 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

Evidence:

In Mexico, SGP has evolved conceptually, focusing first on micro-regional strategies, then on large ecosystems, and, as an Upgraded Country Programme (UCP), during OP6, SGP Mexico adopted a community-based landscape approach as its core programming framework, building on the experience of UNDP's COMDEKS landscape planning approach. Using participatory methodologies five selected landscapes established a baseline, evaluated socio-ecological resilience indicators, and defined a strategic vision, goals, milestones, expected results, and strategies to guide the selection of projects to be financed according to their specificities. See Section III Strategy

Evidence: Mexican Small Grant Programme 2020-2030 Strategic Plan. Book 'Practicing a development model'.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	estrategia_regional_final_13399_105 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/estrategia_regional_final_13399_105.pdf)	andrea.serrano@undp.org	10/13/2022 2:47:00 AM
2	PracticandoModelo_BAJA_13399_105 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PracticandoModelo_BAJA_13399_105.pdf)	andrea.serrano@undp.org	10/13/2022 2:48:00 AM

6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- *3: An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)*
- 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

Evidence:

Section IV.4 Partnerships, states the needs for partnerships at all levels and describes how will the be developed with other stakeholders and organizations, considers linkages and Synergies with GEF Projects and Non-GEF Initiatives.

Regarding South-South and Triangular Cooperation, SGP Mexico will explore opportunities for lesson learning and knowledge exchange on innovative renewable energy technologies with the SGP Country Programme in the Dominican Republic and community tourism with the SGP Country Programmes in Costa Rica and Ecuador. Other South-South exchanges could focus on community sustainable forest management with Colombia, and disease management (reef bleaching) treatment with Belize and Honduras, and treatment for frosty pod rot of cocoa (*Moniliophthora roreri*) with cocoa producer countries in Latin America.

Evidence: PRODOC Section IV, Results and Partnerships

UNDP has a unique implementation capacity. As an international organization, it has neutral approach to community, and its reputation allows direct engagement with partners. UNDP has offices in other countries in Latin America, and this network will be used to promote south south cooperation.

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#	File Name	Modified By	Modified On
No documents available.			

Principled

Quality Rating: **Exemplary**

7. Does the project apply a human rights-based approach?

- 3: *The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)*
- 2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)
- 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered.

Evidence:

The project will include gender and human rights approaches. OP7 and its grants will ensure that the project does not discriminate against socioeconomically disenfranchised women, youth, indigenous peoples, and other vulnerable groups such as people with disabilities and migrants. Furthermore, the Social and Environmental Screening Procedure (SESP) has been duly revised and analyzed as well as the mitigation and management measures to avoid any risk of discrimination or lack of participation of Project's beneficiaries.

Evidence: PRODOC Annex 5. SESP

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Annex5.SESP_13399_107 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex5.SESP_13399_107.pdf)	andrea.serrano@undp.org	10/13/2022 2:49:00 AM

8. Does the project use gender analysis in the project design?

- 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- 2: A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)
- 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

Evidence:

Gender has been considered extensively throughout the project preparation phase, and a Gender Analysis and Gender Action Plan were developed (see Annex). The Gender Analysis provides an assessment of the actions implemented by SGP Mexico to reduce the gender gap, and offers recommendations to strengthen gender equity. Based on the results of the Gender Analysis, a detailed and progressive Gender Action Plan, with key indicators and targets was established, which defines a gender-related objective for each of the Project outcomes.

The project's gender marker is 2.

Evidence: PRODOC Annex 10, and extended Gender Analysis

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	SGPMexicoOP7GenderAnalysis_13399_108 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/SGPMexicoOP7GenderAnalysis_13399_108.pdf)	andrea.serrano@undp.org	10/13/2022 2:49:00 AM

9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- 3: *Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)*
- 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- 1: Sustainability and resilience dimensions and impacts were not adequately considered.

Evidence:

SGP works closely on the interconnections between the social, economic, and environmental dimensions of sustainable development, through direct granting to communities. The call for proposals reflect the link between those dimensions, as well as the training offered to communities since the design phase of the projects. Normally SGP start its intervention with grant focused in sustainable land management. Then, the SGP support communities to improve their participation in new links of the value chain, for example in logistic or marketing. The SGP only promotes agroecological or organic practices.

The project objective is to strengthen socio-ecological and economic resilience in seven landscapes and seascapes in Mexico through community-based activities contributing to global environmental benefits and sustainable development. This strategy will address Resilient landscapes for sustainable development and environmental protection. The key risks that could threaten the achievement of results, along with proposed mitigation measures have been identified and addressed.

Evidence: PRODOC Section II Development Challenge, and III Strategy, Annex 5 SESP, Annex 6 UNDP Risk Register.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Annex5.SESP_13399_109 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex5.SESP_13399_109.pdf)	andrea.serrano@undp.org	10/13/2022 2:50:00 AM
2	Annex6.UNDPRiskRegister_13399_109 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex6.UNDPRiskRegister_13399_109.pdf)	andrea.serrano@undp.org	10/13/2022 2:50:00 AM
3	MultiYearWorkPlanSGPOP7_13399_109 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MultiYearWorkPlanSGPOP7_13399_109.docx)	sebastien.proust@undp.org	10/25/2022 8:02:00 PM

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of reports, coordination of events, trainings, workshops, meetings, conferences and/or communication materials and information dissemination. [if yes, upload the completed checklist. If SESP is not required, provide the reason for the exemption in the evidence section.]

- Yes
- No
- SESP not required because project consists solely of (Select all exemption criteria that apply)
- 1: Preparation and dissemination of reports, documents and communication materials
 - 2: Organization of an event, workshop, training
 - 3: Strengthening capacities of partners to participate in international negotiations and conferences
 - 4: Partnership coordination (including UN coordination) and management of networks
 - 5: Global/regional projects with no country-level activities(e.g.activities such as knowledge management, inter-governmental processes)
 - 6: UNDP serves as Administrative Agent
 - 7: Development Effectiveness projects and Institutional Effectiveness projects

Evidence:

The key social and environmental risks to project results have been identified as low to moderate in the Social and Environmental Screening Procedure (SESP), included in Annex 5, and the Gender Action Plan in Annex 10.

List of Uploaded Documents

#	File Name	Risk Category	Risk Requirements	Document Status	Modified By	Modified On
1	6540 PPD Méxic oFO7 SESP _trad. vf_13 399_1 10 (https://intranet.undp.org/apps/ProjectQA/QAFor mDocuments/6540 PPD Méxic oFO7 SESP _trad. vf_13 399_1 10.pdf)	Low		Final	sebastien.proust@undp.org	10/25/2022 8:21:00 PM

Management & Monitoring**Quality Rating: Highly Satisfactory**

11. Does the project have a strong results framework?

- *3: The project’s selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)*
- 2: The project’s selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
- 1: The project’s selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

Evidence:

The Project has a very strong results framework and it has two components: 1) Resilient landscapes for sustainable development and global environmental protection, and 2) Landscape governance, a adaptive management for upscaling and replication and strengthening of value chains. Each one of them has a set of outcomes, outputs, activities, and SMART indicators, including sex-disaggregated (where corresponds), specific baselines, mid-term targets, and end project targets.

Evidence: PRODOC Chapter V Project Result Framework.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	V.ProjectResultsFramework_13399_111 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.ProjectResultsFramework_13399_111.pdf)	andrea.serrano@undp.org	10/13/2022 2:53:00 AM

12. Is the project’s governance mechanism clearly defined in the project document, including composition of the project board?

- 3: The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)
- 2: *The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)*
- 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

Evidence:

The project has a governance mechanism defined, all the institutional roles have been specified and the functions of the PB (NSC) are duly listed. The project structure is also available.

Evidence: PRODOC Section VII Governance and Management Arrangements. NSC Terms of Reference.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Spanish_GEFSGP_NSCTermsOfReference_June2021_FINAL_9955_112_13399_112 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Spanish_GEFSGP_NSCTermsOfReference_June2021_FINAL_9955_112_13399_112.docx)	andrea.serrano@undp.org	10/13/2022 2:53:00 AM

13. Have the project risks been identified with clear plans stated to manage and mitigate each risk?

- 3: Project risks related to the achievement of results are fully described in the project risk log, based on comprehensive analysis drawing on the programme’s theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)
- 2: Project risks related to the achievement of results are identified in the initial project risk log based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- 1: Some risks may be identified in the initial project risk log, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

Evidence:

The key risks that could threaten the achievement of results, along with proposed mitigation measures have been identified and management measures have been proposed (Annex 6. UNDP Risk Register). The SESP also includes assessment and management measures for social and environmental risks (Annex 5 SESP). Both documents will be monitored according to GEF and UNDP requirements.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	Annex6.UNDPRiskRegister_13399_113 (https://intranet.undp.org/apps/ProjectQA/QAFormsDocuments/Annex6.UNDPRiskRegister_13399_113.pdf)	andrea.serrano@undp.org	10/13/2022 2:54:00 AM

Efficient

Quality Rating: Highly Satisfactory

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design? This can include, for example:

- i) Using the theory of change analysis to explore different options of achieving the maximum results with the resources available.
- ii) Using a portfolio management approach to improve cost effectiveness through synergies with other interventions.
- iii) Through joint operations (e.g., monitoring or procurement) with other partners.
- iv) Sharing resources or coordinating delivery with other projects.
- v) Using innovative approaches and technologies to reduce the cost of service delivery or other types of interventions.

- Yes
 No

Evidence:

The project will improve its grants monitoring system through a new online monitoring tool. The project also developed a geographic monitoring tool in 2021, which will be fully implemented in OP7. It will also be cost-efficient since the service cost will be split between the Project budget and the grants budgets, depending on the type of the grant. UNDP CO is currently securing additional co-financing funds to be implemented directly by the SGP team, but sharing costs with others teams.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

15. Is the budget justified and supported with valid estimates?

- 3: *The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.*
- 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

Evidence:

The budget and the multiyear workplan shows the cost of all projects inputs. See chapter IX Total Budget and Workplan and ANNEX A, Multiyear Workplan. The cofinancing are based on letter provided by each partner. Cost for monitoring, evaluation and communication have been included in the budget chapter.

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#	File Name	Modified By	Modified On
1	MultiYearWorkPlanSGPOP7_13399_115 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MultiYearWorkPlanSGPOP7_13399_115.docx)	sebastien.proust@undp.org	10/25/2022 8:24:00 PM

16. Is the Country Office / Regional Hub / Global Project fully recovering the costs involved with project implementation?

- 3: The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)
- 2: *The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.*
- 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

Evidence:

As a normal practice for GEF projects, General Management Service (GMS) is allocated separately from the project budget to cover UNDP costs. See Section IX Total Budget and Work Plan.

List of Uploaded Documents

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No documents available.			

Effective

Quality Rating: Exemplary

17. Have targeted groups been engaged in the design of the project?

- 3: *Credible evidence that all targeted groups, prioritising discriminated and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)*
- 2: Some evidence that key targeted groups have been consulted in the design of the project.
- 1: No evidence of engagement with targeted groups during project design.
- Not Applicable

Evidence:

During 2019, SGP Mexico undertook a strategic and participatory planning process to develop five landscape strategies, that were used to integrate the Mexico SGP 2020-2030 Strategic Plan, with the participation of about 500 people. As part of the design process, seven validation workshops were held and can be consulted here: <https://www.ppd-mexico.org/op7>

Furthermore, a Stakeholder Engagement Plan has been developed, as an instrument to ensure the effective and inclusive engagement of relevant stakeholders during the life of the Project.

Evidence: PRODOC Annex 8: Stakeholder Engagement Plan, and Mexico SGP 2020-2030 Strategic Plan

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	estrategia_regional_final_13399_117 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/estrategia_regional_final_13399_117.pdf)	andrea.serrano@undp.org	10/13/2022 2:55:00 AM
2	Annex8.StakeholderEngagementPlan_13399_117 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex8.StakeholderEngagementPlan_13399_117.pdf)	andrea.serrano@undp.org	10/13/2022 2:55:00 AM

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lesson learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- Yes
 No

Evidence:

The inception workshop is a critical time to update if there was any significant contextual change and make the pertinent adjustments for the fulfillment of the strategy and the project's implementation. Also in the Risk Register (Annex 6), the identified measures may need to include adaptive management if risk can not be controlled. Any needed changes shall be presented to the PB (NSC) for its approval.

Evidence: PRODOC Sections V Monitoring and Evaluation, VI Governance and Management Arrangements, and Annex 6 Risk Matrix

List of Uploaded Documents			
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No documents available.			

19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- Yes
 No

Evidence:

Gender has been considered extensively throughout the project preparation phase, and a Gender Analysis and Gender Action Plan were developed. The Gender Action Plan describes key indicators and established targets, which defines a gender-related objective for each of the Project outcomes. The gender marker score is GEN 2.

Evidence: PRODOC Annex 10 Gender Analysis and Gender Action Plan.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	SGPMexicoOP7GenderAnalysis_13399_119 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/SGPMexicoOP7GenderAnalysis_13399_119.pdf)	andrea.serrano@undp.org	10/13/2022 2:56:00 AM

Sustainability & National Ownership**Quality Rating: Highly Satisfactory**

20. Have national / regional / global partners led, or proactively engaged in, the design of the project?

- 3: National partners (or regional/global partners for regional and global projects) have full ownership of the project and led the process of the development of the project jointly with UNDP.
- 2: *The project has been developed by UNDP in close consultation with national / regional / global partners.*
- 1: The project has been developed by UNDP with limited or no engagement with national partners.

Evidence:

The Stakeholder Engagement Plan describes all categories of stakeholders that were consulted as well as the roles that will play during implementations. Producers and producers' organizations are in the center to proactively engage in the design and implementation. NGOs, Government, Academia, and Private sectors have also been engaged.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	ANNEX8StakeholderEngagementPlan_13399_120 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ANNEX8StakeholderEngagementPlan_13399_120.docx)	sebastien.proust@undp.org	10/25/2022 8:27:00 PM

21. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- 3: The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.
- 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- 1: Capacity assessments have not been carried out.
- Not Applicable*

Evidence:

Due to the nature of its design and objectives, the SGP will not support institutions, only local communities.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

22. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc.) to the extent possible?

- Yes
 No
 Not Applicable

Evidence:

The project will not use national systems of any kind in its implementation, all processes, administrative and otherwise will be carried out using UNS systems.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

23. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- Yes
 No

Evidence:

Scaling up of successful initiatives is an essential output of this Project and builds on the scaling up done successfully during previous operational phases of SGP Mexico. The principle of scaling up is that the communities adopt, or replicate lessons learned in their own initiatives from other successful experiences. This way of operating allows two new landscapes to be opened in this phase and the strategies of historical landscapes are consolidated.

Despite the absence of a sustainability strategy, the program has a ten-year regional strategy (2020-2030). By design, each project financed by the SGP has to present a strategy for the sustainability of its results. Additionally, as part of the Grantmaking + activities, the CPT closely monitors these processes throughout the entire phase.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	PPD7_Minuta_LPAC_Final_13399_123 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PPD7_Minuta_LPAC_Final_13399_123.pdf)	sebastien.proust@undp.org	10/25/2022 8:36:00 PM

QA Summary/LPAC Comments

The LPAC has agreed to recommend the approval of the project without further adjustments, the minute has been signed. The program officer shall remain vigilant to the recommendations of the LPAC during implementation. During the latest Project Board meeting emphasis was made on the importance of the kickoff workshop.

(This QA was done under Project ID 0022645, during the Preparation phase and approved on Dec 07, 2021)