

Empowered lives.  
Resilient nations.

United Environment, Forest and Climate Change Commission

## Annual Workplan (AWP): EFY 2019/20

**Project Title: Institutional strengthening for Catalyzing Forest Sector Development  
Project in Ethiopia  
UNDAF Pillar:**

**UNDAF Outcome:** outcome (5): By 2020 Key government institutions at federal and regional level are better able to plan, implement and monitor priority climate change mitigation and adaptation actions and sustainable natural resource management.

Indicative Output(s) with gender marker

**UNDAF Outputs(s):**

**Expected Project Output(s):**

Output 1. Enabling environment for strong forest sector delivery enhanced.

Output 2. Sustainable forest production promoted

Output 3. Forest Ecosystem Services enhanced

Output 4. Model environmental stewardship fostered in selected urban areas

**Implementing Partner:** Environment, Forest and Climate Change Commission

**Responsible Parties:** Center for International Forestry research (CIFOR), Swedish University of Agricultural sciences (SLU), Wondo Genet College of Forestry and Natural Resources (WGCF-NR); Ethiopian Biodiversity Institute (EBI), Ethiopian Environment and Forest Research Institute (EEFRI); Selected TVETs

### Brief Description of the Project

Ethiopia's continued development is under serious threat. In particular, rapid population growth and the concentration of most Ethiopians in rural areas is putting pressure on the country's natural resource base. Projections indicate that unless action is taken to change the traditional development path, an area of 9 million ha might be deforested between 2010 and 2030. Deforestation for agricultural expansion and forest degradation for fuel wood demand remains to be the two critical drivers of change in the forested landscapes of Ethiopia. The increased pressure from agricultural land expansion (expected to increase from 12.6 million hectares in 2010 to 27 million hectares in 2030) would result in the deforestation of nearly 9 million hectares of forestland. Ethiopia's desire to increase forest cover from 15.5% in 2015 to 30% by 2030, technical capacities need to be expanded beyond what currently exists to support and derive innovation and stronger engagement with private sector and civil society partners who can create sustainable and cost-effective forest sector development models.

Institutional Strengthening for the Forest Sector Development Program of Ethiopia has been designed to support capacity building efforts of the forest sector from federal to local levels and pilot Afforestation, Reforestation and Assisted Natural Regeneration actions in selected landscapes. The program has been financially supported by the governments of Norway and Sweden through CRGE Facility and the UNDP. The Sweden Support is fully channeled to the UNDP while Norway provides its support through the CRGE Facility and UNDP Ethiopia. The performance of the program has been substantial in achieving its targets with the participation of multiple stakeholders while using a flexible approach to create multifunctional landscapes. However, to make the achievements sustainable, additional support was required focusing on building



technical capacities at various levels thereby catalyzing large scale investments in wider areas. This proposal was then initiated as a second phase of the Sweden Support to sustain the progress made in the first phase and to realize the long-term development planning benefits from a carbon-intensive to a carbon-neutral and climate-resilient development pathway. The Institutional strengthening for Catalysing Forest Sector Development Project in Ethiopia will take a four-pronged approach to: i) Enhance an enabling environment for strong forest sector delivery; ii) Promote a sustainable forest production; iii) Enhance the forest ecosystem services; and iv) Foster model environmental stewardship. The following three outcomes will be delivered through this initiative:

- ✦ Strengthening the capacity of forest sector at strategic and operational levels;
- ✦ Creating multi-functional landscapes in rural and urban areas;
- ✦ Substantially reduce the vulnerability of poor communities to extreme events.

To achieve these outcomes, the proposed Institutional Strengthening for Catalysing Forest Sector Development Project for Ethiopia is aimed to overcome key barriers including: i) inadequate technical and technological capacities at federal, regional and district levels; ii) lack of forest governance system and poor coordination with other sectors; iii) limited incentive and access to finance for private sector in forest sector development; iv) lack of sustainable Forest Management System; and v) lack of integrated urban planning system. This project, which builds on several development initiatives in the country, will be implemented by the Environment, Forestry and Climate Change Commission with technical support from UNDP, and was expected to commence in September 2018 and be completed by 2022.

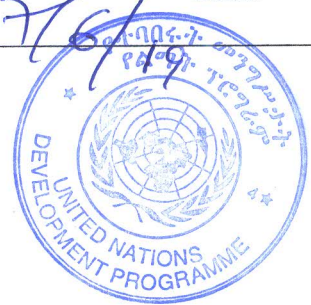
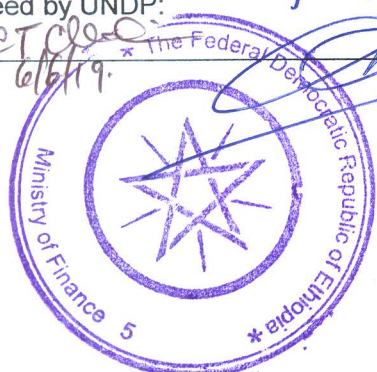
<p>UNDAF Programme Period: 2016-2020-----</p> <p>Key Result Area (Strategic Plan): Environment and Sustainable Development</p> <p>Atlas Award ID: _____</p> <p>Start date: January /2019</p> <p>End Date: June/2020 _____</p> <p>PAC Meeting Date: _____</p> <p>Management Arrangement: NIM</p>	<p>EFY---2019/20                      AWP budget:</p> <p>Total allocated resources\$10,467,938</p> <ul style="list-style-type: none"> <li>• Regular (TRAC) \$150,000</li> <li>• Non-core:        \$1,100,000 (to be mobilized)</li> <li>  * Sweden        \$ 8,700,000</li> <li>  * Government (in-kind) \$    517,938</li> </ul>
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Agreed by IP (EFCCC)  **Fekadu Beyene (Prof.)** Commissioner Date: \_\_\_\_\_

Agreed by MoF:  **UN Agencies, CRGE Facility & Regional Economic Cooperation** Date: \_\_\_\_\_

Agreed by UNDP:  **Directorate D: \_\_\_\_\_** Date: \_\_\_\_\_

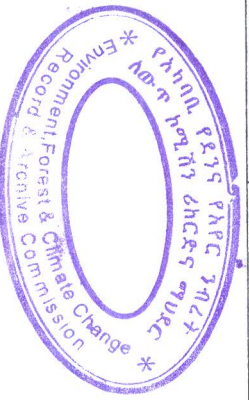
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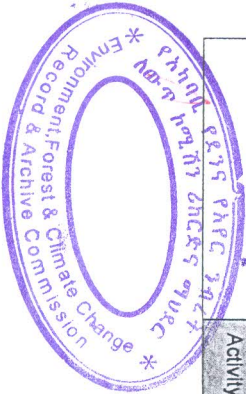


ANNUAL WORK PLAN - EFY 2019/20

CURRENCY: USD

Expected project Outputs and baseline, associated indicators and annual target	PLANNED ACTIVITIES	ETHIOPIA FY								PLANNED BUDGET			
		2011				2012				Resp. Party	Funding Source	Budget Description	Amount (USD)
		Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2				
	List activity results and associated actions	UNDP FY 2019				UNDP FY 2020							
		Q1	Q2	Q3	Q4	Q1	Q2						
		Activity Result 1.1: Strengthen Project delivery unit											
		Output 1: Enabling environment for strong forest sector development project implementation enhanced											
		Indicators:											
		<ul style="list-style-type: none"> <li>Number of strong and functional institutional set-up</li> <li>Number of training centres capacitated</li> <li>Number of tailored skill trainings provided</li> <li>Number of trained participants</li> </ul>											
		<b>Baselines:</b> <ul style="list-style-type: none"> <li>Absence of strong institutional set-up especially at the grass root level</li> <li>Weak capacity of forest training centers to provide skill training</li> <li>Lack of skill-based training for forest extensionists</li> <li>Limited skilled experts in forest management and forest business</li> </ul>											
		Activity Result 1.1: Strengthen Project delivery unit											
		1.1.1. deploy at least four qualified staff at the Commission and at least one staff in each project woreda for executing project activities											
			47,250	47,250	47,250	47,250	47,250	47,250	47,250		EFCCC/UNDP	Salary	236,250
		1.1.2. National Capacity Gap analysis conducted on governance, Research, information and networking											
			12,500	12,500							SLU	SLU Support for National Capacity gap analysis	25,000
		1.1.3. Catalyse partnership and capacitate the delivery unit for forest sector transformation											
					50,000	75,000	100,000				Sweden/ or be mobilized	Training and system development support for information flow	225,000
		1.1.4. Build the technical capacity of the Commission and its regional replica to deliver activities at greater scale											
					30,000	55,000	50,000				EFCCC/UNDP	Equipment and materials	135,000
						12,000						Training and accommodation	12,000
		1.1.5. Produce Ethiopian Statistical year book of forestry											
						5,000	6,000	12,000			Sweden	Travel	23,000
		Activity Result 1.2: Capacitate dedicated institutions to govern forest resources at various levels											
		1.2.1 Identify and analyse critical gender gaps in the forestry sector											
			25,000								SLU	Contractual services	25,000
			10,000								WGCNR	Contractual service	10,000

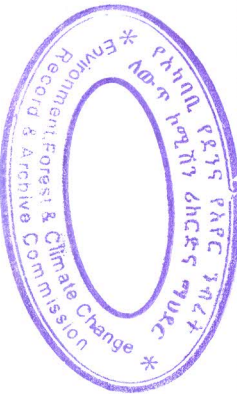




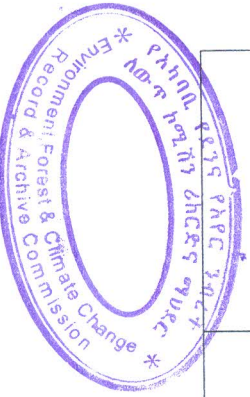
<ul style="list-style-type: none"> <li>Limited annual information on forestry</li> <li>Targets:               <ul style="list-style-type: none"> <li>Strong delivery unit established at federal level</li> <li>at least three training centers capacitated with facilities</li> <li>TOT provided for 35 experts</li> <li>One thousand extension agents provided with skill trainings</li> <li>60 regional experts trained as TOT on forest governance</li> <li>Forestry year book produced</li> </ul> </li> </ul>										
1.2.2. Gender mainstreaming			10,000	12,000				SLU	Contractual services	22,000
1.2.3 Provide regions and project woredas with office facilities and utilities			55,000	55,000	55,000	51,666	EPCCC/UNDP	Equipment and materials	216,666	
1.2.4 Provide focused short-term skill training on forest governance			10,000	10,000	10,000	15,000	EPCCC/UNDP	Supplies	45,000	
					15,000		CIFOR	Contractual services	30,000	
					10,400		EPCCC	Training	50,400	
<b>Activity Result 1.3 Design and conduct specially tailored skill training on forest establishment and management</b>										
1.3.1. Forest extension needs and gaps assessment		25,000		12,300			SLU	Contractual services	25,000	
							CIFOR	Contractual services, communications, project management and admin costs	12,300	
							CIFOR	World Genet Expertise and travel	12,700	
1.3.2 Develop Curricula for TOT and Extension agents training			25,000				WGCFNR	Contractual services for drafting	25,000	
	10,000	12,000	3,000				SLU	Contractual services for consultations	25,000	
	10,000	10,000					WGCFNR	Contractual services for finalizing	30,000	
		12,000	12,000	10,000			WGCFNR	At least three Workshops	34,000	
			12,000	12,000			WGCFNR	Training and accommodation	36,000	
1.3.3 provide TOT for at least one month to further train forest owners, forest rangers and extension agents on forest establishment, management and utilization			50,000				SLU	Contractual services	109,990	
							WGCFNR	Travel and supplies	161,450	
1.3.4 provide training for at least two-three months forest owners, forest rangers and extension agents on forest establishment, management and utilization							EPCCC	Training	15,000	
							EPCCC	Supplies	1,371,934	
							EPCCC		85,000	
<b>Activity Result 1.4 Capacitate the training centers and Colleges with the required facilities and tools</b>										
							Sweden			
								Supplies		

<b>Output 2: Sustainable forest Production and value chain promoted</b>	1.4.1. Provide forest tools, equipments and required facilities for centers and Colleges			5,000	5,000	15,000		Sweden	Contractual services	25,000	
	1.4.2. Improve the capacity of research laboratories at federal and regional level	35000		75,000	75,000	66,666	140,000	Sweden	UNDP/to be mobilized Equipment and materials	391,666	
	<b>Activity Result 1.5. Enhance forest based technology generation and development</b>			75,000	75,000	60,000	60,000		Sweden	Equipment and materials	270,000
	1.5.1. establish two clonal nursery and greenhouse technology				30,000					TA support	30,000
	1.5.2. arrange exposure visit on tissue culture, cloning and greenhouse technologies	10,000		110,000	70,000			Sweden	Equipment and materials	190,000	
	1.5.3. conduct rural development oriented action research at landscape level	25,000		25,000	30,000	40,000	70,000		Exposure visit	75,000	
		4,500		15,000	15,000	17,500	35,000		Contractual service	140,000	
				15,000	20,000	20,000	20,000		Contractual service	87,000	
									Contractual service	75,000	
	<b>Sub total per quarter</b>		32,500	347,250	479,550	932,734	1,199,416	1,315,906			4,307,356
<b>Sub total per six months</b>										4,307,356	
<b>Sub total per Year</b>										4,354,606	
<b>Activity Result 2.1 Enhance Forest business enterprises established and governance system</b>											
<b>2.1.1. establish three tree growers cooperatives in strategically selected pilot areas of existing private small woodlots and communal forest lands</b>				25,000		25,000					
				10,000	15,000	20,000	10,000	20,000	Sweden	Community consultation workshops	50,000
										diagnostic study and design manual	75,000
										Cooperative support and market linkage,	88,000
										Cooperative support in facilities for coops	87,000
				25,000		25,000				Publication and other supplies	13,000

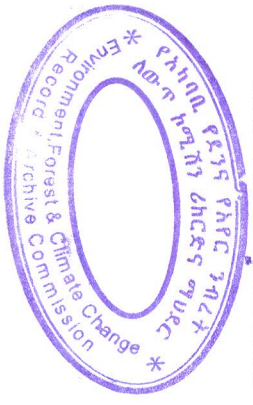
Indicators:  
 Financial markets identified and utilized to assist biodiversity conservation



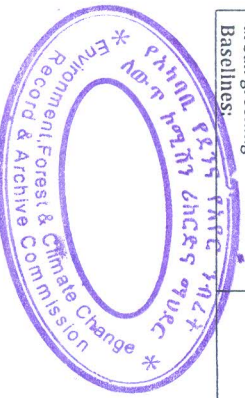
<ul style="list-style-type: none"> <li>Key challenges of women in the sector identified</li> <li>Number of PPP initiated</li> <li>Number of TBL initiated</li> <li>Hectares of dry forests restored</li> </ul>	<ul style="list-style-type: none"> <li>2.1.2. Identify challenges and opportunities for women and youth entrepreneurs in forestry</li> </ul>	20,000	20,000	20,000	20,000	20,000		CIFOR	Contractual service for national study/survey	100,000	
<ul style="list-style-type: none"> <li><b>Baselines:</b></li> <li>degraded highland and dryland areas to be restored</li> <li>Poor participation of women in the sector</li> <li>Limited PPP and TBL pilots in the forest sector</li> </ul>	<ul style="list-style-type: none"> <li>2.1.3. piloting Tree-Based Livelihoods (TBL) on private landholdings</li> </ul>			35,000	28,000	37,000		EEFRI	Workshops and reviews	25,000	
			10,000	15,000	15,000	25,000	10,000		CIFOR	Feasibility study costs and mapping opportunities	100,000
<ul style="list-style-type: none"> <li><b>Target:</b></li> <li>85,000ha of dry forests managed properly</li> <li>3 tree growers cooperatives established</li> <li>15,000 jobs created primarily for women and youth</li> </ul>	<ul style="list-style-type: none"> <li>Challenges and opportunities for women and youth entrepreneurs identified</li> <li>1000 Youth and Women supported to pilot tree-based livelihoods (TBL) as forest-preners on private land</li> <li>1 document produced on challenges and opportunities of PPP</li> <li>Linkages facilitated for 1 local joint ventures establishment on forest business</li> <li>1 document produced for value chain and marketing of key forest products</li> <li>3 cooperatives established for key forest products</li> <li>2,000 new jobs generated through sustainable dry forest management</li> </ul>							EEFRI	diagnostic study and design manual	75,000	
						25,000	75,000	100,000		EEFRI	Revolving fund to support tree-based farmers
<b>Activity Result 2.2. Initiate and foster Public Private Partnership (PPP)</b>											
	2.2.1. Wood processing Technology shopping and piloting		55,000	16,000	55,000	30,000		SLU	Community consultation workshops	25,000	
	2.2.2. Scoping study to identify key PPP challenges	5,000						SLU	link with financial markets as insurance and collateral schemes		
	2.2.3. arrange exposure visit for key personnel from private/public enterprises		25,000	25,000	26,000	50,000		EFCCC/UNDP	Community consultation workshops	25,000	
	2.2.4. facilitate the establishment of joint ventures for local partners in forest business			13,000		22,000		EFCCC	link with financial markets as insurance and collateral schemes		
	2.2.5. facilitate Ethiopian forest business enterprises to partner with foreign investment firms			12,000	11,000	12,000		EFCCC	Community consultation workshops	25,000	
<b>Activity Result 2.3. Manage dry forests and promote selected forest products/services</b>											
	2.3.1. Improving the management of dry forests including bamboo resources and link benefits with vulnerable livelihoods		10,000	15,000	20,000	13,000	12,000		CIFOR	Setting criteria and selection of PFM sites	70,000
		20,000	20,000		40,000	20,000		EFCCC	Stakeholder Consultation workshop	100,000	



<b>Output 3: forest ecosystem services Enhanced</b>	<b>2.3.2. pilot technologies for processing and value addition of key forest products to fetch higher market prices</b>		75,000		50,000	100,000	160,000	EFCCC	Inputs for Tree-based livelihoods 385,000			
			75,000		50,000	100,000	150,000	EFCCC		Inputs for improved Silvopasture 375,000		
			40,000	40,000	40,000	40,000	40,000	40,000		CIFOR	CIFOR support for selecting potential market for NTFP 200,000	
			15,000	25,000	40,000	40,000	40,000			CIFOR	purchasing processing technology for piloting 120,000	
				5,000	5,000					CIFOR	Materials and equipments 10,000	
		Sub total per quarter	5,000	280,000	290,000	431,000	801,000	716,000			2,523,000	
		Sub total per six months	285,000		721,000		1,517,000				2,523,000	
		Sub total per Year	1,006,000				1,517,000				2,523,000	
		<b>Activity Result 3.1. Promote Forest landscape restoration and biodiversity conservation</b>										
		<b>Indicators:</b> Hectares of Forest landscape restored clear understanding on trade-offs in new production systems Three NFPAs with management plan Baselines: Degraded landscapes	<b>3.1.1. Joint research on optimization of biodiversity in managed systems</b>		20,000	20,000	10,000				SLU	Contractual services 50,000 Travel and Accommodation 60,000 Travel and accommodation for Diagnostic study and design 27,000 Nursery materials and labor for 21 nurseries 325,000 Labor to Undertake ANR activities 175,000 Travel and Accommodation 95,600 Fuel and transport 54,400 Field Materials 44,000 Travel and accommodation 80,000 Fuel and transport 18,000
	25,000			25,000	10,000			EBI				
	15,000			12,000				EEFRI				
	65,000				65,000	65,000	130,000	EFCCC				
	35,000				35,000	35,000	70,000	EFCCC				
					18,900	18,900	57,800	EFCCC				
				12,100	12,100	12,100	18,100	EFCCC				
					20,000	20,000	4,000	EEFRI				
	15,000				15,000	25,000	25,000	EBI				
					6,000	6,000	6,000	EBI				



No management plan for NFPAs <b>Target:</b> 3500 ha of land restored with community forests Forest management plan prepared for 3 NFPAs 2 botanical gardens established Scientific study on trade-offs and systems dynamics delivered	3.1.5. understanding trade-offs in production systems			1,000	1,000														Travel and accommodation to Establish permanent plots	2,000		
																			SLU TA Support	50,000		
			10,000	20,000	10,000	10,000													Contractual services	67,000		
			20,000	20,000	17,000	10,000													GIS and Mapping facilities	23,000		
		3.1.6. map, designate and design management plan for three national forest priority areas and woodlands of high importance			23,000															Travel and accommodation	67,000	
																				Fuel and transport	10,000	
																				Labor and equipments	50,000	
																				Community consultation workshops	57,000	
		3.1.7. establish botanical gardens to enhance ex-situ biodiversity conservation				20,000	20,000														Field Materials	78,000
	Sub total per quarter		203,000	148,100	307,000	290,000	404,900													1,353,000		
	Sub total per six months		203,000	465,100		694,900														1,353,000		
	Sub total per Year		668,100			694,900														1,353,000		
<b>Output 4. Model environmental stewardship fostered in selected urban areas</b>	<b>Activity Result 4.1. Pilot Model Urban greening Infrastructure</b>																					
		4.1.1. Support piloting urban greening designs/ models			25,000	25,000	65,250	200,000	EFCCC											Contractual services	315,250	
					15,000	15,000			SLU											Contractual services	30,000	
		4.1.2. provide tailored skill training on urban green landscape design				10,000	35,000		SLU											SLU TOT	45,000	
									WGCFNR											Training practitioners	32,000	
									EFCCC												32,000	
		4.1.3. arrange exposure visit for key personnel to share experiences from best green cities				25,000		27,000	EFCCC/UNDP												Exposure visit	52,000
		4.1.4. prepare design for green infrastructure in selected cities				17,500	17,500		SLU												Contractual services	35,000
						12,000	12,000	34,000	EFCCC												Travel and accommodation	70,000





- Weak green infrastructure and facilities in cities
- Target:
- 15 experts trained on urban green landscape design
- 500 ha of pre-urban forests created
- 1 national guideline prepared for integration of green facilities in city planning

4.1.5. create pre-urban forests on urban and rural interface			20,000	20,000	20,000	27,000	EFCC	Run four Nurseries and other Contractual services	87,000
			20,000	20,000			EFCC	Materials and facilities	40,000
						55,000	EFCC	Tree planting contractual service	55,000
Sub-total per quarter			149,000	119,500	149,750	375,000			793,250
Sub-total per six months			268,500		624,750				793,250
Sub-total per Year	268,500				624,750				793,250
Vehicles		225,000	100,000				UNDP	Purchase vehicles	325,000
Monitoring and evaluation		25,000	25,000	25,000	35,000	34,000	EFCC	M&E	144,000
Mid-term evaluation						36,666		Mid-term evaluation	36,666
Project management and administration cost		43,000		48,000	58,000	126,000	EFCC	EFCC admin cost	275,000
		21,000	21,000	21,000	21,000	21,000	SLU	SLU Admin cost	105,000
General management cost (UNDP)		60,000	60,000	60,000	140,000	140,000	UNDP	UNDP Admin cost	460,000
Office supplies and consumables for federal, regional and project worreda offices		28,000		28,000	28,000	61,666	EFCC	Purchase office facilities	145,666
Sub total per quarter		402,000	206,000	182,000	282,000	419,332			1,491,332
Sub total per six months		402,000		388,000	701,332				1,491,332
Sub total per Year		790,000			701,332				1,491,332
Grand Total per quarter	37,500	1,232,250	1,272,650	1,972,234	2,722,166	3,231,138			10,467,938
Grand Total per six months	1,269,750		3,244,884		5,953,304				10,467,938
Grand Total per year		4,514,634			5,953,304				10,467,938

