

Closure Stage Quality Assurance Report

Form Status: Approved

Overall Rating:	Satisfactory
Decision:	
Portfolio/Project Number:	00090509
Portfolio/Project Title:	Collaborative Management for Watershed and Ecosystem
Portfolio/Project Date:	2017-07-01 / 2021-03-31

Strategic

Quality Rating: Satisfactory

1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?

- ☐ 3: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)
- ☒ 2: *The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)*
- ☐ 1: The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

Evidence:

The project was initially designed by ADB in 2014 as a complement to the potential loan. However, the loan negotiation was not successful and the project was scaled down and handed over to UNDP. Even the project fund was reduced and so were the activities, the outputs/results were not changed as they were already approved by GEF. Due to this limitation, the project proactively identified and engaged potential partners to optimize the impacts. They were the Provincial Department of Agriculture, Forestry and Fisheries (PDAFF) in Kampong Speu, the Department of Agricultural Land Resource Management (DALRM), Mlup Baitong (MB), and Royal University of Agriculture (RUA) for project implementation.

The project also undertook an ethnographic study at the beginning to identify challenges and development opportunities. Emerging issues, challenges, and proposed changes were discussed and decided by the Board. There were several notable achievements accomplished by the project, including the installation of small-scale water-supply systems to five villages, allowing improved health, agricultural practices, and diversified livelihoods, with less time and cost spent to collect water daily, 4,540 ha of forest are protected in the community forestry (CF) and community protected areas (CPAs) through community-patrolling activities, and the space has complimented diversification of livelihoods away from illegal logging through agro-forestry and eco-tourism practices, both of which were promoted through the project.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_FinalProjectReport_Dec2020_8627_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_FinalProjectReport_Dec2020_8627_301.doc)	chhum.sovanny@undp.org	6/7/2021 7:55:00 AM
2	EthnographicStudyStungPrekThnot_FirstDraft_02_08_17_Small_8627_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/EthnographicStudyStungPrekThnot_FirstDraft_02_08_17_Small_8627_301.pdf)	rany.pen@undp.org	7/16/2021 4:29:00 PM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- ☐ 3: The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution .The project's RRF included all the relevant SP output indicators. (all must be true)
- ☒ *2: The project responded to at least one of the developments settings1 as specified in the Strategic Plan. The project's RRF included at least one SP output indicator, if relevant. (both must be true)*
- ☐ 1: While the project may have responded to a partner's identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

The project contributed the UNDP Strategic Plan (SP) Outcome 2: Accelerate structural transformations for sustainable development. SP Output 2.1.1: Low emission and climate resilient objectives addressed in national, sub-national and sectoral plans and policies to promote economic diversification and green growth. Relevant SP Output Indicator: Natural resources that are managed under a sustainable use, conservation, access and benefit-sharing regime - Area under sustainable forest management (hectares).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_ProjectRRF_ProDoc_8627_302 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_ProjectRRF_ProDoc_8627_302.pdf)	chhum.sovanny@undp.org	6/7/2021 7:56:00 AM
2	CoWES_ProjectRRF_InceptionReport_8627_302 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_ProjectRRF_InceptionReport_8627_302.docx)	chhum.sovanny@undp.org	6/7/2021 7:56:00 AM

Relevant**Quality Rating: Needs Improvement**

3. Were the project's targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- ☐ 3: Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups were active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- ☒ 2: *Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)*
- ☐ 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- ☐ Not Applicable

Evidence:

Target groups/geographic areas are specified; the excluded and/or marginalized have been prioritized; project beneficiaries were also identified through appropriate assessments and surveys; they were engaged through capacity building and knowledge management activities.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_BaselineSurveyReport_Jan2019_8627_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_BaselineSurveyReport_Jan2019_8627_303.docx)	chhum.sovanny@undp.org	6/7/2021 7:57:00 AM

4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- ☐ 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- ☒ 2: *Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)*
- ☐ 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

Evidence:

Project final report informed key lessons learned included the integration of watershed management into subnational administration plans, and establishment of water user committees, community forestry patrolling, and national watershed management committees, were all critical to the sustainability of the project going forward – this should be replicated in future. Improved coordination with other local NGO's would avoid potential duplication of activities in target areas. Project also developed video documentary and case studies.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_LessonsLearnt_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_LessonsLearnt_8627_304.doc)	chhum.sovanny@undp.org	6/7/2021 7:58:00 AM
2	CoWES_CaseStudy1_June2020_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_CaseStudy1_June2020_8627_304.docx)	chhum.sovanny@undp.org	6/7/2021 7:58:00 AM
3	CoWES_CaseStudy2_June2020_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_CaseStudy2_June2020_8627_304.docx)	chhum.sovanny@undp.org	6/7/2021 7:59:00 AM
4	CoWES_CaseStudy3_June2020_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_CaseStudy3_June2020_8627_304.docx)	chhum.sovanny@undp.org	6/7/2021 7:59:00 AM
5	CoWES_CaseStudy_Component1_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_CaseStudy_Component1_8627_304.docx)	chhum.sovanny@undp.org	6/7/2021 7:59:00 AM
6	CoWES_CaseStudy_Component2_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_CaseStudy_Component2_8627_304.docx)	chhum.sovanny@undp.org	6/7/2021 8:00:00 AM
7	CoWES_CaseStudy_Component3_8627_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_CaseStudy_Component3_8627_304.docx)	chhum.sovanny@undp.org	6/7/2021 8:00:00 AM

5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- ☐ 3: There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.
- ☐ 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- ☒ 1: *The project was not at scale, and there are no plans to scale up the project in the future.*

Evidence:

The project was mainly promoting sustainable land management and stabilizing watershed catchment functions in a priority degraded area, Upper Prek Thnot watershed in Kampong Speu province as identified by the National Action Plan to Combat Land Degradation (NAP) (2018 – 2027). The project was also supporting the establishment of the National Committee, secretariats, and sub-committees including the Prek Thnot Watershed Management Subcommittee. This will continue the activities started by the project and help to sustain the project achievements.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	NAP_Eng_Clean_Nov2018_8627_305 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/NAP_Eng_Clean_Nov2018_8627_305.docx)	chhum.sovanny@undp.org	7/16/2021 7:07:00 AM
2	NAPCommitteeFormulation_8627_305 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/NAPCommitteeFormulation_8627_305.pdf)	chhum.sovanny@undp.org	7/16/2021 7:08:00 AM
3	NAPSecretariatFormulation_8627_305 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/NAPSecretariatFormulation_8627_305.pdf)	chhum.sovanny@undp.org	7/16/2021 7:10:00 AM
4	NAPSub-Committee_PrekTnoat_8627_305 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/NAPSub-Committee_PrekTnoat_8627_305.pdf)	chhum.sovanny@undp.org	7/16/2021 7:10:00 AM

Principled**Quality Rating: Satisfactory**

6. Were the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.

- ☐ 3: The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- ☒ 2: *The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)*
- ☐ 1: The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

Evidence:

Gender analysis was conducted and gender concerns as well as actions were integrated. Among issues identified were limited involvement of women in watershed management. Women were also playing important and strategic roles in agriculture, yet they were not involved in the agriculture skills-related training. The gender action plan was developed and implemented following the issues identified."

Of the 65 small landholders who voluntarily participated in SLM demonstrations, 65% were female. In addition, 36% of participants of other training on topics such as agricultural technologies, compost fertilizer making, natural pesticide making, mushroom and crop planting, and community organizational management (community forestry) were female.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_GenderActionPlan_8627_306 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_GenderActionPlan_8627_306.pdf)	chhum.sovanny@undp.org	6/7/2021 8:00:00 AM

7. Were social and environmental impacts and risks successfully managed and monitored?

- ☐ 3: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)
- ☒ 2: *Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.*
- ☐ 1: Social and environmental risks were tracked in the risk log. For projects categorized as High or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

Evidence:

No social and/or environmental risks emerged during project implementation

The project is designed to enhance environmental sustainability from the land degradation perspective, and it aims to improve the livelihoods of the people whose livelihoods are dependent on natural resources.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- ☐ 3: Project-affected people actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High or Moderate Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)
- ☒ 2: *Project-affected people informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project was categorized as High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.*
- ☐ 1: Project-affected people was not informed of UNDP's Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)

Evidence:

Project's partners were informed of UNDP's mechanism. During the implementation, there was no social and environmental issue or grievance reported.

The project is of moderate risk and the project also focused on supporting Government to put in place mechanism to address issues related to land degradation, including a set up of committee at sub-national level that also handle issues raised.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Management & Monitoring**Quality Rating: Satisfactory**

9. Was the project's M&E Plan adequately implemented?

- ☐ 3: The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project's RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)
- ☒ 2: *The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project's RRF was collected on a regular basis, although there was may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)*
- ☐ 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project's RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.

Evidence:

M&E plan as stated in the ProDoc was followed and implemented. Data against progress toward indicator in RRF is tracked. The project board met annually. The quarterly and annual reports prepared. PIR was also prepared and submitted. Field monitoring was conducted regularly. Mid-term Review (MTR) and Terminal Evaluation (TE) of the project conducted, and management response developed with follow up action taken.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_MonitoringandEvaluaitionPlan_8627_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_MonitoringandEvaluaitionPlan_8627_309.pdf)	chhum.sovanny@undp.org	6/7/2021 8:01:00 AM
2	CoWES_MTRReport_Mar2019_8627_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_MTRReport_Mar2019_8627_309.pdf)	chhum.sovanny@undp.org	7/16/2021 7:13:00 AM
3	CoWES_TEReport_Dec2020_8627_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_TEReport_Dec2020_8627_309.pdf)	chhum.sovanny@undp.org	7/16/2021 7:14:00 AM
4	CoWES_TEMgtResponse_Dec2020_8627_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_TEMgtResponse_Dec2020_8627_309.docx)	chhum.sovanny@undp.org	7/16/2021 7:14:00 AM

10. Was the project's governance mechanism (i.e., the project board or equivalent) function as intended?

- ☐ 3: The project's governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)
- ☒ 2: *The project's governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)*
- ☐ 1: The project's governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

Evidence:

At least one a year, the board meeting is conducted to share results, challenges, and next plan.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_Minutes_1stBoard_Jan2018_8627_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_Minutes_1stBoard_Jan2018_8627_310.pdf)	chhum.sovanny@undp.org	6/7/2021 8:04:00 AM
2	CoWES_Minutes_2ndBoard_Mar2019_8627_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_Minutes_2ndBoard_Mar2019_8627_310.pdf)	chhum.sovanny@undp.org	6/7/2021 8:05:00 AM
3	CoWES_Minutes_3rdBoard_Feb2020_8627_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_Minutes_3rdBoard_Feb2020_8627_310.pdf)	chhum.sovanny@undp.org	6/7/2021 8:05:00 AM
4	CoWES_Minutes_4th-FinalBoard_Dec2020_8627_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_Minutes_4th-FinalBoard_Dec2020_8627_310.pdf)	chhum.sovanny@undp.org	6/7/2021 8:06:00 AM

11. Were risks to the project adequately monitored and managed?

- ☐ 3: The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)
- ☒ 2: *The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.*
- ☐ 1: The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.

Evidence:

The project risk has been monitored and reported its status on quarterly basis. Risk log has been uploaded in the UNDP system on quarterly basis.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_OFFLINERISKLOG_Mar2020_8627_311 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_OFFLINERISKLOG_Mar2020_8627_311.docx)	chhum.sovanny@undp.org	6/7/2021 8:07:00 AM

Efficient**Quality Rating:** Satisfactory

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- ☒ Yes
- ☐ No

Evidence:

The project has no funding gap. The project sought to deliver maximum results with available resources as well as in partnership with other related initiatives.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

13. Were project inputs procured and delivered on time to efficiently contribute to results?

- ☐ 3: The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- ☒ 2: *The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)*
- ☐ 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

Evidence:

The project has developed the annual procurement plan. The project board reviewed the procurement issues and provided recommendation to address any delay in a timely manner.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- ☐ 3: There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)
- ☒ 2: *The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.*
- ☐ 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

Evidence:

There is no systematic analytical report of cost efficiencies. However, the use of adaptive management by the project team was instrumental in dealing with unexpected contingencies, especially the progress made by the project partners (Mlup Baitong, DALRM and PDAPP) in accelerating the pace of activities and in countering the delays caused by the late start of the project.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Effective**Quality Rating:** Satisfactory

15. Was the project on track and delivered its expected outputs?

- ☒ Yes
- ☐ No

Evidence:

In spite of a slow start as well as covid-19 outbreak at its final year (resulting in 6 months extension of the project duration), the project was on track and delivered its expected outputs within the allowed timeframe (based on the final project report, 2020 GEF/PIR and evaluation report).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_2020-GEF-PIR-PIMS5944-GEFID4945_8627_315 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_2020-GEF-PIR-PIMS5944-GEFID4945_8627_315.docx)	chhum.sovanny@undp.org	6/7/2021 8:09:00 AM
2	CoWES_TEReport_Dec2020_8627_315 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_TEReport_Dec2020_8627_315.pdf)	chhum.sovanny@undp.org	6/7/2021 8:10:00 AM

16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?

- ☐ 3: Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)
- ☒ 2: *There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.*
- ☐ 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

Evidence:

The project developed its full cycle workplan and two years workplan. Annual workplan was also developed based on these two previously mentioned and changes in circumstances. The workplan was reviewed and approved annually by the Project Board. The project also produced quarterly progress reports and annual report as well as PIR (for GEF). Budget revision was regularly performed to reflect changes approved by the Board.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_SignedBRVG02_8627_316 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_SignedBRVG02_8627_316.pdf)	chhum.sovanny@undp.org	6/7/2021 12:57:00 PM
2	CoWES_SignedBRVG03_8627_316 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_SignedBRVG03_8627_316.pdf)	chhum.sovanny@undp.org	6/7/2021 12:58:00 PM

17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

- ☐ 3: The project targeted specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups were reached as intended. The project engaged regularly with targeted groups over the past year to assess whether they benefited as expected and adjustments were made if necessary, to refine targeting. (all must be true)
- ☒ 2: *The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)*
- ☐ 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- ☐ Not Applicable

Evidence:

Issues of the target groups have been identified and incorporated in project implementation. The project partners (Mlup Baitong and PD AFF) have regularly conducted field visit to Community Forestry (CF) and Community Protected Areas (CPAs) in providing trainings and coaching the community leaders on their role and function, organizational management, planning, financial management, reporting and patrolling.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Sustainability & National Ownership

Quality Rating: **Satisfactory**

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- ☐ 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- ☒ 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- ☐ 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- ☐ Not Applicable

Evidence:

The project is nationally implemented by the Ministry of Agriculture with limited Country Office support. The majority of project delivery (including procurement, monitoring and evaluation) was handled through national systems. The Board is chaired by a representative from the Ministry. Members include representatives from other ministries, universities, and from UNDP. The Board made major decisions including work plan, and changes to the project strategy and approaches.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation [arrangements](#)⁸ adjusted according to changes in partner capacities?

- ☐ 3: Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)
- ☒ 2: *Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)*
- ☐ 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- ☐ Not Applicable

Evidence:

HACT micro-assessment conducted. No change in modalities was required

As part of the further institutional capacity building plan for relevant government agencies, a series of events were organized and further capacity building needs were also discussed and identified with participants for implementation. Final Spot check was conducted on the project. No change to the implementation arrangement as a result of IP capacity is needed.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_SpotCheckReport_Nov2020_8627_319 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_SpotCheckReport_Nov2020_8627_319.pdf)	chhum.sovanny@undp.org	6/7/2021 8:12:00 AM

20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).

- ☐ 3: The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)
- ☒ 2: *There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.*
- ☐ 1: The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.

Evidence:

The project conducted its Terminal Evaluation, and the management responses and exit/sustainability strategy were discussed and agreed in the final board meeting to ensure project proper completion and phase out.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	CoWES_Minutes_4th-FinalBoard_Dec2020_8627_320 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/CoWES_Minutes_4th-FinalBoard_Dec2020_8627_320.pdf)	chhum.sovanny@undp.org	7/16/2021 7:20:00 AM

QA Summary/Final Project Board Comments

The project has assisted local authorities (district, commune) to integrate SLM provisions into development plans, strengthen community forest protection, enhance water supply and introduce farming practices and livelihoods that reduce the unsustainable exploitation of forests. The water supply development is important for new agricultural livelihoods that depend upon small scale irrigation such as vegetable and mushroom farming as alternatives to logging activities which currently predominate in the pilot communes.

Overall, the project produced reasonable results at the local level despite the delays during the first year and constraints on collaboration with ELCs and on establishing a watershed management framework.

Final Board meeting discussed and agreed on the following key areas to continue and sustain the results produced by the project:

- NAP Committee and sub-committees (with MAFF and PDAFF as secretariats) continue to implement the NAP, including the management and restoration of watershed.
- Documents, lessons learnt, and knowledge products produced by the project have been shared to members so that they can perform their functions.
- Royal University of Agriculture continues to use the Prek Thnot watershed as their learning and experiment site for students undertaking researches.
- MAFF and PDAFF will continue to support Water Users Committees and to integrate activities into Commune Livelihoods Investment Plan.
- PDAFF to support Protected Areas Community and Forest Community in their work and to mobilize resources including from Small Grant Programme (through support in developing proposal).
- PDAFF which is the secretariat of the sub-national NAP committee will continue to dialogue and advocate with ELCs.
- Provincial Department of Agriculture Forestry and Fisheries (PDAFF) and Provincial Department of Environment (PDE) will continue to collaborate with CF/CPAs to improve the conditions of catchment areas and source of water supply.