ANNEX X: SOCIAL AND ENVIRONMENTAL SCREENING (AS PER STANDARD UNDP PROGRAMMING REQUIREMENTS)

Project Information

Project Information	
1. Project Title	Fostering dialogue and social cohesion in and between Bosnia and Herzegovina (BiH), Croatia, Montenegro and Serbia (Dialogue for the Future)
2. Project Number	
3. Location (Global/Region/Country)	Bosnia and Herzegovina, Republic of Croatia, Montenegro, Republic of Serbia

Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?
Briefly describe in the space below how the Project mainstreams the human-rights based approach
The programme's focus argues for human rights, dignity, tolerance, mutual understanding and solidarity will be promoted through the joint work and education of the region's citizens and youth. In order for Bosnia and Herzegovina and the region to build stronger insulation and resilience against instability and insecurity, current and future generations of citizens should be supported in constructive communication and interaction and enabled to work together in a way that is beneficial for all groups and all neighboring countries.
Briefly describe in the space below how the Project is likely to improve gender equality and women's empowerment
Gender equality and women's empowerment are areas identified as opportunities for dialogue. The programme will to seek to leverage the important role that women can play in the regions peacebuilding processes and ensure that gender be utilized as a common issue of interest/concern among all groups in the country (pp. 13). Furthermore, the project will ensure the rate of at least 50% for women's participation in the overall distribution of approved projects within the Small Grants Facility as well as the participation rate of at least 50% for girls and young women in the skill building trainings. Using tools such as u-Report, the UN will generate sex-disaggregated data on issues to be covered by this project, such as social cohesion, empowerment/agency of different groups of citizens, and participation. It will also assess how these project interventions will impact women and men differently (pp. 15). Project envisages gender sensitive monitoring based on the gender-sensitive Logical Framework, with indicators enabling collecting gender disaggregated data.
Briefly describe in the space below how the Project mainstreams environmental sustainability

The DFF II project will provide support to implementation of local interventions where key criteria shall include support to marginalized groups as well as environment friendly interventions.

Part B. Identifying and Managing Social and Environmental Risks

QUESTION 2: What are the Potential Social and Environmental Risks? *Note: Describe briefly potential social and environmental risks identified in Attachment 1 – Risk Screening Checklist (based on any "Yes" responses). If no risks have been identified in Attachment 1 then note "No Risks Identified" and skip to Question 4 and Select "Low Risk". Questions 5 and 6 not required for Low Risk Projects.*		QUESTION 3: What is the level of significance of the potential social and environmental risks? *Note: Respond to Questions 4 and 5 below before proceeding to Question 6*		QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)?
Risk Description	*Impact and Probability (1-5)*	*Significance (Low, Moderate, High)*	*Comments*	*Description of assessment and management measures as reflected in the Project design.*
Busy political agenda of the key political stakeholders.	P = 5 I = 5	**High**	The project key results may be endangered.	RCs and PDU will remain in constant liaison with the Presidency advisors to ensure uninterrupted engagement.
Change in representation at the highest political level	P = 3 I = 5	**Medium**	The project key results may be endangered.	It is expected that at least one BiH Presidency member will change following October 2018. Elections will be held in Croatia in 2019. RCs and PDU will remain in constant liaison to ensure uninterrupted engagement.
Complicated coordination/management structure and agency administrative procedures are delaying implementation.	P =3 I = 3	**Medium**		Joint Implementation Team, coordinated by the Joint Programme Coordinator, will develop ToR and SoPs concerning various functions in the joint programme. To be done during the inception period. Additionally, issues regarding delayed implementation may be escalated if

				needed to Strategic Advisory Body for review and guidance.
Media amplify negative rhetoric supporting divisions	P=3 I=3	**Medium**		The Programme foresees specific skill-building and engagement with the media on media literacy, understanding and support for the objectives of the project; maintaining various channels of communication/outreach to the public including social media outlets and influencers; and engagement of the Presidency and its networks to ensure accurate portrayal of the project by the media.
Unfavourable dollar exchange rate fluctuations.	P=3 I=3	**Medium**		Pro-active financial planning and management system.
Overlap with other donor funded reconciliation initiatives (e.g. RYCO)	P= I=	**High**	The project key results may be endangered.	As the Action is targeting the most vulnerable segments of the population, it is possible that the Open Calls for participation throughout the Project will not identify the required number of eligible stakeholders. As a mitigation measure, the Project will advertise the Calls and hold public presentations of the call frequently, and in partnership with local champions identified in DFF (phase 1) and local governments. In addition, in such case, the Action may propose to the Project Board to expand the outreach to beneficiaries to other.
Inter-cultural dialogue activities supported through the project touch on potentially sensitive topics and disestablish the participation of targeted groups.	P=3 I=3	**High**	The project key results may be endangered.	Throughout the project, emphasize the support of inter-cultural dialogue as a process whilst maintaining a clear neutral stance with regards to the content; ensure Presidency support to open dialogue (if/when needed).
Force Majeure (e.g. act of nature) impacts Project activities.	P=	**Medium**	The project key results may be endangered.	The Project will have a flexible approach, including reprogramming of activities to respond to the emerging needs.

QUESTION 4: What is the overall Project risk categorization?		
Select one		**Comments**
Low Risk	☐	
Moderate Risk	×	The project is assessed as a low to medium-risk category, particularly from human rights aspect viewpoint.
High Risk	☐	

QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are relevant?		
Check all that apply		**Comments**
Principle 1: Human Rights	×	Possible adverse effect on the human rights of indirect beneficiaries – end users of services delivered through support to targeted groups will be mitigated though identified measures.
Principle 2: Gender Equality and Women's Empowerment	×	Possible adverse effects on participation of target groups, including women and girls have been identified, the project will aim to mitigate this threat by insisting on open, transparent and effective methods of information dissemination in the selected communities.
1. *Biodiversity Conservation and Natural Resource Management*	☐	N/A
2. *Climate Change Mitigation and Adaptation*	×	The Project will have a flexible approach, including reprogramming of activities to respond to the emerging needs.
3. *Community Health, Safety and Working Conditions*	☐	N/A
4. *Cultural Heritage*	☐	N/A
5. *Displacement and Resettlement*	☐	N/A
6. *Indigenous Peoples*	☐	N/A
7. *Pollution Prevention and Resource Efficiency*	☐	N/A

Final Sign Off

Signature	Date	Description
QA Assessor		

QA Approver		Slobodan Tadić, Sector Leader, Justice and Security Sector
LPAC Chair		Sezin Sinanoglu, UN Resident Coordinator

Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental <u>Risks</u>	
Principles 1: Human Rights	**Answer (Yes/No)**
1. Could the Project lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
2. Is there a likelihood that the Project would have inequitable or discriminatory adverse impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups?	No
3. Could the Project potentially restrict availability, quality of and access to resources or basic services, in particular to marginalized individuals or groups?	No
4. Is there a likelihood that the Project would exclude any potentially affected stakeholders, in particular marginalized groups, from fully participating in decisions that may affect them?	No
5. Is there a risk that duty-bearers do not have the capacity to meet their obligations in the Project?	Yes
6. Is there a risk that rights-holders do not have the capacity to claim their rights?	Yes
7. Have local communities or individuals, given the opportunity, raised human rights concerns regarding the Project during the stakeholder engagement process?	No
8. Is there a risk that the Project would exacerbate conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Principle 2: Gender Equality and Women's Empowerment	
1. Is there a likelihood that the proposed Project would have adverse impacts on gender equality and/or the situation of women and girls?	No
2. Would the Project potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
3. Have women's groups/leaders raised gender equality concerns regarding the Project during the stakeholder engagement process and has this been included in the overall Project proposal and in the risk assessment?	No
4. Would the Project potentially limit women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? *For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being*	No
Principle 3: Environmental Sustainability: Screening questions regarding environmental risks are encompassed by the specific Standard-related questions below	
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management	
1.1 Would the Project potentially cause adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No
1.2 Are any Project activities proposed within or adjacent to critical habitats and/or environmentally sensitive areas, including legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 Does the Project involve changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4 Would Project activities pose risks to endangered species?	No
1.5 Would the Project pose a risk of introducing invasive alien species?	No

1.6	Does the Project involve harvesting of natural forests, plantation development, or reforestation?	No
1.7	Does the Project involve the production and/or harvesting of fish populations or other aquatic species?	No
1.8	Does the Project involve significant extraction, diversion or containment of surface or ground water? *For example, construction of dams, reservoirs, river basin developments, groundwater extraction*	No
1.9	Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)	No
1.10	Would the Project generate potential adverse transboundary or global environmental concerns?	No
1.11	Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area? *For example, a new road through forested lands will generate direct environmental and social impacts (e.g. felling of trees, earthworks, potential relocation of inhabitants). The new road may also facilitate encroachment on lands by illegal settlers or generate unplanned commercial development along the route, potentially in sensitive areas. These are indirect, secondary, or induced impacts that need to be considered. Also, if similar developments in the same forested area are planned, then cumulative impacts of multiple activities (even if not part of the same Project) need to be considered.*	No
Standard 2: Climate Change Mitigation and Adaptation		
2.1	Will the proposed Project result in significant greenhouse gas emissions or may exacerbate climate change?	No
2.2	Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change?	No
2.3	Is the proposed Project likely to directly or indirectly increase social and environmental vulnerability to climate change now or in the future (also known as maladaptive practices)? *For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding*	No
Standard 3: Community Health, Safety and Working Conditions		
3.1	Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities?	No
3.2	Would the Project pose potential risks to community health and safety due to the transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.3	Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?	No
3.4	Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)	No
3.5	Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?	No
3.6	Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?	No
3.7	Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?	No

3.8	Does the Project involve support for employment or livelihoods that may fail to comply with national and international labor standards (i.e. principles and standards of ILO fundamental conventions)?	No
3.9	Does the Project engage security personnel that may pose a potential risk to health and safety of communities and/or individuals (e.g. due to a lack of adequate training or accountability)?	No
Standard 4: Cultural Heritage		
4.1	Will the proposed Project result in interventions that would potentially adversely impact sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: Projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.2	Does the Project propose utilizing tangible and/or intangible forms of cultural heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
5.1	Would the Project potentially involve temporary or permanent and full or partial physical displacement?	No
5.2	Would the Project possibly result in economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3	Is there a risk that the Project would lead to forced evictions?	No
5.4	Would the proposed Project possibly affect land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
6.1	Are indigenous peoples present in the Project area (including Project area of influence)?	No
6.2	Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples?	No
6.3	Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the Project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? *If the answer to the screening question 6.3 is "yes" the potential risk impacts are considered potentially severe and/or critical and the Project would be categorized as either Moderate or High Risk.*	No
6.4	Has there been an absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	Does the proposed Project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	Is there a potential for forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7	Would the Project adversely affect the development priorities of indigenous peoples as defined by them?	No
6.8	Would the Project potentially affect the physical and cultural survival of indigenous peoples?	No
6.9	Would the Project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No

Standard 7: Pollution Prevention and Resource Efficiency	
7.1 Would the Project potentially result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
7.2 Would the proposed Project potentially result in the generation of waste (both hazardous and non-hazardous)?	No
7.3 Will the proposed Project potentially involve the manufacture, trade, release, and/or use of hazardous chemicals and/or materials? Does the Project propose use of chemicals or materials subject to international bans or phase-outs? *For example, DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Conventions on Persistent Organic Pollutants or the Montreal Protocol*	Ne
7.4 Will the proposed Project involve the application of pesticides that may have a negative effect on the environment or human health?	No
7.5 Does the Project include activities that require significant consumption of raw materials, energy, and/or water?	No