

## Annex [#]. Social and Environmental Screening Template

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document. Please refer to the *Social and Environmental Screening Procedure and Toolkit for guidance on how to answer the 6 questions.*

### Project Information

<i>Project Information</i>	
1. Project Title	Sustaining peace through the promotion of a peaceful, just and inclusive society in Tunisia
2. Project Number	00114456
3. Location (Global/Region/Country)	Tunisia

### Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability

#### **QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?**

*Briefly describe in the space below how the Project mainstreams the human-rights based approach*

The SDG16 Programme upholds the principles of accountability and the rule of law, participation and inclusion, and equality and non-discrimination based on gender, age, religion, political views or affiliation to parties, social or geographical origin, birth or other status.

One of the output results of the SDG 16 Programme, aims to support a range of key institutions to enable them to promote the protection and promotion of human rights and to ensure that the population, particularly the most vulnerable groups, have a better understanding of their rights and the role of these different institutions, including the National Human Rights Institution.

UNDP will also ensure the meaningful, effective and informed participation of stakeholders in the implementation, monitoring and evaluation of the Programme.

*Briefly describe in the space below how the Project is likely to improve gender equality and women's empowerment*

Targeted efforts combining the development of effective, accountable and inclusive institutions at all levels, access to justice for all and the promotion of peaceful and inclusive societies for sustainable development can contribute to sustainable peace by reducing the risk of violent conflict. Given the need to take into account each part of the population, and thus promote and engage the participation of women, the programme will be based on gender-sensitive international commitments such as the SDG, and the Women, Peace and Security Agenda as starting points for prevention.

In addition, each project and initiative will be implemented using a gender-based approach. At the local level, particular emphasis will be placed on the need to empower local authorities to ensure greater participation of civil society and citizens, in particular youth, women and other vulnerable groups, in accordance with constitutional provisions. One of the main tools, will be the Local Development Plans, main reference document for the municipality's development and will also serve as a general framework for the development of detailed specific/thematic plans (based on the needs of each municipality), for example: detailed safety plans or gender-based plans.

Gender capacity assessments will be implemented for the subprojects of the SDG16 Programme.

Some of the interventions of the SDG16 Programme will focus on women, particularly those who have survived gender-based violence, and other vulnerable groups, such as people with disabilities, migrants and victims of torture.

***Briefly describe in the space below how the Project mainstreams environmental sustainability***

A Social and Environmental Management Framework will be developed for the SDG16 Programme. This will ensure that social and environmental sustainability standards are applied to help mitigate potentially high adverse environmental and social impact in the selection, prioritization and implementation of subprojects, which UNDP will also closely monitor.

**Part B. Identifying and Managing Social and Environmental Risks**

<b>QUESTION 2: What are the Potential Social and Environmental Risks?</b> <i>Note: Describe briefly potential social and environmental risks identified in Attachment 1 – Risk Screening Checklist (based on any “Yes” responses). If no risks have been identified in Attachment 1 then note “No Risks Identified” and skip to Question 4 and Select “Low Risk”. Questions 5 and 6 not required for Low Risk Projects.</i>	<b>QUESTION 3: What is the level of significance of the potential social and environmental risks?</b> <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 6</i>	<b>QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)?</b>		
<b>Risk Description</b>	<b>Impact and Probability (1-5)</b>	<b>Significance (Low, Moderate, High)</b>	<b>Comments</b>	<b>Description of assessment and management measures as reflected in the Project design. If ESIA or SESA is required note that the assessment should consider all potential impacts and risks.</b>
<p><b>Risk 1: Human rights</b></p> <p>Potential that:</p> <ul style="list-style-type: none"> <li>- The project may have inequitable or discriminatory adverse impact on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups</li> <li>- There is a likelihood that the Programme would exclude any potentially affected stakeholders, in</li> </ul>	<p>P = 2 I = 4</p>	<p>Moderate</p>	<p>Measures to be undertaken include a clear definition targeting and selection criteria and participatory approach in the design and the implementation of both new and on-going subprojects. Measures to be undertaken include a series of institutional capacity gap assessments to help identify the areas and capacities that need strengthening. Some of these assessments have already been undertaken and others are planned to take place. These assessments will</p>	<p>The interventions of the subprojects may have discriminatory adverse impact, in particular in the three pilot governorates (Gabes, Médenine, and Tataouine). The development challenges, the fragile security situation, the waves of sub-Saharan migration, the proximity to Libya and the strong presence of informal economic activities may produce social tensions during project implementation. In addition, in these target regions the population's trust in state institutions is severely affected and/or the most vulnerable populations (women, youth, detainees, etc.) have their rights violated. - The SDG16 programme through its subprojects will support a number of newly created institutions (duty-bearers) both at the national and local levels that may lack the necessary capacities to fulfill their mandates.</p>

<p>particular marginalized groups from fully participating in decisions that may affect them.</p> <ul style="list-style-type: none"> <li>- There is a risk that duty-bearers do not have the capacity to meet their obligations in the Project</li> <li>- There is a risk that that rights-holders do not have the capacity to claim their rights</li> </ul>			<p>help tailor the capacity-strengthening activities to several institutions (duty-bearers).</p> <p>Baseline studies on perceptions, particularly of women, youth and vulnerable groups (duty holders), regarding the difficulties of access to quality services will be carried out in the governorate of Medenine.</p> <p>In addition to perception studies, needs assessments of marginalized groups will be conducted. These will inform the future interventions of the subprojects aiming at informing people of their rights and functioning modalities of institutions.</p> <p>In addition, specific trainings with the Chief Technical Advisors and the staff of the subprojects of the SDG16 programme will be organized to reflect on the impact that subprojects could have on the population and propose mitigation measures of the potential risks.</p>	<ul style="list-style-type: none"> <li>- Through the subproject "Multisectoral vision on youth", efforts are made to include the marginalized groups (women and youth) and strengthen their role in the implementation of the youth sectoral vision. Specific partnerships between civil society and youth will be established to enhance youth participation in decision making processes. The 5 pilot youth centres that will be renovated with project support will serve also as platforms to ensure youth participation in local planning processes. In this respect, a series of training activities to youth groups will be implemented to help the youth articulate and canalize their needs.</li> </ul>
<p><b>Risk 2: Gender Equality and Women's Empowerment</b></p> <p>There is a risk that the project could potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits</p>	<p>P=2 I=3</p>	<p><b>Moderate</b></p>	<p>Gender capacity assessment will be carried out for the CO and each of the subprojects or region of implementation. Measures will be taken to ensure women participation in activities implementation and decision-making process. Specific trainings with project staff and the Chief Technical Advisors have already been organized and will continue to be organized to mitigate potential risks of discrimination on gender. In addition, female victims will also be among the priority beneficiaries of the access to justice services that will be set up in Medenine, as well as women and girls in PVE project, as vectors of peace.</p>	<p>Some of the interventions of the SDG16 Programme will focus on women, particularly those who have survived gender-based violence, and other vulnerable groups, such as people with disabilities, migrants and victims of torture. Nevertheless, the SDG16 programme could potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits.</p>

<b>QUESTION 4: What is the overall Project risk categorization?</b>					
Select one (see <u>SESP</u> for guidance)			Comments		
Low Risk		<input type="checkbox"/>			
Moderate Risk		<input checked="" type="checkbox"/>	Given the need for further assessment and management measures at the sub project level, an Environmental and Social Management Framework will be developed for the SDG16 Programme		
High Risk		<input type="checkbox"/>			
<b>QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are relevant?</b>					
Check all that apply					
Principle 1: Human Rights		<input checked="" type="checkbox"/>			
Principle 2: Gender Equality and Women's Empowerment		<input checked="" type="checkbox"/>			
1. Biodiversity Conservation and Natural Resource Management		<input type="checkbox"/>			
2. Climate Change Mitigation and Adaptation		<input type="checkbox"/>			
3. Community Health, Safety and Working Conditions		<input type="checkbox"/>			
4. Cultural Heritage		<input type="checkbox"/>			
5. Displacement and Resettlement		<input type="checkbox"/>			
6. Indigenous Peoples		<input type="checkbox"/>			
7. Pollution Prevention and Resource Efficiency		<input type="checkbox"/>			
Comments					

Final Sign Off

<i>Signature</i>	<i>Date</i>	<i>Description</i>
QA Assessor	07/11/2019	UNDP staff member responsible for the Project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.



Alicia Chula  
DRR

QA Approver	07/11/2019	UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
PAC Chair		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		Answer (Yes/No)
<b>Principles 1: Human Rights</b>		
1.	Could the Project lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
2.	Is there a likelihood that the Project would have inequitable or discriminatory adverse impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups? <sup>1</sup>	Qui
3.	Could the Project potentially restrict availability, quality of and access to resources or basic services, in particular to marginalized individuals or groups?	No
4.	Is there a likelihood that the Project would exclude any potentially affected stakeholders, in particular marginalized groups, from fully participating in decisions that may affect them?	Qui
5.	Is there a risk that duty-bearers do not have the capacity to meet their obligations in the Project?	Yes
6.	Is there a risk that rights-holders do not have the capacity to claim their rights?	Yes
7.	Have local communities or individuals, given the opportunity, raised human rights concerns regarding the Project during the stakeholder engagement process?	No
8.	Is there a risk that the Project would exacerbate conflicts among and/or the risk of violence to project-affected communities and individuals?	No
<b>Principle 2: Gender Equality and Women's Empowerment</b>		
1.	Is there a likelihood that the proposed Project would have adverse impacts on gender equality and/or the situation of women and girls?	No
2.	Would the Project potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	Yes
3.	Have women's groups/leaders raised gender equality concerns regarding the Project during the stakeholder engagement process and has this been included in the overall Project proposal and in the risk assessment?	No
4.	Would the Project potentially limit women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
<b>Principle 3: Environmental Sustainability: Screening questions regarding environmental risks are encompassed by the specific Standard-related questions below</b>		
<b>Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management</b>		
1.1	Would the Project potentially cause adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No

<sup>1</sup> Prohibited grounds of discrimination include race, ethnicity, gender, age, language, disability, sexual orientation, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender people and transsexuals.

	<i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>
1.2	Are any Project activities proposed within or adjacent to critical habitats and/or environmentally sensitive areas, including legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?
No	
1.3	Does the Project involve changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)
No	
1.4	Would Project activities pose risks to endangered species?
No	
1.5	Would the Project pose a risk of introducing invasive alien species?
No	
1.6	Does the Project involve harvesting of natural forests, plantation development, or reforestation?
No	
1.7	Does the Project involve the production and/or harvesting of fish populations or other aquatic species?
No	
1.8	Does the Project involve significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>
No	
1.9	Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)
No	
1.10	Would the Project generate potential adverse transboundary or global environmental concerns?
No	
1.11	Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area? <i>For example, a new road through forested lands will generate direct environmental and social impacts (e.g. felling of trees, earthworks, potential relocation of inhabitants). The new road may also facilitate encroachment on lands by illegal settlers or generate unplanned commercial development along the route, potentially in sensitive areas. These are indirect, secondary, or induced impacts that need to be considered. Also, if similar developments in the same forested area are planned, then cumulative impacts of multiple activities (even if not part of the same Project) need to be considered.</i>
<b>Standard 2: Climate Change Mitigation and Adaptation</b>	
2.1	Will the proposed Project result in significant greenhouse gas emissions or may exacerbate climate change?
No	
2.2	Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change?
No	
2.3	Is the proposed Project likely to directly or indirectly increase social and environmental vulnerability to climate change now or in the future (also known as maladaptive practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>
No	
<b>Standard 3: Community Health, Safety and Working Conditions</b>	
3.1	Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities?
No	
3.2	Would the Project pose potential risks to community health and safety due to the transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?
No	

<sup>2</sup> In regards to CO<sub>2</sub>, 'significant emissions' corresponds generally to more than 25,000 tons per year (from both direct and indirect sources). [The Guidance Note on Climate Change Mitigation and Adaptation provides additional information on GHG emissions.]

<sup>3</sup> Forced evictions include acts and/or omissions involving the coerced or involuntary displacement of individuals, groups, or communities from homes and/or lands and common property resources that were occupied or depended upon, thus eliminating the ability of an individual, group, or community to reside or work in a particular dwelling, residence, or location without the provision of, and access to, appropriate forms of legal or other protections.

3.3	Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?	No
3.4	Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)	No
3.5	Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, erosion, flooding or extreme climatic conditions?	No
3.6	Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?	No
3.7	Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?	No
3.8	Does the Project involve support for employment or livelihoods that may fail to comply with national and international labor standards (i.e. principles and standards of ILO fundamental conventions)?	No
3.9	Does the Project engage security personnel that may pose a potential risk to health and safety of communities and/or individuals (e.g. due to a lack of adequate training or accountability)?	No
<b>Standard 4: Cultural Heritage</b>		
4.1	Will the proposed Project result in interventions that would potentially adversely impact sites, structures, or objects with historical, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: Projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.2	Does the Project propose utilizing tangible and/or intangible forms of cultural heritage for commercial or other purposes?	No
<b>Standard 5: Displacement and Resettlement</b>		
5.1	Would the Project potentially involve temporary or permanent and full or partial physical displacement?	No
5.2	Would the Project possibly result in economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3	Is there a risk that the Project would lead to forced evictions?	No
5.4	Would the proposed Project possibly affect land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
<b>Standard 6: Indigenous Peoples</b>		
6.1	Are indigenous peoples present in the Project area (including Project area of influence)?	No
6.2	Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples?	No
6.3	Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and titles to such areas, whether the Project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? If the answer to the screening question 6.3 is "yes" the potential risk impacts are considered potentially severe and/or critical and the Project would be categorized as either Moderate or High Risk.	No



6.4	No	Has there been an absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?
6.5	No	Does the proposed Project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?
6.6	No	Is there a potential for forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?
6.7	No	Would the Project adversely affect the development priorities of indigenous peoples as defined by them?
6.8	No	Would the Project potentially affect the physical and cultural survival of indigenous peoples?
6.9	No	Would the Project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?
<b>Standard 7: Pollution Prevention and Resource Efficiency</b>		
7.1	No	Would the Project potentially result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?
7.2	No	Would the proposed Project potentially result in the generation of waste (both hazardous and non-hazardous)?
7.3	No	Will the proposed Project potentially involve the manufacture, trade, release, and/or use of hazardous chemicals and/or materials? Does the Project propose use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Conventions on Persistent Organic Pollutants or the Montreal Protocol</i>
7.4	No	Will the proposed Project involve the application of pesticides that may have a negative effect on the environment or human health?
7.5	No	Does the Project include activities that require significant consumption of raw materials, energy, and/or water?

