

Social and Environmental Screening

Project Information

| Project Information | |
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| 1. Project Title | Vietnam Mine Action Project |
| 2. Location | Vietnam |

Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the Project mainstreams the human-rights based approach

A key aspect of this project will entail support to VNMAC to develop a transparent and clear process of prioritisation based on maximising development and livelihoods outcomes. The improvement of transparency in the area of sector-level task prioritisation will enable improved monitoring of the use of UXO-sector resources and will enable analysis of beneficiary groups. In addition, UNDP will support the process of Post Clearance Assessments which will monitor land-use subsequent to clearance by specialised army teams. This is intended to protect land-users and has a particular focus on small agricultural producers; however, UNDP will work to advise on a system that allows for investment or appropriate changes in the use of land.

Additionally, the project includes the development of a Monitoring and Evaluation Plan which will advise on what should be included to ensure it is in accordance with the principles of HRBA and can verify whether vulnerable groups are included in survey and clearance consultations at the village level.

Briefly describe in the space below how the Project is likely to improve gender equality and women's empowerment

The project includes a component designed to improve the Information Management and use of data by VNMAC. This includes increased collection and use of gender- and age-disaggregated data (GADD), where feasible relating to primary beneficiaries, victims of accidents and staff who are exposed to adult learning resources provided through the project.

Briefly describe in the space below how the Project mainstreams environmental sustainability

The main operational activities of the project will be undertaken in line with UXO technical standards, including the standards relating to environment.

Part B. Identifying and Managing Social and Environmental Risks

| QUESTION 2: What are the Potential Social and Environmental Risks? | | QUESTION 3: What is the level of significance of the potential social and environmental risks? | | QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)? |
|--|------------------------------|--|--|--|
| Risk Description | Impact and Probability (1-5) | Significance (Low, Moderate, High) | Comments | Description of assessment and management measures as reflected in the Project design. If ESIA or SESA is required note that the assessment should consider all potential impacts and risks. |
| Risk 1: Clearance prioritisation could direct resources for UXO activities away from areas populated by certain groups, thus | I = 2 P = 2 | Low | Prioritisation currently coordinated by VNMAC; aim of the project will be to | Transparent application of prioritisation process will enable subsequent IMSMA data analysis and comparison with population and poverty data if provided in |

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| unfairly limiting access to resources (mainly land). | | | increase transparency. | adequate form by Government of Vietnam. |
| Risk 2: Rights holders may experience difficulty in questioning decisions related to task prioritisation. | I = 3 P = 3 | Low | Prioritisation currently coordinated by VNMAC; aim of the project will be to increase transparency. | UNDP will advocate for clear communication of prioritisation criteria and support the establishment of mechanism for public feedback. |
| Risk 3: Clearance of land may enable subsequent development activities that are damaging to biodiversity and ecosystems. | I = 2 P = 4 | Low | Clearance may cause localized damage to ecosystems. Development of land is outside VNMAC control. | Effort should be made to advocate environmental sustainability of development activities on cleared land. UNDP will advocate and offer technical advice. |
| Risk 4: The handling of UXO and explosives is inherently dangerous and entails risks to operators and populations. | I = 3 P = 1 | Low | Clear National Standards, Standard Operating Procedures and on-going training minimize the risk of error or injury during operations. | UNDP will continue to support monitoring/quality assurance of clearance operations by VNMAC in the context of this project, and to the extent also beyond, and will support improvement of data management to monitor overall performance. |

QUESTION 4: What is the overall Project risk categorization?

| Select one (see SESP for guidance) | Comments |
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| <i>Low Risk</i> <input checked="" type="checkbox"/> | |
| <i>Moderate Risk</i> <input type="checkbox"/> | |
| <i>High Risk</i> <input type="checkbox"/> | |

QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are relevant?

| Check all that apply | Comments |
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| <i>Principle 1: Human Rights</i> <input checked="" type="checkbox"/> | See Annex 1 and Risk Log |
| <i>Principle 2: Gender Equality and Women's Empowerment</i> <input type="checkbox"/> | No risks from activities specifically undertaken in this project. |
| <i>1. Biodiversity Conservation and Natural Resource Management</i> <input checked="" type="checkbox"/> | See Annex 1 and Risk Log |
| <i>2. Climate Change Mitigation and Adaptation</i> <input type="checkbox"/> | No risks from activities specifically undertaken in this project. |
| <i>3. Community Health, Safety and Working Conditions</i> <input checked="" type="checkbox"/> | See Annex 1 and Risk Log |
| <i>4. Cultural Heritage</i> <input type="checkbox"/> | No risks from activities specifically undertaken in this project. |
| <i>5. Displacement and Resettlement</i> <input type="checkbox"/> | No risks from activities specifically undertaken in this project. |
| <i>6. Indigenous Peoples</i> <input type="checkbox"/> | No risks from activities specifically undertaken in this project. |
| <i>7. Pollution Prevention and Resource Efficiency</i> <input type="checkbox"/> | No risks from activities specifically undertaken in this project. |

Final Sign Off

| Signature | Date | Description |
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| QA Assessor | | UNDP staff member responsible for the Project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted. |
| QA Approver | | UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC. |
| PAC Chair | | UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC. |
| Checklist Potential Social and Environmental Risks | | |
| Principles 1: Human Rights | | Answer (Yes/No) |
| 1. | Could the Project lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups? | No |
| 2. | Is there a likelihood that the Project would have inequitable or discriminatory adverse impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups? ¹ | No |
| 3. | Could the Project potentially restrict availability, quality of and access to resources or basic services, in particular to marginalized individuals or groups? | No |
| 4. | Is there a likelihood that the Project would exclude any potentially affected stakeholders, in particular marginalized groups, from fully participating in decisions that may affect them? | Yes |
| 5. | Is there a risk that duty-bearers do not have the capacity to meet their obligations in the Project? | No |
| 6. | Is there a risk that rights-holders do not have the capacity to claim their rights? | No |
| 7. | Have local communities or individuals, given the opportunity, raised human rights concerns regarding the Project during the stakeholder engagement process? | No |
| 8. | Is there a risk that the Project would exacerbate conflicts among and/or the risk of violence to project-affected communities and individuals? | No |
| Principle 2: Gender Equality and Women’s Empowerment | | |
| 1. | Is there a likelihood that the proposed Project would have adverse impacts on gender equality and/or the situation of women and girls? | No |
| 2. | Would the Project potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? | No |
| 3. | Have women’s groups/leaders raised gender equality concerns regarding the Project during the stakeholder engagement process and has this been included in the overall Project proposal and in the risk assessment? | No |
| 4. | Would the Project potentially limit women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i> | No |
| Principle 3: Environmental Sustainability: Screening questions regarding environmental risks are encompassed by the specific Standard-related questions below | | |
| Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management | | |

¹ Prohibited grounds of discrimination include race, ethnicity, gender, age, language, disability, sexual orientation, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to “women and men” or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender people and transsexuals.

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| 1.1 | Would the Project potentially cause adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i> | No |
| 1.2 | Are any Project activities proposed within or adjacent to critical habitats and/or environmentally sensitive areas, including legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities? | Unknown at project design |
| 1.3 | Does the Project involve changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5) | No |
| 1.4 | Would Project activities pose risks to endangered species? | No |
| 1.5 | Would the Project pose a risk of introducing invasive alien species? | No |
| 1.6 | Does the Project involve harvesting of natural forests, plantation development, or reforestation? | No |
| 1.7 | Does the Project involve the production and/or harvesting of fish populations or other aquatic species? | No |
| 1.8 | Does the Project involve significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i> | No |
| 1.9 | Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) | No |
| 1.10 | Would the Project generate potential adverse trans-boundary or global environmental concerns? | No |
| 1.11 | Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area? | No |
| Standard 2: Climate Change Mitigation and Adaptation | | |
| 2.1 | Will the proposed Project result in significant ² greenhouse gas emissions or may exacerbate climate change? | No |
| 2.2 | Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change? | No |
| 2.3 | Is the proposed Project likely to directly or indirectly increase social and environmental vulnerability to climate change now or in the future (also known as maladaptive practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i> | No |
| Standard 3: Community Health, Safety and Working Conditions | | |
| 3.1 | Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities? | No |
| 3.2 | Would the Project pose potential risks to community health and safety due to the transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)? | No |
| 3.3 | Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)? | No |
| 3.4 | Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure) | No |
| 3.5 | Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions? | No |

² In regards to CO₂, 'significant emissions' corresponds generally to more than 25,000 tons per year (from both direct and indirect sources). [The Guidance Note on Climate Change Mitigation and Adaptation provides additional information on GHG emissions.]

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| 3.6 | Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)? | No |
| 3.7 | Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning? | Yes |
| 3.8 | Does the Project involve support for employment or livelihoods that may fail to comply with national and international labour standards (i.e. principles and standards of ILO fundamental conventions)? | No |
| 3.9 | Does the Project engage security personnel that may pose a potential risk to health and safety of communities and/or individuals (e.g. due to a lack of adequate training or accountability)? | No |
| Standard 4: Cultural Heritage | | |
| 4.1 | Will the proposed Project result in interventions that would potentially adversely impact sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: Projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) | No |
| 4.2 | Does the Project propose utilizing tangible and/or intangible forms of cultural heritage for commercial or other purposes? | No |
| Standard 5: Displacement and Resettlement | | |
| 5.1 | Would the Project potentially involve temporary or permanent and full or partial physical displacement? | No |
| 5.2 | Would the Project possibly result in economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)? | No |
| 5.3 | Is there a risk that the Project would lead to forced evictions? ³ | No |
| 5.4 | Would the proposed Project possibly affect land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources? | No |
| Standard 6: Indigenous Peoples | | |
| 6.1 | Are indigenous peoples present in the Project area (including Project area of influence)? | Unknown |
| 6.2 | Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples? | No |
| 6.3 | Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the Project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to the screening question 6.3 is “yes” the potential risk impacts are considered potentially severe and/or critical and the Project would be categorized as either Moderate or High Risk.</i> | No |
| 6.4 | Has there been an absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned? | Not at project design |
| 6.5 | Does the proposed Project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples? | Not at project design |
| 6.6 | Is there a potential for forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? | No |

³ Forced evictions include acts and/or omissions involving the coerced or involuntary displacement of individuals, groups, or communities from homes and/or lands and common property resources that were occupied or depended upon, thus eliminating the ability of an individual, group, or community to reside or work in a particular dwelling, residence, or location without the provision of, and access to, appropriate forms of legal or other protections.

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| 6.7 | Would the Project adversely affect the development priorities of indigenous peoples as defined by them? | No |
| 6.8 | Would the Project potentially affect the physical and cultural survival of indigenous peoples? | No |
| 6.9 | Would the Project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? | No |
| Standard 7: Pollution Prevention and Resource Efficiency | | |
| 7.1 | Would the Project potentially result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts ? | No |
| 7.2 | Would the proposed Project potentially result in the generation of waste (both hazardous and non-hazardous)? | No |
| 7.3 | Will the proposed Project potentially involve the manufacture, trade, release, and/or use of hazardous chemicals and/or materials? Does the Project propose use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Conventions on Persistent Organic Pollutants or the Montreal Protocol</i> | No |
| 7.4 | Will the proposed Project involve the application of pesticides that may have a negative effect on the environment or human health? | No |
| 7.5 | Does the Project include activities that require significant consumption of raw materials, energy, and/or water? | No |