

Annex B - Social and Environmental Screening (Draft)

Social and Environmental Screening Template (2021 SESP Template, Version 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	Strengthening Inclusive Access to Justice in Yemen
2. Project Number (i.e. Atlas project ID, PIMS+)	To be assigned
3. Location (Global/Region/Country)	Yemen
4. Project stage (Design or Implementation)	Design
5. Date	5 May 2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

The project is a rule of law initiative which is based on the fulfillment of human rights – particularly under SDG 16 (peace and justice) and SDG 5 (Gender equality). The project works with duty bearers from national, subnational and communities to improve their capacity to respect and

uphold human rights under international and Yemeni law. The project also works to improve the accessibility, availability and quality of justice and security services for vulnerable and disadvantaged people, and includes representatives of these groups in decision making processes.

Briefly describe in the space below how the project is likely to improve gender equality and women’s empowerment

The project has an explicit gender justice focus, including women’s participation (in Rule of Law Institutions and communities) and improving women’s access to SGBV services. Beyond this, the project mainstreams gender approaches, to promote safe and secure communities while taking into account the specific impacts of conflict upon women. The project is designed to support the implementation of SCR 1325 Committee National Action Plan recommendations on Women, Peace and Security and includes dedicated outputs and indicators targeting women’s issues.

Briefly describe in the space below how the project mainstreams sustainability and resilience

The project mainstreams sustainability by strengthening institutional capacities to deliver safety, security and justice services. Using a bottom-up approach, the project also strengthens community resilience, by engagement in consultations, priority setting and implementation of pilot initiatives to address safety, security and justice concerns.

Briefly describe in the space below how the project strengthens accountability to stakeholders

The project is accountable to authorities and donors through a formal project board mechanism, and regular consultations with MOPIC, SCMCHA and donors. The project will participate in UNDP’s accountability mechanisms to community stakeholders, ensuring that community members have access to grievance and redress mechanisms implemented at a Country office and area-based level. The project strengthens the participation of local communities in decisions on security, safety and justice which affect them, especially for vulnerable and disadvantaged people.

Part B. Identifying and Managing Social and Environmental Risks

<p>QUESTION 2: What are the Potential Social and Environmental Risks?</p> <p><i>Note: Complete SESP Attachment 1 before responding to Question 2.</i></p>	<p>QUESTION 3: What is the level of significance of the potential social and environmental risks?</p> <p><i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i></p>	<p>QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High</p>
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<i>Risk Description (broken down by event, cause, impact)</i>	<i>Impact and Likelihood (1-5)</i>	<i>Significance (Low, Moderate Substantial, High)</i>	<i>Comments (optional)</i>	<i>Description of assessment and management measures for risks rated as Moderate, Substantial or High</i>
Risk that duty bearers do not have the capacity to meet their obligations	I = 2 L = 4	Low	The project is designed to build missing capacities	
Risk that rights-holders do not have the capacity to claim their rights?	I = 2 L = 4	Low	The project is designed to improve rights-holders capacities to claim their rights	
Construction and/or infrastructure development	I = 1 L = 5	Low	Rehabilitation of facilities at existing sites is foreseen. The sites are already in use as Rule of Law facilities, so there are no risks of displacement etc.	Environmental assessments to be conducted for each site, to identify, manage and mitigate any harmful effects from rehabilitation works. OH&S standards applicable to all works, to ensure the safety of workers and bystanders.
Occupational health and safety risks due to physical and psychosocial hazards (including violence and harassment)	I = 4 L = 2	Moderate	There is some level of risk to project staff and implementing partners. Some risk is inherent in working in a fragile state. There are additional risks for women working on sensitive issues such as SGBV.	UNDP staff and implementing partners to follow security regulations. Implementing partners will be selected based on their demonstrated capacities to identify and manage risks inherent in working in sensitive environments. Staff of implementing partners involved in potentially higher risk activities (including SGBV service delivery) will be required to follow their organizational health and safety policies.

QUESTION 4: What is the overall project risk categorization?			
Low Risk	<input type="checkbox"/>		
Moderate Risk	<input checked="" type="checkbox"/>		
Substantial Risk	<input type="checkbox"/>		
High Risk	<input type="checkbox"/>		
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)			
Question only required for Moderate, Substantial and High Risk projects			
<u>Is assessment required? (check if "yes")</u>	<input checked="" type="checkbox"/>		Status? (completed, planned)
<i>if yes, indicate overall type and status</i>	<input type="checkbox"/>	Targeted assessment(s)	
	<input type="checkbox"/>	ESIA (Environmental and Social Impact Assessment)	
	<input type="checkbox"/>	SESA (Strategic Environmental and Social Assessment)	
Are management plans required? (check if "yes")	<input type="checkbox"/>		
<i>If yes, indicate overall type</i>	<input checked="" type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)	

		<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)	
		<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)	
	Based on identified risks, which Principles/Project-level Standards triggered?		Comments (not required)	
	Overarching Principle: Leave No One Behind			
	Human Rights	<input type="checkbox"/>	A Human Rights Due Diligence Assessment will be undertaken during the inception phase to guide engagement with non-UN security forces and mitigation measures.	
	Gender Equality and Women's Empowerment	✓		
	Accountability	<input type="checkbox"/>		
	1. Biodiversity Conservation and Sustainable Natural Resource Management	<input type="checkbox"/>		
	2. Climate Change and Disaster Risks	<input type="checkbox"/>		
	3. Community Health, Safety and Security	<input type="checkbox"/>		
	4. Cultural Heritage	<input type="checkbox"/>		
	5. Displacement and Resettlement	<input type="checkbox"/>		
	6. Indigenous Peoples	<input type="checkbox"/>		
	7. Labour and Working Conditions	<input type="checkbox"/>		

	8. Pollution Prevention and Resource Efficiency	<input type="checkbox"/>	
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Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
QA Assessor		UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted.
QA Approver		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC.
PAC Chair		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

