

Social and Environmental Screening Template (2021 SESP Template, Version 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	Hacia una recuperación económica verde, inclusiva y competitiva territorial
2. Project Number (i.e. Atlas project ID, PIMS+)	134497
3. Location (Global/Region/Country)	Colombia
4. Project stage (Design or Implementation)	126058
5. Date	22-02-2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

El proyecto integra el enfoque de derechos en la medida en que se busca mejorar el acceso a las oportunidades de desarrollo de una población afectada adversamente por brechas en su desarrollo humano y el impacto que la Pandemia está ocasionando. Adicionalmente, el documento de proyecto favorece la utilización de un enfoque participativo y no discriminación de actores implicados y concernidos en los procesos de toma de decisiones que les puedan afectar. Igualmente, y teniendo en consideración la participación y el relacionamiento con los diferentes grupos de interés y sinergias en el marco del proyecto, los resultados obtenidos permitirán identificar las barreras al acceso de los derechos de la población sujeto de intervención y que no se vulnere ninguno de ellos partiendo de principios clave como la inclusión, participación activa, igualdad y la no discriminación para poder contribuir al cierre de brechas.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

En el marco del programa país del PNUD en Colombia, que concentra su misión en la reducción de la desigualdad y el fortalecimiento de la capacidad institucional y la igualdad de género, el Proyecto implementará acciones para la promoción de los derechos humanos, la mejora al acceso de servicios básicos, la ampliación de oportunidades en generación de empleo y mejoramiento de calidad de vida de las personas con especial énfasis en mujeres y jóvenes, equidad y acceso en igualdad de oportunidades. El proyecto prestará una atención especial a la situación diferenciada de hombres y mujeres, y se implementarán estrategias que tomen en cuenta estas diferencias.

Briefly describe in the space below how the project mainstreams sustainability and resilience

Dentro de las apuestas estratégicas del presente proyecto está planteado el impulso de las economías verdes y circular con el objetivo de fortalecer los instrumentos de planificación en función de concretar un compromiso institucional en aras de aunar acciones que permitan disminuir la degradación el impacto ambiental que ejerce el hombre sobre la naturaleza.

Por otra parte, existe una alta potencialidad para desarrollar un mercado verde de alto valor comercial en los departamentos y municipios de Colombia, siendo este uno de los países que alberga los ecosistemas más biodiversos del mundo. Sin embargo, previo a esto es necesario contribuir a la conservación y manejo

sostenible de los ecosistemas agros productivos. Igualmente, es fundamental apostarle a los emprendimientos verdes pues, estos vienen conquistando los mercados mundiales y Colombia no es la excepción. La actual pandemia de COVID-19 abre una ventana de oportunidad de disparar el consumo de bienes con estas particularidades ya que los consumidores están tomando la decisión de compra basados en su impacto en el medio ambiente y bienestar a la salud.

Igualmente en este eje se propone una gestión integral del cambio climático, que propenda un cambio en los esquemas tradicionales de producción económica, conducente al desarrollo de acciones y herramientas para el impulso y desarrollo de procesos productivos bajos en emisiones de gases de efecto invernadero (GEI), identificando y priorizando los sectores clave que presenten las opciones de transformación positiva y que contribuyan a una disminución efectiva de mitigación éstos, y que a su vez se conviertan en una oportunidad de desarrollo

Briefly describe in the space below how the project strengthens accountability to stakeholders

Las actividades y acciones descritas en el proyecto están en su totalidad alineadas a las estrategias y programas de los planes de desarrollo ya sea del nivel departamental o municipal; por ley nacional, estos planes de desarrollo deben contar con una estrategia de rendición de cuentas transparente y participativa a las partes interesadas. El proyecto entrega insumos para la rendición de cuentas y apoya a los gobiernos territoriales a que rindan cuentas de las actividades y acciones realizadas.

Part B. Identifying and Managing Social and Environmental Risks

QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i>	QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i>			QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High
Risk Description (broken down by event, cause, impact)	Impact and Likelihood (1-5)	Significance (Low, Moderate, Substantial, High)	Comments (optional)	Description of assessment and management measures for risks rated as Moderate, Substantial or High
Riesgo 1. Se prolonga la duración e intensidad de la crisis por COVID-19 o se genera una nueva Pandemia que obliga a los tomadores de decisiones implementar medidas de aislamiento preventivo, impactando en la reactivación económica y repercutiendo en el logro de las metas del proyecto y del desarrollo sostenible de las regiones.	I = 5 P = 3	Alta		Se monitorea de manera permanente la evolución de la Pandemia y los impactos ocasionados por ésta. Las decisiones de correcciones o ajustes se aprobarán desde la Junta de Proyecto.
Riesgo 2. Limitada cooperación inter/institucional y coordinación/articulación entre las partes	I = 3 P = 3	Media		El PNUD monitoreará el Proyecto al más alto nivel a través de la Junta Directiva. Para la formulación del Proyecto se han tomado en cuenta las diferentes partes involucradas, con el fin de garantizar su vinculación en la implementación.




Riesgo 3. Demoras en los procesos, tanto del PNUD como de sus aliados, que puedan afectar el oportuno y eficiente desempeño del proyecto.	I = 3 P = 1	Media		Se realizará un cronograma detallado de actividades, el plan de adquisiciones y contrataciones, como insumo para el monitoreo y seguimiento, incluyendo herramientas de alerta temprana que permita planificar oportunamente el inicio y ejecución de actividades.
Riesgo 4. Corrupción y falta de transparencia de las instituciones públicas a todo nivel ya sea nacional, departamental o municipal. Adicionalmente, alta y frecuente rotación del personal que afecta el logro de las metas, los encargados muchas veces son contratistas y salen dejando los procesos sin continuidad y hay que volver a financiar actividades de capacitación y formación a nuevos equipos.	I = 5 P = 3	Media		Desarrollar estrategia de involucramiento permanente de autoridades.
Riesgo 5. Baja continuidad de las políticas públicas formuladas que pasan de un periodo de gobierno a otro, y si el nuevo gobernante de turno no tiene la voluntad política para desarrollarla, archiva la política y no es de su interés continuarla desarrollando.	I = 4 P = 3	Media		Se desarrollará lobby permanente con las administraciones para la asignación de recursos requeridos para la operación de las estrategias y su continuidad en la implementación.
Riesgo 6. Previsión de aumento de desastres naturales, terremotos, inundaciones, sequías, consecuencia del cambio climático, que repercutirá directamente en todas las metas propuestas.	I = 3 P = 3	Baja		Se monitoreará de manera permanente la evolución de la situación y los impactos ocasionados por ésta. Las decisiones de correcciones o ajustes se aprobarán desde la Junta de Proyecto.
Riesgo 7. Colombia a pesar que está en un proceso de postconflicto, la violencia y el conflicto armado continua después de la firma del acuerdo ya que existen todavía grupos al margen de la ley como el ELN, Bacrim y algunos desmovilizados de la FARC que entraron a ser parte de las organizaciones criminales del país y continuaron con el negocio del Narcotráfico por lo tanto esto repercutirá en las metas propuestas del proyecto especialmente en las zonas rurales y en las zonas de asentamientos informales precarios urbanos.	I = 4 P = 3	Media		Se monitorea de manera permanente la evolución de la situación y los impactos ocasionados por ésta. Las decisiones de correcciones o ajustes se aprobarán desde la Junta de Proyecto.
	QUESTION 4: What is the overall project risk categorization?			

	Low Risk	<input checked="" type="checkbox"/>	La probabilidad de un rebrote de la pandemia COVID 19, así como una mayor intensidad y duración puede afectar la normal ejecución de las actividades previstas. Es el único riesgo de mayor impacto latente en la implementación.
	Moderate Risk	<input type="checkbox"/>	
	Substantial Risk	<input type="checkbox"/>	
	High Risk	<input type="checkbox"/>	
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)			
Question only required for Moderate, Substantial and High Risk projects			
	<u>Is assessment required? (check if "yes")</u>	<input type="checkbox"/>	Status? (completed, planned)
	<i>if yes, indicate overall type and status</i>	<input type="checkbox"/>	Targeted assessment(s)
		<input type="checkbox"/>	ESIA (Environmental and Social Impact Assessment)
		<input type="checkbox"/>	SESA (Strategic Environmental and Social Assessment)
	<u>Are management plans required? (check if "yes")</u>	<input type="checkbox"/>	
	<i>if yes, indicate overall type</i>	<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)
		<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)
		<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)
	Based on identified risks, which Principles/Project-level Standards triggered?		Comments (not required)
	Overarching Principle: Leave No One Behind		
	Human Rights	<input checked="" type="checkbox"/>	El presente proyecto tiene un claro enfoque de derechos y de desarrollo humano para la ampliación de oportunidades para los sujetos de derechos a fin de ejercer su pleno potencial mediante la mejora de sus medios de vida y trabajo decente
	Gender Equality and Women's Empowerment	<input checked="" type="checkbox"/>	El proyecto aborda el tema de manera transversal, garantizando que se integre en todos sus productos integren un componente de género.
	Accountability	<input type="checkbox"/>	
	1. Biodiversity Conservation and Sustainable Natural Resource Management	<input type="checkbox"/>	

	2. Climate Change and Disaster Risks	X	El proyecto busca mediante la asistencia técnica la formulación de plan integral de Cambio Climático del Departamento de Sucre.
	3. Community Health, Safety and Security	<input type="checkbox"/>	
	4. Cultural Heritage	<input type="checkbox"/>	
	5. Displacement and Resettlement	<input type="checkbox"/>	
	6. Indigenous Peoples	<input type="checkbox"/>	
	7. Labour and Working Conditions	<input type="checkbox"/>	
	8. Pollution Prevention and Resource Efficiency	X	Mediante el plan integral de Cambio Climático del Departamento de Sucre se permitirá poner en marcha una actividad económica mucho más eficiente.

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
Asesor de certificación de calidad (QA) Javier Pérez - Gerente Nacional Área Reducción de la Pobreza e Inequidad 	25-May-2021	UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
Aprobador de la garantía de calidad (QA) - Alejandro Pacheco – Representante Residente Adjunto 	25-May-2021	UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
Presidente del PAC Alejandro Pacheco – Representante Residente Adjunto 	25-May-2021	UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

DR

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.		
Overarching Principle: Leave No One Behind		Answer (Yes/No)
Human Rights		
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	No
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	No
<i>Would the project potentially involve or lead to:</i>		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹	No
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	No
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	No
Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		

¹ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Accountability		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	No
P.14	grievances or objections from potentially affected stakeholders?	No
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	No
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	No
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	No
1.5	exacerbation of illegal wildlife trade?	No
1.6	introduction of invasive alien species?	No
1.7	adverse impacts on soils?	No
1.8	harvesting of natural forests, plantation development, or reforestation?	No
1.9	significant agricultural production?	No
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	No
1.12	handling or utilization of genetically modified organisms/living modified organisms? ²	No
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ³	No
1.14	adverse transboundary or global environmental concerns?	No
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		
2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	No
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters?	No

² See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

³ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

	<i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	No
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	No
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7	influx of project workers to project areas?	No
3.8	engagement of security personnel to protect facilities and property or to support project activities?	No
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	No
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4	alterations to landscapes and natural features with cultural significance?	No
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No
5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No

5.3	risk of forced evictions? ⁴	No
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)?	No
6.2	activities located on lands and territories claimed by indigenous peoples?	No
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is "yes", then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i>	No
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	No
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8	risks to the physical and cultural survival of indigenous peoples?	No
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	No
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	No
7.2	working conditions that may deny freedom of association and collective bargaining?	No
7.3	use of child labour?	No
7.4	use of forced labour?	No
7.5	discriminatory working conditions and/or lack of equal opportunity?	No
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	No
Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		

⁴ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2	the generation of waste (both hazardous and non-hazardous)?	No
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the <u>Montreal Protocol</u>, <u>Minamata Convention</u>, <u>Basel Convention</u>, <u>Rotterdam Convention</u>, <u>Stockholm Convention</u></i>	No
8.5	the application of pesticides that may have a negative effect on the environment or human health?	No
8.6	significant consumption of raw materials, energy, and/or water?	No