

Closure Stage Quality Assurance Report

Form Status: Approved

Overall Rating:	Highly Satisfactory
Decision:	
Portfolio/Project Number:	00099918
Portfolio/Project Title:	Support to SDGs localization in Ukraine
Portfolio/Project Date:	2018-10-01 / 2022-03-31

Strategic

Quality Rating: Exemplary

1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?

- 3: *The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)*
- 2: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)
- 1: The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

Evidence:

The project team timely identified new threats and opportunities caused by the COVID-19 pandemic and reflected them in the project's strategy. Specifically, the project requested the project's extension, switched to the online mode of operating. The project also considered the impact of the pandemic on the incomes and savings of the households and their ability to invest in the energy efficiency solutions for their homes. In response, the project strengthened its communication campaign, prepared publications and videos with success stories, and explores international practices from neighboring countries that are applicable in the national context. All the changes have been discussed with the project's beneficiary and reported through Business Continuity Reports on a quarterly basis to the donor.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	BCP-COVID19-Version2_9882_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/BCP-COVID19-Version2_9882_301.pdf)	maria.gutsman@undp.org	11/4/2021 5:26:00 PM
2	BCP-COVID19-Version3_9882_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/BCP-COVID19-Version3_9882_301.pdf)	maria.gutsman@undp.org	11/4/2021 5:26:00 PM
3	BCP-COVID19-Version4_9882_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/BCP-COVID19-Version4_9882_301.docx)	maria.gutsman@undp.org	11/4/2021 5:27:00 PM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- 3: The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution. The project's RRF included all the relevant SP output indicators. (all must be true)
- 2: *The project responded to at least one of the developments settings1 as specified in the Strategic Plan. The project's RRF included at least one SP output indicator, if relevant. (both must be true)*
- 1: While the project may have responded to a partner's identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

The project responded to the output of the UNDP Strategic Plan: 1.5.1 Solutions adopted to achieve universal access to clean, affordable and sustainable energy. Indicator: 2.5.1 Solutions developed, financed and applied at scale for energy efficiency and transformation to clean energy and zero-carbon development, for poverty eradication and structural transformation.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	05_RevisedAnnexIII-BudgetforActions_clean_9882_302 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/05_RevisedAnnexIII-BudgetforActions_clean_9882_302.pdf)	maria.gutsman@undp.org	11/4/2021 5:36:00 PM

Relevant**Quality Rating: Highly Satisfactory**

3. Were the project's targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- 3: Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups were active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- 2: *Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)*
- 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- Not Applicable

Evidence:

Feedback from the projects' beneficiaries, Home Owners of Ukraine (HOA), associations of HOAs, partner municipalities were collected by the project on regular basis through feedback reports and two surveys. Results of feedback reports and surveys incorporated in the lessons learnt sections of the progress reporting.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	HOUSESANNUALPROGRESSREPORT_01102018_30092019_Submitted22Nov2019_9882_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/HOUSESANNUALPROGRESSREPORT_01102018_30092019_Submitted22Nov2019_9882_303.pdf)	maria.gutsman@undp.org	11/4/2021 5:37:00 PM
2	AnnualProgressReport_2020_9882_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AnnualProgressReport_2020_9882_303.pdf)	maria.gutsman@undp.org	11/4/2021 5:37:00 PM
3	Survey_9882_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Survey_9882_303.pdf)	maria.gutsman@undp.org	11/4/2021 5:41:00 PM

4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- 2: Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)
- 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

Evidence:

Lessons learnt and knowledge generated by the project were timely presented to the project's beneficiary and the donor at the biweekly donor coordination meetings, held under the EE4U agenda. Those were also reflected in the progress project reporting, and Business Continuity Plans. Additionally, results of the project's independent evaluation held in 2021 were presented to the Project Board.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	UNDPUkraine_HOUSES_FinalEvaluationReport_9882_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/UNDPUkraine_HOUSES_FinalEvaluationReport_9882_304.pdf)	maria.gutsman@undp.org	11/4/2021 5:51:00 PM
2	Minutes_WGMeeting_September162020_9882_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Minutes_WGMeeting_September162020_9882_304.docx)	maria.gutsman@undp.org	11/4/2021 5:52:00 PM
3	Donorcoordinationmeetings_9882_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Donorcoordinationmeetings_9882_304.zip)	maria.gutsman@undp.org	11/4/2021 5:55:00 PM

5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.**
- 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- 1: The project was not at scale, and there are no plans to scale up the project in the future.

Evidence:

The Project achieved significant progress in boosting the establishment of new HOAs, building and strengthening the capacities of the newly established and pre-existing HOAs supported by the Project, providing support to the Energy Efficiency Fund in promoting its grant programme “ENERGODIM” among potential beneficiaries, and establishing partnerships at the local level between the EEF and municipalities. The impact of the HOUSES project results in premise is that homeowners and their associations in Ukraine play a tangible role in significantly improving the energy efficiency of their residential blocks, thereby reducing both energy consumption and costs. The development challenge is, more broadly, linked to the general decrease in the population’s living standards, increased household energy consumption tariffs, and economic challenges associated with energy use and utilities consumption.

The project is a part of the European Commission’s Energy Efficiency Support Programme, helping increase energy-efficiency in the Ukrainian residential sector and reduce greenhouse gas emissions. The project has supported the establishment of 2,288 new homeowner associations (HOAs), and strengthened the operational and management capacities of 6,104 HOAs. More than 55,168 Ukrainian homeowners have participated in training activities organized by the Project, increasing their awareness of their rights and responsibilities, as well as improving their knowledge of energy efficiency and developing viable funding and energy solutions for their multi-apartment buildings. The Project aided reforms in the housing sector by facilitating the provision of support from the local authorities to the newly established HOAs – 95 partner municipalities adopted HOA Support Programmes for 2020, while 45 adopted them for 2021. More than 1,448,813 people were reached by information campaigns through social media and print publications and were informed about the advantages of HOAs as an effective form of management and means to achieve energy efficiency

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No documents available.			

Principled

Quality Rating: **Satisfactory**

6. Were the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.

- 3: The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- 2: *The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)*
- 1: The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

Evidence:

The project has regularly gathered and collected data to reduce gender inequalities and empower women via monthly reporting UNDP system IMRP. Specifically, the project collected data on awareness between men and women of energy efficiency requirements and solutions for their buildings in the targeted regions and percentage of women and men among homeowners that are satisfied with the management of their HOAs to inform project's development interventions.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Annex27_9882_306 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex27_9882_306.pdf)	maria.gutsman@undp.org	11/4/2021 6:11:00 PM
2	Survey_genderage_9882_306 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Survey_genderage_9882_306.pptx)	maria.gutsman@undp.org	11/4/2021 6:11:00 PM

7. Were social and environmental impacts and risks successfully managed and monitored?

- 3: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)
- 2: *Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.*
- 1: Social and environmental risks were tracked in the risk log. For projects categorized as High or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

Evidence:

SESP is not applicable to this project as its core activities are training and conferences.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	DesignAppraisalStageQualityAssuranceReport_9882_307 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/DesignAppraisalStageQualityAssuranceReport_9882_307.pdf)	maria.gutsman@undp.org	11/4/2021 6:23:00 PM

8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- 3: Project-affected people actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High or Moderate Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: *Project-affected people informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project was categorized as High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.*
- 1: Project-affected people was not informed of UNDP's Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)

Evidence:

Project design does not provide separate informing on UNDP's Corporate Accountability Mechanism, therefore it is made by means of UNDP communication resources.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
No documents available.			

Management & Monitoring

Quality Rating: Highly Satisfactory

9. Was the project's M&E Plan adequately implemented?

- 3: *The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project's RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)*
- 2: The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project's RRF was collected on a regular basis, although there may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)
- 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project's RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.

Evidence:

The project has a costed M&E plan, indicators and milestones are fully populated. The project collected data on monthly basis through the UNDP IMRP system. Decentralized evaluation is done in August 2021. The findings of evaluation stated that monitoring, evaluation and reporting systems at Country Office (CO), Programme and Project levels were comprehensive, while overall project's results were evaluated as highly satisfactory.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	UNDPUkraine_HOUSES_FinalEvaluationReport_9882_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/UNDPukraine_HOUSES_FinalEvaluationReport_9882_309.pdf)	maria.gutsman@undp.org	11/4/2021 6:25:00 PM

10. Was the project's governance mechanism (i.e., the project board or equivalent) function as intended?

- 3: The project’s governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)
- 2: *The project’s governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)*
- 1: The project’s governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

Evidence:

The project board met at the requested frequency, though due to the project's extension and the pandemic was rescheduled several times. The project's governance mechanism was additionally strengthened through biweekly donor coordination meetings under the EE4U programme, where the project beneficiary and the supplier regularly discussed the developments, risks and opportunities and took informative decisions. Progress reports timely submitted.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	MINUTES_HOUSES_PB_21102019_9882_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MINUTES_HOUSES_PB_21102019_9882_310.docx)	maria.gutsman@undp.org	11/4/2021 8:18:00 PM
2	AnnualProgressReport_2020_9882_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AnnualProgressReport_2020_9882_310.pdf)	maria.gutsman@undp.org	11/4/2021 6:36:00 PM
3	HOUSESANNUALPROGRESSREPORT_01102018_30092019_Submitted22Nov2019_9882_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/HOUSESANNUALPROGRESSREPORT_01102018_30092019_Submitted22Nov2019_9882_310.pdf)	maria.gutsman@undp.org	11/4/2021 6:36:00 PM

11. Were risks to the project adequately monitored and managed?

- 3: *The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)*
- 2: The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.
- 1: The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.

Evidence:

The project monitored risks on a quarterly basis. Relevant changes are introduced in the Project management module in Atlas. The adequate management plans with mitigated measures included in the progress reporting and BCP, reflected in the revised Project documents under the two project's no-cost extensions.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	4.RevisedAnnexI-DescriptionoftheAction_9882_311 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/4.RevisedAnnexI-DescriptionoftheAction_9882_311.docx)	maria.gutsman@undp.org	11/4/2021 6:40:00 PM

Efficient

Quality Rating: Highly Satisfactory

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
- No

Evidence:

The project overachieved the initial results for the planned human and financial resources. The project was extended twice no-cost and the targets were respectively increased: 2000 new HOAs to 2,250 HOAs as the final results; the total number of the trained HOAs increased from the initial 2000 to 6000.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	04_RevisedAnnexI-DescriptionoftheAction_clean_2_9882_312 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/04_RevisedAnnexI-DescriptionoftheAction_clean_2_9882_312.pdf)	maria.gutsman@undp.org	11/4/2021 6:44:00 PM

13. Were project inputs procured and delivered on time to efficiently contribute to results?

- 3: The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 2: *The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)*
- 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

Evidence:

The project had an updated procurement plan revised twice per year. Some adjustments took place with the COVID-19 pandemic mainly due to the restrictions to movement.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- 3: *There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)*
- 2: The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.
- 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

Evidence:

The project's costs were reviewed internally on regular basis during the market analysis for the project procurement actions. For the training and conference services, the project engaged the CO LTAs country-wide. The diverse composition of the evaluation committee, consisting of representatives of UN agencies, other CO programme portfolios is in place. Additionally, the programme benefits from the cost analysis done by other UNDP programmes and projects, in particular UN RPP.

List of Uploaded Documents

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No documents available.			

Effective

Quality Rating: Exemplary

15. Was the project on track and delivered its expected outputs?

- Yes
 No

Evidence:

The project was on track to deliver its expected outputs, as reflected in project reporting with further approval by the Project Board.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?

- 3: *Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)*
- 2: There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

Evidence:

The work plan of the project was duly revised during the Project Board meetings, as part of BCP submission package and at the CO regular AWP-review session twice per year (on the beginning and mid-year review). Lessons learned have been used to inform course corrections, especially during COVID-19 pandemics.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

- 3: *The project targeted specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups were reached as intended. The project engaged regularly with targeted groups over the past year to assess whether they benefited as expected and adjustments were made if necessary, to refine targeting. (all must be true)*
- 2: The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)
- 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- Not Applicable

Evidence:

In Ukraine, there are 180 456 multi-apartment buildings and only 16,5% created HOAs. The project's targeted home owners of Ukraine in 24 regions and aimed to improve their capacities in the management of their homes and designing energy efficiency solutions. The Project regularly collected data related to the establishment of the HOAs in the regions and applications submitted to EEF to ensure that the target groups were reached as intended and their capacities strengthened.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Annex3_9882_317 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex3_9882_317.docx)	maria.gutsman@undp.org	11/4/2021 8:14:00 PM
2	Annex7_9882_317 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Annex7_9882_317.docx)	maria.gutsman@undp.org	11/4/2021 8:14:00 PM

Sustainability & National Ownership

Quality Rating: **Satisfactory**

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

Evidence:

The project beneficiaries duly participate in project decision-making, implementation and monitoring in accordance with the project document.

The project is subject to standard national monitoring and evaluation under the Regulation of the Cabinet of Ministers of Ukraine #153 "On the establishment of the unified system of attraction, usage and monitoring of the international technical assistance" of 15 February 2002.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation [arrangements](#)⁸ adjusted according to changes in partner capacities?

- 3: Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)
- 2: Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)*
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- Not Applicable

Evidence:

At the national level, the project partnered with the Ministry for Communities and Territories development and the Energy Efficiency Fund established under the Ministry. At the regional level, UNDP will work on the basis of its already established partnerships with all regional administrations/councils, based on recently signed partnership agreements on cooperation in the field of sustainable development (MoUs). Where as changes in the leadership of the partner institutions took place during the presidential (2019), and local elections (2020), the project reestablished partnership. HACT is not applicable for this project.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).

- 3: The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)
- 2: *There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.*
- 1: The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.

Evidence:

The project has presented the sustainability plan at the project board meeting. The Project has the potential to be scaled up and replicated sustainably across Ukraine using cluster approach and involving created networks and strengthened capacities of institutional infrastructures, capabilities of local resource centres, energy efficiency technology support centres, legal aid services, association of HOAs, housing management companies and improved legislations and regulations in place, which will provide the right environment and favorable conditions for HOAs to sustain. All institutional knowledge on HOAs to be consolidated into a single one-stop-shop platform with easy access to all interested parties - FG, HOAs, HBC, municipalities, etc. This could include the information brochures and booklets on legislation and regulations for HOAs, the set-up and continuous management of HOAs, training materials, best practice manuals, video links on HOAs, online courses, FAQs, etc. All project materials are stored at the platform houses.in.ua.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

QA Summary/Final Project Board Comments

The Project Board has approved the delivery of all results at a highly satisfactory level at the meeting on 8 October 2021. QA approved at a satisfactory level.